On the Construction of Śivasūtra-Alphabets

Wiehke Petersen

Institute of Language and Information University of Düsseldorf, Germany petersew@uni-duesseldorf.de

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अइउण्। ऋऌक्। एओङ्। ऐऔच्। हयवरट्। लण्। ञमङणनम्। झभञ्। घढधष्। जबगडदश्। खफछठथचटतव्। कपय्। शषसर्। हल्।

modern notation

A is replaced by B if preceded by C and succeeded by D.

$$A \rightarrow B/_{C_D}$$

example: final devoicing

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A is replaced by B if preceded by C and succeeded by D.

$$A \rightarrow B/c_D$$

example: final devoicing

modern notation

A is replaced by B if preceded by C and succeeded by D.

$$A \rightarrow B/_{C}$$
 D

Pāṇini's linear Coding

A + genitive, B + nominative, C + ablative, D + locative.

example

- sūtra 6.1.77: iko yaṇaci (इको यणचि)
- analysis: [ik]_{gen}[yaṇ]_{nom}[ac]_{loc}
- modern notation: [iK] \rightarrow [yN]/_ [aC]

modern notation

A is replaced by B if preceded by C and succeeded by D.

$$A \rightarrow B/_{C}$$
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Pāṇini faced the problem of giving a linear representation of the nonlinear system of sound classes.

A similar problem occurs in ...



Warehouses and stores





Pāṇini's solution: Śivasūtras

1.	а	i	u			Ņ
2.				ŗ	ļ	Ņ
2. 3.		е	0			Ń
4. 5. 6.		ai	au			C
5.	h	у	V	r		Ņ Ņ
6.					- 1	
7.	ñ	m	'n	ņ	n	Μ
8.	jh	bh				Ñ
						_
9.			gh	фh	dh	Ş
9. 10.	j	b	gh g	dh d	dh d	Ñ Ş Ś
	j kh	b ph				Ş Ś
10.			g	ģ	d	Ş Ś V
10.		ph	g ch	ḍ ṭh	d th	
10. 11.	kh		g ch	ḍ ṭh	d th	V
10. 11.	kh	ph	g ch c	ḍ ṭh ṭ	d th	V Y

अइउण। ऋऌक। $a \cdot i \cdot un \mid r \cdot lk \mid$ एओङ्। ऐऔच्। $e \cdot o\dot{n} \mid ai \cdot auc \mid$ हयवरट्। लण्। hayavarat | lan |ञमङणनम्। झभञ्। $\tilde{n}ama\dot{n}ananam \mid jhabha\tilde{n} \mid$ घढधष। जबगडदञ्च। ghadhadhas | jabagadadaś | खफछठथचटतव। khaphachathathacatatavकपय। शषसर। हल। $kapay \mid śasasar \mid hal \mid$

Pāṇini's solution: Śivasūtras

1.	а	i	u			Ņ
2. 3.				ŗ	ļ	K
3.		е	0			N C T N M
4. 5.		ai	au			C
5.	h	у	V	r		Ţ
6.					- 1	Ņ
7.	ñ	m	'n	ņ	n	M
8.	jh	bh				Ñ
9.			gh	фh	dh	Ñ Ṣ Ś
10.	j	b	g	ġ	d	Ś
11.	kh	ph	ch	ţh	th	
			_	_	_	V
			С	ţ	t	V
12.	k	р	C	ţ	τ	Υ
12. 13.	k	p ś	ș.	ţ	τ	Y R
	k h	p ś			τ	Υ

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1.	a	i	u			Ņ
2. 3.				ŗ	į	K
3.		е	0			Ň C
4. 5.		ai	au			C
5.	h	У	V	r		Ņ Ņ
6.					- 1	
7.	ñ	m	'n	ņ	n	M
8.	jh	bh				Ñ
9.			gh	фh	dh	Ñ Ṣ Ś
10.	j	b	g	d	d	Ś
11.	kh	ph	ch	ţh	th	
			С	ţ	t	V
12.	k	p				Υ
13.		ś	Ş	S		R
14.	h					L

anubandha

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Pratyāhāras

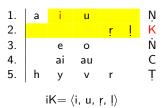
Introduction

```
а
                                        N K N C T
                      u
2.
3.
                     0
4.
             ai
                     au
5.
       h
              у
                      ٧
```

