# Statistical Machine Translation: Decoding

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# Outline

- Translation as Search
- Stack Decoding
- Hypothesis Recombination
- Beam Search

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Translation as Search

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- Hypothesis Recombination
- Beam Search

# **Decoding: Problem Statement**

### Given

- Input sentence (sequence of words)  $\vec{x}$
- Translation model  $P_T(\vec{x} \mid \vec{y})$
- Language model  $P_L(\vec{y})$

# Goal (theory)

$$\arg\max_{\vec{y}} P_T(\vec{x} \mid \vec{y}) \times P_L(\vec{y})$$

# **Decoding: Problem Statement**

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### Goal (theory)

$$\arg\max_{\vec{y}} P_T(\vec{x} \mid \vec{y}) \times P_L(\vec{y})$$

### Goal (practice)

$$\arg\max_{\vec{v}} \left( \max_{h} P_T(\vec{x}, h \mid \vec{y}) \times P_L(\vec{y}) \right)$$

where *h* corresponds to some hidden variable (alignment, phrase segmentation, etc.)

# Today

### Focus

We consider the task of decoding within the context of

- phrase-based translation model
- bigram language model

### Decoding

$$\arg\max_{\vec{y}} \left( \max_{\varphi,a} P_T(\vec{x},\varphi,a \mid \vec{y}) \times P_L(\vec{y}) \right)$$

### where

- $\blacksquare \varphi$  segmentation of  $\vec{x}$  and  $\vec{y}$  to phrases
- a alignment between phrases

### Translation as Search

### Search problem

Translation can be represented in the form of a *search problem*:

- We have a lot of possible solutions (translations)
- We search for what amounts to be the best solution

### Challenge

- The set of possible translations exponential (in general: infinite)
- Infeasible to look at all solutions one by one

### Structured Search

### Observation

- Translations are structured
- Partial scores can be assigned to partial translations

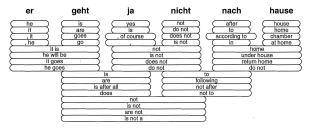
### **Translation Process**

### Translating a Sentence

We represent translation as a sequence of steps:

- Start with an empty output sentence
- In each step
  - Select a phrase *p* in the input sentence
  - Translate p it to an output phrase q
  - Append q at the end of the output translated so far
- Stop when all the words in the input sentence are translated

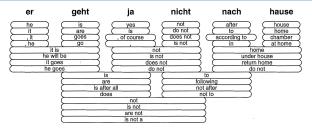
# Phrase translation table



# Translation process

er geht ja nicht nach hause

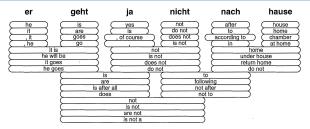
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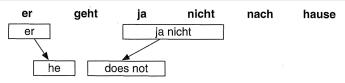
# Translation process



### Phrase translation table



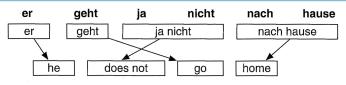
### Translation process



### Phrase translation table



### Translation process



# Scoring partial translations

In each translation step, a new phrase gets translated; we factor in:

- The corresponding phrase-translation probability
- The bigram probabilities
- The reordering cost

# Example

er geht ja nicht nach hause

# Score

1 ×

# Example



ja

nicht

nach

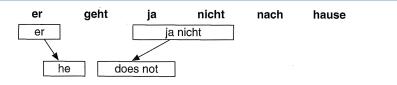
hause

11/39

# Score

$$P_T(\text{er} \mid \text{he}) \times P_L(\text{he}) \times c(0) \times c(0)$$

### Example



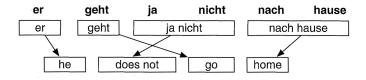
### Score

1 ×

$$P_T(\text{er} \mid \text{he}) \times P_L(\text{he}) \times c(0) \times$$

 $P_T$ (ja nicht | does not) ×  $P_L$ (does not | he) × c(1) ×

### Example



### Score

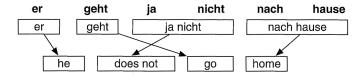
1 ×

$$P_T(\text{er} \mid \text{he}) \times P_L(\text{he}) \times c(0) \times$$

$$P_T$$
(ja nicht | does not)  $\times P_L$ (does not | he)  $\times c(1) \times c(1)$ 

$$P_T(\text{geht} \mid \text{go}) \times P_L(\text{go} \mid \text{not}) \times c(-3) \times$$

