# **Semantic Modeling with Frames**

Rainer Osswald & Wiebke Petersen

Department of Linguistics and Information Science Heinrich-Heine-Universität Düsseldorf

**ESSLLI 2018** 

**Introductory Course** 

Sofia University 06, 08, – 10, 08, 2018



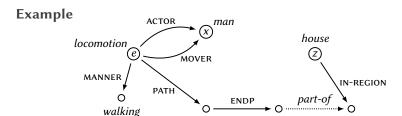


# Part 2 Formal foundations

# **Topics**

- Attribute-value descriptions and formulas
- Translation into predicate logic
- Formal definition of frames
- Frames as models
- Subsumption and unification
- Attribute-value constraints
- Frames versus feature structures
- Type constraints versus type hierarchy

# Recap



path

## Ingredients

■ Attributes (funct. relations): ACTOR, MOVER, PATH, MANNER, IN-REGION, ...

region

region

- Type symbols: locomotion, man, path, walking, region, ...
- Proper relation symbols: *part-of*
- Node labels (variables, constants): *e*, *x*, *z*

## **Core property**

■ Every node is reachable from some labeled "base" node via attributes.

# Attribute-value descriptions

# **Vocabulary / Signature**

```
Attr attributes (= dyadic functional relation symbols)

Rel (proper) relation symbols

Type type symbols (= monadic predicates)

Nname node names ("nominals")

Nvar node variables

Nlabel node labels
```

# Attribute-value descriptions

# **Vocabulary / Signature**

Attr attributes (= dyadic functional relation symbols)

Rel (proper) relation symbols

Type type symbols (= monadic predicates)

Nvar node variables

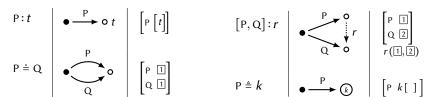
Nlabel node labels

# Primitive attribute-value descriptions (pAVDesc)

$$t \mid p: t \mid p \doteq q \mid [p_1, \dots, p_n]: r \mid p \triangleq k$$

$$(t \in \mathsf{Type}, \ r \in \mathsf{Rel}, \ p, q, p_i \in \mathsf{Attr}^*, \ k \in \mathsf{Nlabel})$$

#### **Semantics**



# Translation into first-order predicate logic

## **Vocabulary / Signature**

Attr dyadic relation symbols (attributes)

Rel relation symbols

Type monadic predicates (type symbols)

Nname constants (node names)

Nvar variables

**Important** Functionality of attributes has to be enforced axiomatically!

Primitive attribute-value descriptions as predicates:

$$p: t \qquad \lambda x \exists y (p(x, y) \land t(y))$$

$$p \doteq q \qquad \lambda x \exists y (p(x, y) \land q(x, y))$$

$$[p_1, \dots, p_n]: r \qquad \lambda x \exists y_1 \dots \exists y_n (p_1(x, y_1) \land \dots \land p_n(x, y_n) \land r(y_1, \dots, y_n))$$

$$p \triangleq k \qquad \lambda x (p(x, k))$$

# Primitive attribute-value formulas (pAVForm)

$$k \cdot p : t \mid k \cdot p \triangleq l \cdot q \mid \langle k_1 \cdot p_1, \dots, k_n \cdot p_n \rangle : r$$

$$(t \in \mathsf{Type}, \ r \in \mathsf{Rel}, \ p, q, p_i \in \mathsf{Attr}^*, \ k, l, k_i \in \mathsf{Nlabel})$$

# **Semantics**

$$k \cdot P : t$$

$$k \cdot P \triangleq l \cdot Q$$

$$k \mid P \mid Q \mid Q$$

$$k \mid Q \mid Q \mid Q$$

# Primitive attribute-value formulas (pAVForm)

$$k \cdot p : t \mid k \cdot p \triangleq l \cdot q \mid \langle k_1 \cdot p_1, \dots, k_n \cdot p_n \rangle : r$$