S-sortability

Pratyāhāras

Pratyāhāras



Analysis of iko yaṇaci: $[iK] \rightarrow [yN]/[aC]$

- $[iK] \rightarrow [yN]/_[aC]$
- $\langle i, u, r, l \rangle \rightarrow \langle y, v, r, l \rangle / (a, i, u, r, l, e, o, ai, au)$

Introduction

- $[iK] \rightarrow [yN]/_[aC]$
- $\langle i, u, r, l \rangle \rightarrow \langle y, v, r, l \rangle / (a, i, u, r, l, e, o, ai, au)$

General problem of S-sortability

Given a set of classes, order the elements of the classes (without duplications) in a linear order (in a list) such that each single class forms a continuous interval with respect to that order.

- The target orders are called S-orders
- A set of classes is S-sortable if it has an S-order

General problem of Śivasūtra-alphabets (S-alphabets)

Given a set of classes, find an S-order of the elements of the classes. Interrupt this list by markers (*anubandhas*) such that each single class can be denoted by a sound-marker-pair (*pratyāhāra*).

Note that every S-order becomes a Śivasūtra-alphabet (S-alphabet) by adding a marker (anubandha) behind each element.

Given the set of classes $\{\{a,b\},\{a,b,c\},\{a,b,c,d\}\}$, the order abcd is one of its S-orders and $aM_1bM_2cM_3dM_4$ is one of its S-alphabets.

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Introduction

S-sortable example

The set of classes:

$$\{\{d,e\},\{a,b\},\{b,c,d,f,g,h,i\},\{f,i\},\{c,d,e,f,g,h,i\},\{g,h\}\}\$$
 is S-sortable:

one of its S-orders is

abcghfide

non-S-sortable example

The set of classes:

$$\{\{a,b\},\{b,c\},\{a,c\}\}\$$
 is not S-sortable.

non-S-sortable example

$$\{\{d, e\}, \{a, b\}, \{b, c, d\}, \{b, c, d, f\}\}\$$
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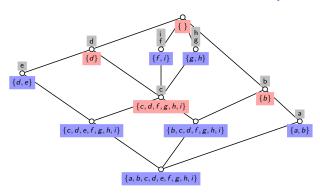
non-S-sortable example

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Visualize relations

set of classes
$$(A, \Phi)$$
: $A = \{a, b, c, d, e, f, g, h, i\}$

$$\Phi = \{\{d, e\}, \{a, b\}, \{b, c, d, f, g, h, i\}, \{f, i\}, \{c, d, e, f, g, h, i\}, \{g, h\}\}$$



	abcdefghi
$\{d,e\}$	××
$\{b, c, d, f, g, h, i\}$	×××××××
$\{a,b\}$	××
$\{f,i\}$	× ×
$\{c,d,e,f,g,h,i\}$	××××××
$\{g,h\}$	××

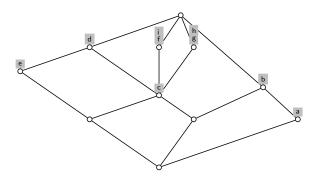
concept lattice of (A, Φ)

formal context of (A, Φ)

Visualize relations

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	abcdefghi
{d, e}	××
$\{b,c,d,f,g,h,i\}$	****
$\{a,b\}$	××
$\{f,i\}$	× ×
$\{c,d,e,f,g,h,i\}$	××××××
$\{g,h\}$	××
	I

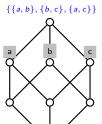
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Visualize relations

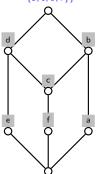
$$\{\{d, e\}, \{a, b\}, \{b, c, d, f, g, h, i\}, \{f, i\}, \{c, d, e, f, g, h, i\}, \{g, h\}\}$$





S-sortability

$$\{\{d, e\}, \{a, b\}, \{b, c, d\}, \{b, c, d, f\}\}$$

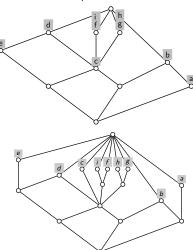


Main theorem of S-sortability

A set of classes is S-sortable without duplications if one of the following equivalent statements is true:

- Its concept lattice is Hasse-planar and for any element a there is a node labeled a in the S-graph.
- 2 The concept lattice of the enlarged set of classes is Hasse-planar.
- The Ferrers-graph of the enlarged set of classes is bipartite.