### Example



### Score

1 ×

$$P_T(\text{er} \mid \text{he}) \times P_L(\text{he}) \times c(0) \times$$

$$P_T$$
(ja nicht | does not)  $\times P_L$ (does not | he)  $\times c(1) \times c(1)$ 

$$P_T(geht \mid go) \times P_L(go \mid not) \times c(-3) \times C(-3)$$

$$P_T$$
(nach hause | home)  $\times P_L$ (home | go)  $\times c(2)$ 

### **Translation Process**

### Non-determinism

At any given step of the translation process

- There are many input phrases to choose from
- Each input phrase can be translated to several output phrases

The process of translation is non-deterministic

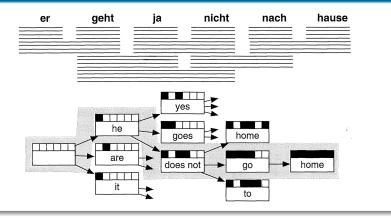
### Tree Search

# Idea

- Represent the translation process in the form of a search tree
- Each branch in this tree (path from the root to a leaf) represents a translation process
- We say that a leaf is *complete* if it represents a complete translation
- Goal: determine the highest-scoring complete leaf

# Tree Search

# Example



### Tree Search

### Formalization

We now formalize the process of construction of the search tree. We need:

- **Hypothesis**: node in the search tree / formal representations of a partial translation
- **Expansion**: arc in the search tree / process of determing next translation step
- Exploration: algorithm for tree traversal

# Hypothesis

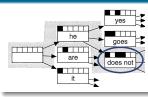
### Definition

Let  $x_1 \dots x_n$  be the input sentence of length n.

A *hypothesis* is a 4-tuple  $h = \langle M, p, e, w \rangle$  where:

- $M \subseteq \{1, ..., n\}$  is the set of input positions translated so far
- p is the last output phrase of the partial translation generated so far<sup>a</sup>
- *e* is the last input position of the last translated phrase
- *w* is the partial weight/score of the generated partial translation

### Example (er geht ja nicht nach hause)



- $M = \{1, 3, 4\}, p = \text{does not}, e = 4$
- $w = P_T$ (ja nicht | does not) ×  $P_T$ (er | he) ×  $P_L$ (he does not) × c(0) × c(1)

<sup>&</sup>lt;sup>a</sup>This is enough in case of the bigram model.

# Hypothesis

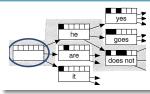
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- *e* is the last input position of the last translated phrase
- *w* is the partial weight/score of the generated partial translation

### Example (er geht ja nicht nach hause)



$$M = \emptyset$$
,  $p = \times$ ,  $e = -1$ 

w = 1

<sup>&</sup>lt;sup>a</sup>This is enough in case of the bigram model.

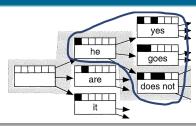
# Hypothesis Expansion

### Expansion

Given hypothesis h, list all hypothesis which expand on h by

- selecting a not-yet-translated contiguous fragment in the input sentence
- selecing a possible translation of this fragment according to the phrase translation table
- creating the hypothesis resulting from the selected phrase translation

### Example



# Hypothesis Expansion

### Algorithm 1 Hypothesis expansion (simple, could be optimized)

```
given hypothesis h = \langle M, \vec{p}, e, w \rangle
for i = 1 \dots n do
     for i = i \dots n do
         if \{i, i+1, \ldots, j\} \cap M = \emptyset then
                                                        the selected span must not be translated yet
               let \vec{x} = x_i \dots x_i
                                                                                             ▶ input phrase to translate
               for each \vec{y} \in R_E(\vec{x}) do
                                                                                 \triangleright for each potential translation of \vec{x}
                   let w' = w \times P_T(\vec{x} \mid \vec{y}) \times P_L(\vec{y} \mid \vec{p}) \times c(|i - e - 1|)
                   let M' = M \cup \{i, i + 1, ..., i\}
                    w' \leftarrow w' \times P_1 (\bowtie | \vec{y}) if M' = \{1, 2, ..., n\} \triangleright in case of complete hypothesis
                    yield \langle M', \vec{y}, j, w' \rangle
               end for
          end if
     end for
end for
```

# Search Tree Exploration

## Exploration algorithms

Different algorithms, with different trade-offs, can be used to explore the search tree:

- Depth-first search
- Breadth-first search

# Search Tree Exploration

# Algorithm 2 Breadth-first search

```
let Q be an empty queue of hypotheses
let G be an empty set of completed hypotheses
place empty hypothesis in Q
while Q not empty do
   remove h from Q
   if h complete then
      add h to G
   else
      for each expansion h' of h do
         add h' to Q
      end for
   end if
end while
```