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#### **Semantics**

# Formal definitions (fairly standard)

# Primitive attribute-value formulas (pAVForm)

$$k \cdot p : t \mid k \cdot p \triangleq l \cdot q \mid \langle k_1 \cdot p_1, \dots, k_n \cdot p_n \rangle : r$$

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# **Semantics**

$$k \cdot \mathbf{P} : t \qquad \qquad k \left[ \mathbf{P} \left[ t \right] \right] \qquad \qquad \langle k \cdot \mathbf{P}, l \cdot \mathbf{Q} \rangle : r \qquad \Diamond \qquad \qquad \begin{matrix} \mathbf{P} & \mathbf{0} \\ \mathbf{Q} & \mathbf{P} \end{matrix} \qquad \begin{matrix} k \left[ \mathbf{P} \left[ t \right] \right] \\ l \left[ \mathbf{Q} \right] \end{matrix} \qquad \begin{matrix} k \left[ \mathbf{P} \right] \right] \qquad \qquad \begin{matrix} l \left[ \mathbf{Q} \right] \\ l \left[ \mathbf{Q} \right] \end{matrix} \qquad \begin{matrix} l \left[ \mathbf{Q} \right] \right] \qquad \qquad \begin{matrix} l \left[ \mathbf{Q} \right] \end{matrix} \qquad \begin{matrix} l \left[ \mathbf{Q} \right] \right] \qquad \qquad \begin{matrix} l \left[ \mathbf{Q} \right] \end{matrix} \qquad \begin{matrix} l \left[ \mathbf{Q} \end{matrix} \end{matrix} \qquad \begin{matrix} l \left[ \mathbf{Q} \right] \end{matrix} \qquad \begin{matrix} l \left[ \mathbf{Q} \end{matrix} \end{matrix} \end{matrix} \qquad \begin{matrix} l \left[ \mathbf{Q} \end{matrix} \end{matrix} \end{matrix} \qquad \begin{matrix} l \left[ \mathbf{Q} \end{matrix} \end{matrix} \qquad \begin{matrix} l \left[ \mathbf{Q} \end{matrix} \end{matrix} \end{matrix} \qquad \begin{matrix} l \left[ \mathbf{Q} \end{matrix} \end{matrix} \end{matrix} \qquad \begin{matrix} l \left$$

# Formal definitions (fairly standard)

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Interpretation function  $I: Attr \rightarrow [V \rightarrow V],$ 

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# Formal definitions (fairly standard)

Set/universe of "nodes" V

 $I: \mathsf{Attr} \to [V \rightharpoonup V], \ \ \mathsf{Type} \to \wp(V),$ 

# Primitive attribute-value formulas (pAVForm)

$$k \cdot p : t \mid k \cdot p \triangleq l \cdot q \mid \langle k_1 \cdot p_1, \dots, k_n \cdot p_n \rangle : r$$

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# **Semantics**

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# Formal definitions (fairly standard)

Set/universe of "nodes" V

Interpretation function  $I: \mathsf{Attr} \to [V \to V], \mathsf{Type} \to \wp(V),$  $\mathsf{Rel} \to \bigcup_n \wp(V^n), \mathsf{Nname} \to V$ 

# Primitive attribute-value formulas (pAVForm)

$$k \cdot p : t \mid k \cdot p \triangleq l \cdot q \mid \langle k_1 \cdot p_1, \dots, k_n \cdot p_n \rangle : r$$

$$(t \in \mathsf{Type}, \ r \in \mathsf{Rel}, \ p, q, p_i \in \mathsf{Attr}^*, \ k, l, k_i \in \mathsf{Nlabel})$$

# **Semantics**

# Formal definitions (fairly standard)

Set/universe of "nodes" V

Interpretation function  $I: Attr \rightarrow [V \rightarrow V], Type \rightarrow \wp(V),$ 