Example: S-sortable

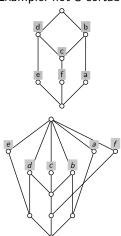


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Example: not S-sortable



2nd condition: terminology

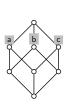
2nd condition

A set of classes (\mathcal{A}, Φ) is S-sortable without duplications if and only if the concept lattice of the enlarged set of classes $(\mathcal{A}, \tilde{\Phi})$ is Hasse-planar.

Enlarging a set of classes means adding all singleton sets:

$$\tilde{\Phi} = \Phi \cup \{\{a\} \mid a \in \mathcal{A}\}$$

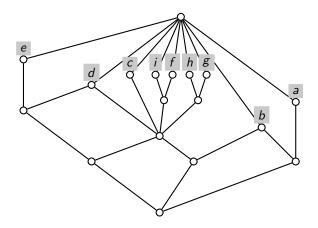
Hasse-planarity: $\{\{a,b\},\{a,c\},\{b,c\}\}$





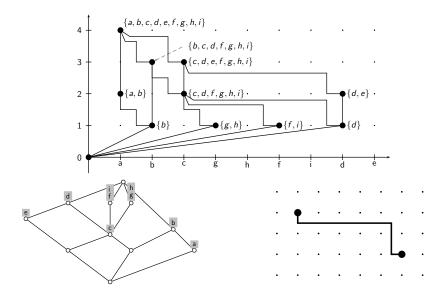
planar, but not Hasse-planar

2nd condition: Hasse-planar ⇒ S-sortable



$$\{\{d,e\},\{a,b\},\{b,c,d,f,g,h,i\},\{f,i\},\{c,d,e,f,g,h,i\},\{g,h\}\}$$

2nd condition: S-sortable ⇒ Hasse-planar



Introduction

2nd condition: evaluation

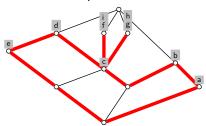
- It is of no help in the construction of S-alphabets with minimal number of markers.
- The planarity of a graph is difficult to check.

Main theorem of S-sortability

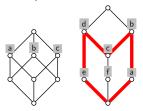
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Example: S-sortable



Examples: not S-sortable

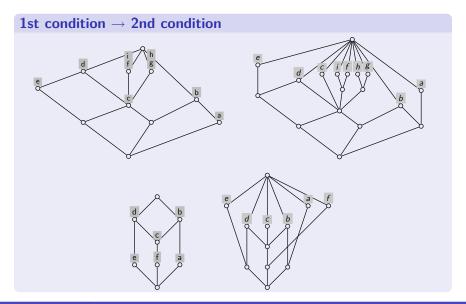


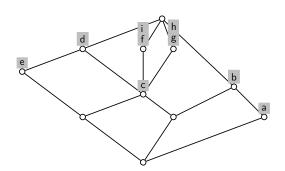
1st condition: proof

2nd condition \rightarrow 1st condition

Each S-order of the enlarged set of classes $(A, \tilde{\Phi})$ is trivially an S-order of the original set of classes (A, Φ) .

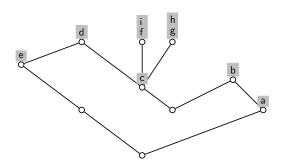
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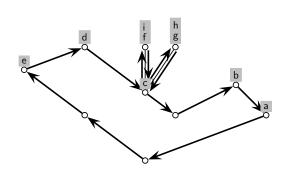
procedure

- While moving upwards do nothing.
- While moving downwards along an edge add a new marker to the sequence unless its last element is already a marker.
- If a labeled node is reached, add the labels in arbitrary order to the sequence, unless it has been added before.