# Search Tree Exploration

### Issue

Standard graph-exploration algorithms (such as breadth-first search) are impractical (except for very short sentences), because:

- The first solution found is not enough (why?)
- The entire search tree is explored
- The size of this tree is exponential

# Outline

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- Stack Decoding
- Hypothesis Recombination
- Beam Search

# **Optimized Search**

### Idea

- Focus on the *promising* parts of the search tree
- We need to be able to answer the following question: given two nodes v and w, which of them is more promising to explore?
- Promising = with higher scores

### Comparable hypotheses

■ We say that two hypothesis  $h = \langle M, p, e, w \rangle$  and  $h' = \langle M', p', e', w' \rangle$  are *comparable* if

$$|M| = |M'| \tag{1}$$

 Idea: the scores of comparable hypotheses involve roughly the same number of multiplications – hence, they can be meaningfully compared

### Idea

- Hypothesis are organized into groups, called stacks, with hypothesis present in the same stack being comparable between each other
- We start with the empty hypothesis, as in tree search
- The subsequent stacks are gradually filled via hypothesis expansion

no word

translated

# Example (er geht ja nicht nach hause) goes does not

one word

translated.

two words

translated

three words

translated

# Algorithm 3 Pseudocode

```
place empty hypothesis into stack 0 for each stack i = 0 \dots n - 1 do for each hypothesis h in stack i do for each expansion h' of h do let k be the number of translated words in h' place h' in stack k end for end for
```

### Stack Decoding

#### **Properties**

- Stack decoding provides a different startegy of exploring the space of hypothesis
- Computationally, it still involves generating all possible hypothesis and translations
- It's advantage lies in the fact that it allows convenient pruning heuristics

### Outline

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## **Optimization Strategies**

#### Pruning

- Idea: trim the branches considered as not promising/useless based on partial scores
- Examples: dead-end detection (exact), branch-and-bound (exact), hypothesis recombination (exact), beam search (approximate)

#### Score-guided exploration

- Idea: explore the nodes of the search graph in an order consistent with the scores
- Goals: (i) find the optimal (or close to optimal) hypothesis, (ii) explore as small a part of the search graph as possible
- Examples: shortest-path algorithms (Dijkstra, A\*)

Note: we will look more closely at the techniques marked in bold

# Hypothesis Recombination

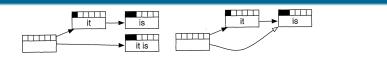
#### Recombination

Let  $h = \langle M, p, e, w \rangle$  and  $h' = \langle M', p', e', w' \rangle$  be two hypothesis. Let also last(x) be the last word of phrase x. Then, if:

- M = M'
- $\blacksquare$  last(p) = last(p')
- || w > w'

we can safely ignore (prune) h' and all its direct and indirect expansions.

### Example



# Hypothesis Recombination

### Recombination

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### Example



# Hypotheses Recombination

# Algorithm 4 Stack Decoding with hypotheses recombination

```
place empty hypothesis into stack 0

for each stack i = 0 \dots n-1 do

for each hypothesis h in stack i do

for each expansion h' of h do

let k be the number of translated words in h'

place h' in stack k

recombine h' with another hypothesis in stack k if possible end for end for
```

## Hypotheses Recombination

### Consequences

- Significantly reduced search space
- Still, in practice, not enough for efficient decoding

### Outline

- Translation as Search
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- Hypothesis Recombination
- 4 Beam Search

### ldea

Beam search combines stack decoding with

- histogram pruning
- threshold pruning

### Histogram pruning

Given parameter K > 0

■ limit each stack to the K hypotheses with the best scores

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Given parameter K > 0

■ limit each stack to the K hypotheses with the best scores

#### Threshold pruning

Given parameter  $\alpha$ , for any stack k

- $\blacksquare$  let  $w_{best}$  be the best score in stack k
- lacktriangle remove from stack k any hypothesis with score smaller than  $\alpha imes \mathbf{w}_{best}$

## Algorithm 5 Stack decoding with pruning

```
place empty hypothesis into stack 0

for each stack i = 0 \dots n-1 do

for each hypothesis h in stack i do

for each expansion h' of h do

let k be the number of translated words in h'

place h' in stack k

recombine h' with another hypothesis in stack k if possible prune stack k if necessary

end for

end for
```

### Consequences

- Histrogram pruning guarantees efficient (polynomial) decoding
- Threshold pruning ,,smarter" but no efficiency guarantees
- In practice, combination of both techniques typically used

### Optimization

## Other optimization techniques used in SMT

- Remaining score estimation
- Shortest-path A\* algorithm

More on them in the complementary material