 $Rel \to \bigcup_n \wp(V^n)$ ,  $Nname \to V$ 

(Partial) variable assignment  $g: Nvar \rightarrow V$ 

# Formal definitions (cont'd)

Abbreviation:  $I_g(k) = v$  for  $k \in \text{Nlabel}$  iff I(k) = v if  $k \in \text{Nname}$  and g(k) = v if  $k \in \text{Nvar}$  (g(k) defined)

# Formal definitions (cont'd)

Abbreviation: 
$$I_g(k) = v$$
 for  $k \in \text{Nlabel}$  iff  $I(k) = v$  if  $k \in \text{Nname}$  and  $g(k) = v$  if  $k \in \text{Nvar}$   $(g(k) \text{ defined})$ 

$$\langle V, I, g \rangle, v \models t$$
 iff  $v \in I(t)$ 

# Formal definitions (cont'd)

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$$\langle V, I, g \rangle, v \models t \qquad \qquad \text{iff} \quad v \in I(t)$$
 
$$\langle V, I, g \rangle, v \models p : t \qquad \qquad \text{iff} \quad I(p)(v) \in I(t)$$

# Formal definitions (cont'd)

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$$\begin{split} \langle V, I, g \rangle, v &\models t & \text{iff } v \in I(t) \\ \langle V, I, g \rangle, v &\models p : t & \text{iff } I(p)(v) \in I(t) \\ \langle V, I, g \rangle, v &\models p \doteq q & \text{iff } I(p)(v) = I(q)(v) \\ \langle V, I, g \rangle, v &\models [p_1, \dots, p_n] : r \text{ iff } \langle I(p_1)(v), \dots, I(p_n)(v) \rangle \in I(r) \end{split}$$

## Formal definitions (cont'd)

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#### Satisfaction of primitive descriptions

$$\begin{split} \langle V, I, g \rangle, v &\models t & \text{iff } v \in I(t) \\ \langle V, I, g \rangle, v &\models p : t & \text{iff } I(p)(v) \in I(t) \\ \langle V, I, g \rangle, v &\models p \doteq q & \text{iff } I(p)(v) = I(q)(v) \\ \langle V, I, g \rangle, v &\models [p_1, \dots, p_n] : r \text{ iff } \langle I(p_1)(v), \dots, I(p_n)(v) \rangle \in I(r) \\ \langle V, I, g \rangle, v &\models p \triangleq k & \text{iff } I(p)(v) = I_g(k) \quad (k \in \text{Nlabel}) \end{split}$$

#### Satisfaction of primitive formulas

$$\langle V, I, g \rangle \models k \cdot p \colon t \qquad \qquad \text{iff } I(p)(I_g(k)) \in I(t)$$

## Formal definitions (cont'd)

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#### Satisfaction of primitive formulas

## Formal definitions (cont'd)

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#### Satisfaction of primitive formulas

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#### Satisfaction of **Boolean combinations** as usual.

# Frames defined

**Frame** *F* over (Attr, Type, Rel, Nname, Nvar):

 $F = \langle V, I, g \rangle$ , with V finite, such that every node  $v \in V$  is reachable from some labeled node  $w \in V$  via an attribute path,

# Frames defined

**Frame** *F* over (Attr, Type, Rel, Nname, Nvar):

 $F = \langle V, I, g \rangle$ , with V finite, such that every node  $v \in V$  is reachable from some labeled node  $w \in V$  via an attribute path, i.e.,

- (i)  $w = I_g(k)$  for some  $k \in \text{Nlabel}$  (= Nname  $\cup$  Nvar) and
- (ii) v = I(p)(w) for some  $p \in Attr^*$ .

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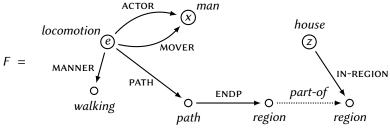
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- (ii)  $v = \mathcal{I}(p)(w)$  for some  $p \in \mathsf{Attr}^*$ .