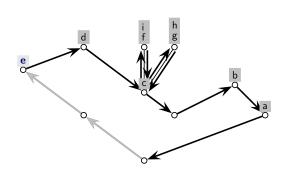
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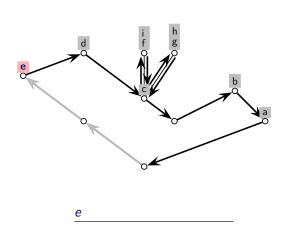
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- If a sound is reached. add the sound to the sequence, unless it has been added before.



procedure

Start with the empty sequence and choose a walk through the S-graph:

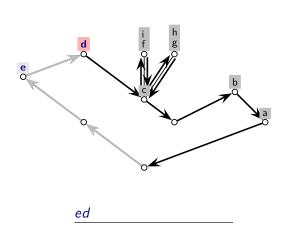
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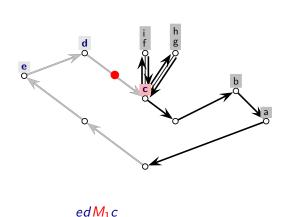
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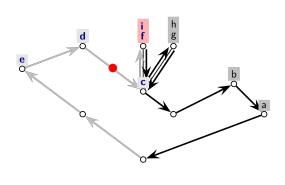
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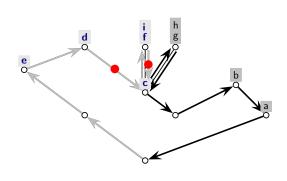
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Minimality of the Sivasūtras

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ed M₁ cfi

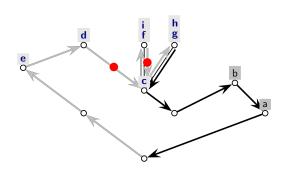


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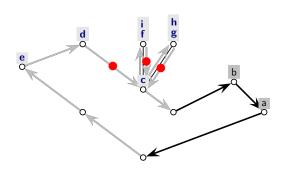
 $ed M_1 cfi M_2$



 edM_1cfiM_2gh

procedure

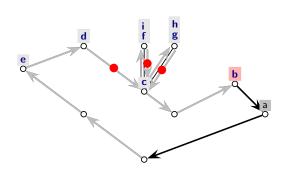
- While moving upwards do nothing.
- While moving downwards along an edge add a new marker to the sequence unless its last element is already a marker.
- If a sound is reached, add the sound to the sequence, unless it has been added before



 $ed M_1 cfi M_2 gh M_3$

procedure

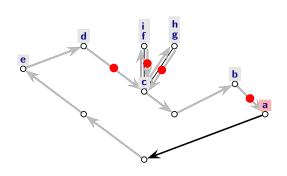
- While moving upwards do nothing.
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- If a sound is reached, add the sound to the sequence, unless it has been added before.



 $ed M_1 cfi M_2 gh M_3 b$

procedure

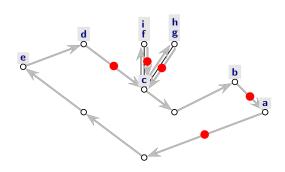
- While moving upwards do nothing.
- While moving downwards along an edge add a new marker to the sequence unless its last element is already a marker.
- If a sound is reached, add the sound to the sequence, unless it has been added before.



 $edM_1cfiM_2ghM_3bM_4a$

procedure

- While moving upwards do nothing.
- While moving downwards along an edge add a new marker to the sequence unless its last element is already a marker.
- If a sound is reached, add the sound to the sequence, unless it has been added before.



 $edM_1cfiM_2ghM_3bM_4aM_5$

procedure

Start with the empty sequence and choose a walk through the S-graph:

Minimality of the Sivasūtras

- While moving upwards do nothing.
- While moving downwards along an edge add a new marker to the sequence unless its last element is already a marker.
- If a sound is reached, add the sound to the sequence, unless it has been added before.

1st condition: evaluation

- + Allows the construction of S-alphabets with minimal number of markers.
- The planarity of a graph is difficult to check.

S-sortability

Main theorem of S-sortability

A set of classes is S-sortable without duplications if one of the following equivalent statements is true:

- Its concept lattice is Hasse-planar and for any element a there is a node labeled a in the S-graph.
- 2 The concept lattice of the enlarged set of classes is Hasse-planar.
- The Ferrers-graph of the enlarged set of classes is bipartite.

- The Ferrers-graph can be computed directly from the formal context.
- Its bipartity can be checked algorithmically.

3rd condition: terminology & proof

Theorem (Zschalig 2007)

The concept lattice of a formal context is Hasse-planar if and only if its Ferrers-graph is bipartite.

3rd condition: terminology & proof

Theorem (Zschalig 2007)

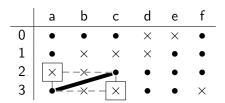
The concept lattice of a formal context is Hasse-planar if and only if its Ferrers-graph is bipartite.

	a	b	С	d	е	f
0	• • ×	•	•	×	×	•
1	•	×	×	×	•	•
2	X	×	•	•	•	•
3	•	×	×	•	•	×

3rd condition: terminology & proof

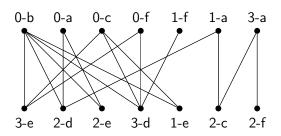
Theorem (Zschalig 2007)

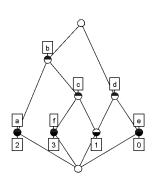
The concept lattice of a formal context is Hasse-planar if and only if its Ferrers-graph is bipartite.



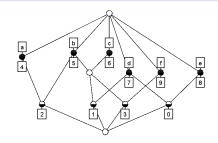
3rd condition: example

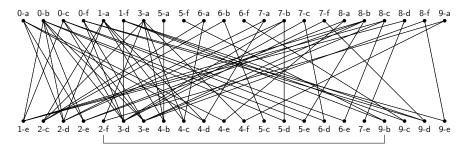
	а	b	С	d	е	f
0				×	×	
1		×	\times	\times		
2	×	×				
3		×	×			×





3rd condition: example





3rd condition: evaluation

- It is of no help in the construction of S-alphabets with minimal number of markers.
- + It can be checked easily by an algorithm.

Getting back to Pānini's problem

Introduction



 $a \cdot i \cdot un \mid r \cdot lk \mid e \cdot on \mid ai \cdot auc \mid hayavarat \mid$ $lan \mid \tilde{n}ama\dot{n}ananam \mid jhabha\tilde{n} \mid ghadhadhas \mid jabaqadadas \mid$ khaphachathathacatatav | kapay | śasasar | hal |

Q: Are the Śivasūtras minimal (with respect to length)?

Minimality of the Sivasūtras

What does minimal mean?

```
a \cdot i \cdot un \mid r \cdot lk \mid e \cdot on \mid ai \cdot auc \mid hayavarat \mid
lan \mid \tilde{n}ama\dot{n}ananam \mid jhabha\tilde{n} \mid ghadhadhas \mid jabagadadas \mid
khaphachathathacatatav | kapay | śasasar | hal |
```

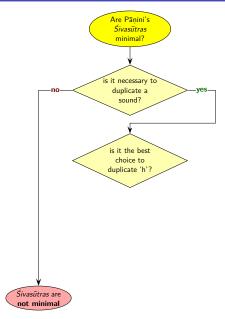
The *Śivasūtras* are minimal if it is **im**possible to rearrange the Sanskrit sounds in a new list with anubandhas such that

- each pratyāhāra forms an interval ending before an anubandha,
- no sound occurs twice
- or one sound occurs twice but less anubandhas are needed.
- ⇒ duplicating a sound is worse than adding anubandhas

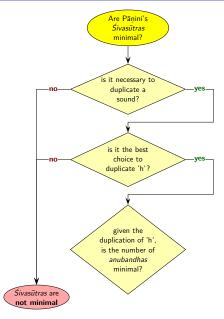
Introduction

Are Pāṇini's Śivasūtras minimal?

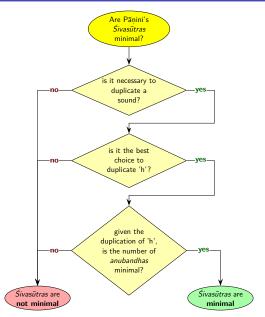




S-sortability

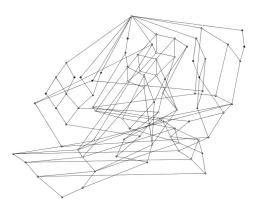


S-sortability

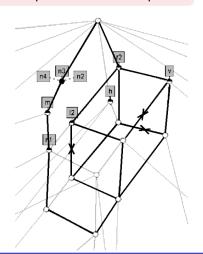


Main theorem on S-sortability (part 1a)

If a set of classes is S-sortable, then its concept lattice is Hasse-planar.