# locomotion NANNER PATH Walking Path P

A frame  $F = \langle V, I, g \rangle$  is a **model** of an AV formula  $\phi$  iff  $F \models \phi$ .

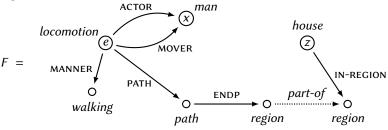
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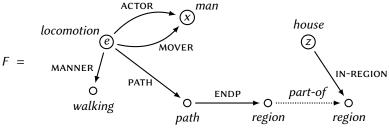
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F⊧

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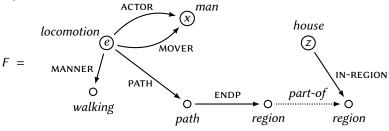
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 $F \models e \cdot locomotion$ 

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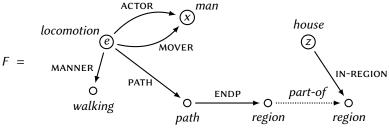
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 $F \models e \cdot locomotion$  $F \models e \cdot (locomotion \land ACTOR: man)$ 

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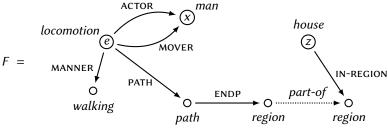
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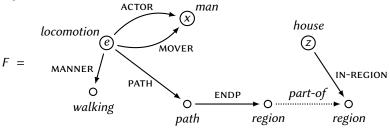
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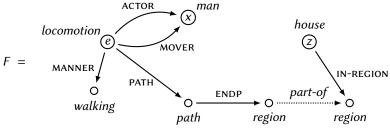
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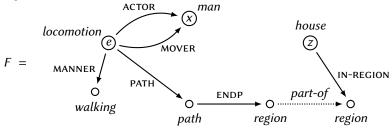
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# Frames as models of AV formulas

A frame  $F = \langle V, I, g \rangle$  is a **model** of an AV formula  $\phi$  iff  $F \models \phi$ .

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 $F \models e \cdot (ACTOR \triangleq MOVER)$ 

 $F \models \langle e \cdot PATH \ ENDP, \ z \cdot IN-REGION \rangle : part-of$ 

## Subsumption

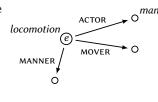
 $F_1 = \langle V_1, I_1, g_1 \rangle$  **subsumes**  $F_2 = \langle V_2, I_2, g_2 \rangle$  ( $F_1 \sqsubseteq F_2$ ) iff there is a (necessarily unique) **morphism**  $h : F_1 \to F_2$ , i.e., a function  $h : V_1 \to V_2$  such that

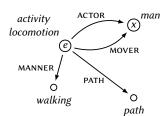
- (i)  $I_2(f)(h(v)) = h(I_1(f)(v))$ , if  $I_1(f)(v)$  is defined,  $f \in Attr, v \in V_1$ ,
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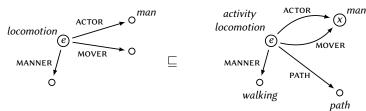




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#### Intuition

 $F_1$  subsumes  $F_2$  ( $F_1 \subseteq F_2$ ) iff  $F_2$  is at least as informative as  $F_1$ .

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Least upper bound  $F_1 \sqcup F_2$  of  $F_1$  and  $F_2$  w.r.t. subsumption (if existent).

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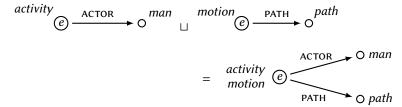
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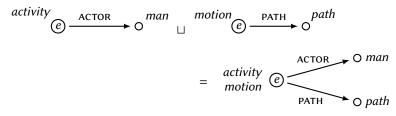
# **Theorem** (Frame unification)

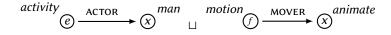
[≈ Hegner 1994]

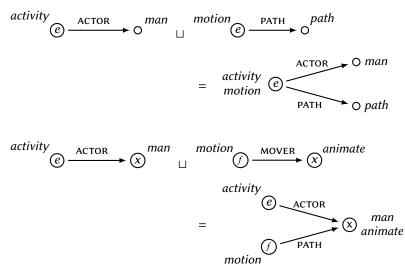
The worst case time-complexity of frame unification is almost linear in the number of nodes.