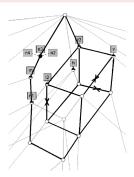
concept lattice of Pānini's pratyāhāras



Criterion of Kuratowski

A graph which has the graph \bowtie as a minor is not planar.

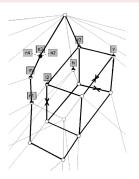


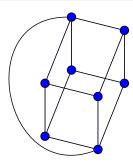


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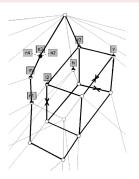


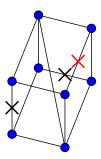


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A graph which has the graph as a minor is not planar.



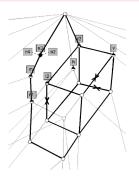


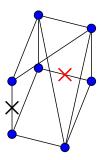


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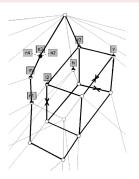


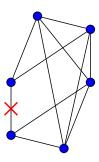


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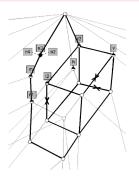


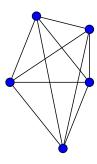


Criterion of Kuratowski

A graph which has the graph as a minor is not planar.



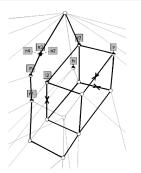


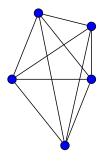


Criterion of Kuratowski

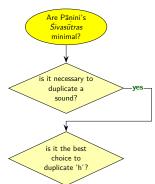
A graph which has the graph as a minor is not planar.





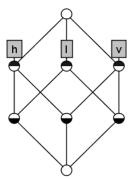


There is no S-alphabet for the set of classes given by Pāṇini's pratyāhāras without duplicated elements!

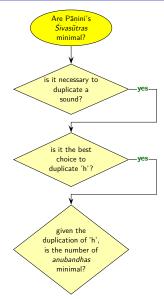


h and the independent triples

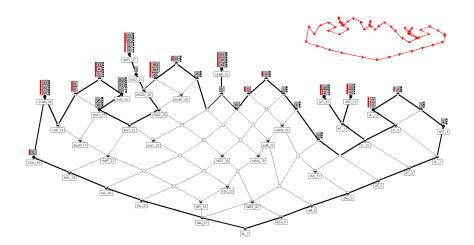
$$\begin{array}{c|cccc}
 & h & l & v \\
\hline
\{h,l\} & \times & \times & \\
\{h,v\} & \times & \times & \\
\{v,l\} & \times & \times & \times
\end{array}$$



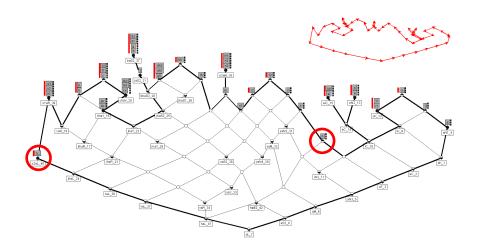
Altogether there exists 249 independent triples. h is included in all of them.



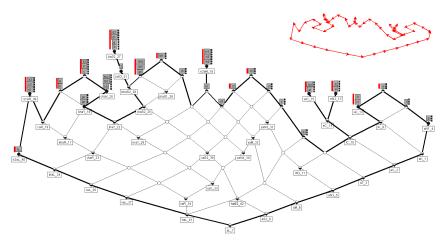
Concept lattice of Pāṇini's pratyāhāras with duplicated h



Concept lattice of Pāṇini's pratyāhāras with duplicated h



Concept lattice of Pāṇini's pratyāhāras with duplicated h



With the *Śivasūtras* Pāṇini has chosen one out of nearly 12 million minimal S-alphabets!

S-sortability

$$\langle a, i, u, M_1, \{r, i\}_1, M_2, \{\langle \{e, o\}_2, M_3 \rangle, \langle \{ai, au\}_3, M_4 \rangle \}_4,$$

$$h, y, v, r, M_5, I, M_6, \tilde{n}, m, \{\dot{n}, \dot{n}, n, \}_5, M_7, jh, bh, M_8,$$

$$\{gh, dh, dh\}_6, M_9, j, \{b, g, d, d\}_7, M_{10}, \{kh, ph\}_8, \{ch, th, th\}_9,$$

$$\{c, t, t\}_{10}, M_{11}, \{k, p\}_{11}, M_{12}, \{\dot{s}, \dot{s}, \dot{s}\}_{12}, M_{13}, h, M_{14} \}$$

$$\begin{array}{l} 2! \times 2! \times 2! \times 2! \times 3! \times 3! \times 3! \times 4! \times 2! \times 3! \times 3! \times 3! \times 2! \times 3! \\ \{\}_1 & \{\}_2 & \{\}_3 & \{\}_4 & \{\}_5 & \{\}_6 & \{\}_7 & \{\}_8 & \{\}_9 & \{\}_{10} & \{\}_{11} & \{\}_{12} \\ = 2 \times 2 \times 2 \times 2 \times 6 \times 6 \times 24 \times 2 \times 6 \times 6 \times 2 \times 6 = 11943936 \end{array}$$

minimal

Introduction

The story is much more intricate

- We have neither shown that Pānini's technique for the representation of sound classes is optimal
- nor that he has used his technique in an optimal way.
 - not all sound classes are denoted by pratyāhāras
 - rules overgeneralize
 - sūtra 1.3.10: yathāsamkhyamanudeśah samānām

Open problems

The story is much more intricate

- We have neither shown that Pānini's technique for the representation of sound classes is optimal
- nor that he has used his technique in an optimal way.
 - not all sound classes are denoted by pratyāhāras
 - rules overgeneralize
 - sūtra 1.3.10: yathāsamkhyamanudeśah samānām

- For physical objects ,duplicating' means ,adding copies'
- Adding copies is annoying but often not impossible
- Ordering objects in an S-order may
 - improve user-friendliness
 - save time
 - save space
 - simplify visual representations of classifications



tree



S-sortable



general hierarchy

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tree



S-sortable



general hierarchy

Objects in libraries, ware-houses, and stores are only *nearly* linearly arranged:

⇒ Second (and third) dimension can be used in order to avoid duplications





total list:

aiuŅṛļK eoŃ aiauC hyvrŢ lŅ ñmṅṇnM jhbhÑ ghḍhdhŞ jbgḍdŚ khphchththcttV kpY śṣṣR hL

- total list is of minimal length;
- 2 sound list is of minimal length;
- 3 anubandha list is of minimal length;
- 4 total list is as short as possible while the *anubandha* list is minimal;
- 5 total list is as short as possible while the sound list is minimal;

sound list:

aiu ṛḷ eo aiau hyvr l ñmṅṇn jhbh ghḍhdh jbgḍd khphchṭhthcṭt kp śṣs h

- total list is of minimal length;
- 2 sound list is of minimal length;
- 3 anubandha list is of minimal length;
- 4 total list is as short as possible while the *anubandha* list is minimal;
- 5 total list is as short as possible while the sound list is minimal;

anubandha list:

- total list is of minimal length;
- 2 sound list is of minimal length;
- anubandha list is of minimal length;
- 4 total list is as short as possible while the anubandha list is minimal;
- 5 total list is as short as possible while the sound list is minimal;

aiuŅṛļK eo N aiau C hyvrŢ lŅ ñmṅṇnM jhbhÑ ghḍhdhŞ jbgḍdŚ khphchṭhthcṭtV kpY śṣsR hL

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- 5 total list is as short as possible while the sound list is minimal;
- ⇒ duplicating sounds is worse than adding *anubandhas*

Principle of economy

Staal 1962

Another general principle is also implicitly used by $P\bar{a}$ nini. This is the famous economy criterion [...] In accordance with this principle each linguistic rule should be given in the shortest possible form, whereas the number of metalinguistic symbols should be reduced as far as possible.

⇒ 5. criterion of minimality: total list is as short as possible while the sound list is minimal

Kiparsky 1991

The reasoning from economy goes like this. To be grouped together in a pratyāhāra, sounds must make up a continuous segment of the list. Economy requires making the list as short as possible, which means avoiding repetitions of sounds, and using as few markers as possible.

Consequently, if class A properly includes class B, the elements shared with B should be listed last in A; the marker that follows can then be used to form pratyāhāras for both A and B. In this way the economy principle, by selecting the shortest grammar, determines both the ordering of sounds and the placement of markers among them.

Kiparsky 1991

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Śivasūtras:

aiu Ņ rļ K eo N aiau C hyvr T l Ņ ñ m n n m jh bh N gh ḍh dh Ş j b g ḍ d Ś kh ph ch ṭh th c ṭ t V k p Y śṣs R h L

```
aK = \{a, i, u, r, f\}, iK = \{i, u, r, f\} \text{ and } uK = \{u, r, f\} \Rightarrow a < i < u < r, f\}
but:
ihL =
{h, s, s, ś, p, k, t, t, c, th, th, ch, ph, kh, d, d, g, b, j, dh, dh, gh, bh, jh}
ihR =
{s, s, ś, p, k, t, t, c, th, th, ch, ph, kh, d, d, g, b, j, dh, dh, gh, bh, jh}
jhY = \{p, k, t, t, c, th, th, ch, ph, kh, d, d, g, b, j, dh, dh, gh, bh, jh\}
ih\dot{S} = \{d, d, g, b, i, dh, dh, gh, bh, ih\} and
ihS = \{dh, dh, gh, bh, ih\}
\Rightarrow h < s, s, \leq p, k, t, t, c, th, th, ch, ph, kh, d < d, g, b, i <
dh, dh, gh, bh, jh
```

Śivasūtras:

aiu Ņ rļ K eo N aiau C hyvr T l Ņ ñ m n n m jh bh \tilde{N} gh ḍh dh \tilde{S} j b g ḍ d \tilde{S} kh ph ch ṭh th c ṭ t V k p Y śṣs R h L

```
aK = \{a, i, u, r, l\}, iK = \{i, u, r, l\} \text{ and } uK = \{u, r, l\} \Rightarrow a < i < u < r, l\}
but:
ihL =
{h, s, s, ś, p, k, t, t, c, th, th, ch, ph, kh, d, d, g, b, j, dh, dh, gh, bh, jh}
ihR =
{s, s, ś, p, k, t, t, c, th, th, ch, ph, kh, d, d, g, b, j, dh, dh, gh, bh, jh}
jhY = \{p, k, t, t, c, th, th, ch, ph, kh, d, d, g, b, j, dh, dh, gh, bh, jh\}
ih\hat{S} = \{d, d, g, b, i, dh, dh, gh, bh, ih\} and
ihS = \{dh, dh, gh, bh, ih\}
\Rightarrow h < s, s, \acute{s} < p, k, t, t, c, th, th, ch, ph, kh, d < d, g, b, j <
dh. dh. gh. bh. jh
```

Literature

- Kiparsky, P. (1991), Economy and the construction of the Śivasūtras. In: M. M. Deshpande & S. Bhate (eds.), *Pāṇinian Studies*, Michigan: Ann Arbor.
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- Staal, F. (1962), A Method of Linguistic Description. Language 38, 1-10.
- Zschalig, C. (2007), Bipartite Ferrers-graphs and planar concept lattices. In: S. O. Kuznetsov and S. Schmidt (eds.): *Proceedings of the 5th ICFCA*. LNCS 4390, p. 313–327, Springer.

Origin of Pictures

- libraries (left): http://www.meduniwien.ac.at/medizinischepsychologie/bibliothek.htm
- libraries (middle): http://www.math-nat.de/aktuelles/allgemein.htm
- libraries (right): http://www.geschichte.mpg.de/deutsch/bibliothek.html
- warehouses: http://www.metrogroup.de/servlet/PB/menu/1114920_l1/index.html
- stores: http://www.einkaufsparadies-schmidt.de/01bilder01/