## Frames as minimal models of attribute-value formulas

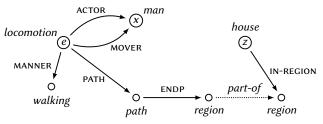
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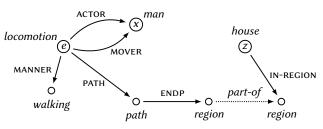
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#### Example



 $e \cdot (locomotion \land manner : walking \land actor \triangleq x \land mover \doteq actor \land path : (path \land endp : region)) \land (e \cdot path endp, z \cdot in-region) : part-of \land x \cdot man$ 

**Constraints** (general format)  $\forall \phi$ , with  $\phi \in AVDesc$ 

$$\langle V, I, g \rangle \models \forall \phi \text{ iff } \langle V, I, g \rangle, v \models \phi \text{ for every } v \in V$$

#### **Notation:**

$$\phi \implies \psi \quad \text{for} \quad \forall (\phi \rightarrow \psi)$$

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## **Examples**

 $activity \Rightarrow event$  $causation \land activity \Rightarrow \bot$ 

AGENT :  $\top \Rightarrow AGENT \doteq ACTOR$  $activity \Rightarrow ACTOR : \top$ 

 $activity \land motion \Rightarrow Actor = Mover$ 

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activity  $\Rightarrow$  event causation  $\land$  activity  $\Rightarrow \bot$ 

(every activity is an event)(there is nothing which is both a causation and an activity)

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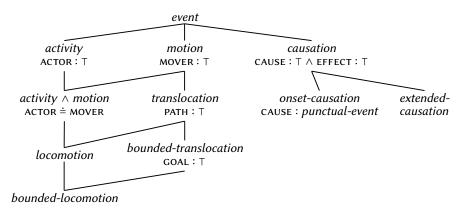
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$$activity \Rightarrow event$$
(every activity is an event) $causation \land activity \Rightarrow \bot$ (there is nothing which is both a causation and an activity) $AGENT : T \Rightarrow AGENT \doteq ACTOR$ (every agent is also an actor) $activity \Rightarrow ACTOR : T$ (every activity has an actor) $activity \land motion \Rightarrow ACTOR \doteq MOVER$ ...

# Possible graphical presentation of constraints



Caveat: Reading convention required!

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**Proposition** Given a frame F and a finite set of Horn formulas, then there is a unique least specific frame F' extending F that satisfies the given formulas (if satisfiable at all), and F' can be constructed in almost linear time.

Theorem (Frame unification under Horn constraints) [≈ Hegner 1994]

The worst case time-complexity of frame unification under a finite set of Horn formulas is almost linear in the number of nodes.

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$$THEME & y$$

Digression: A general view on semantic processing

Semantic processing as the **incremental construction** of **minimal** (**frame**) **models** (by unification under constraints) based on the input, the context, and background knowledge (lexicon, ...).

[→ Carpenter 1992, Rounds 1997, and many others]

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■ Typed feature structures

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Untyped feature structures

Type =  $\emptyset$ ; named nodes have no attributes.

# Type constraints

(Horn) constraints consisting only of type symbols (and  $\top$  and  $\bot$ )

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```
activity \Rightarrow event
motion \Rightarrow event
locomotion \Rightarrow activity
locomotion \Rightarrow motion
```

# **Type constraints**

(Horn) constraints consisting only of type symbols (and  $\top$  and  $\bot$ )

# Type hierarchy generated by type constraints

≈ single node models which satisfy all constraints, ordered by (inverse) subsumption

# Example

 $activity \Rightarrow event$   $motion \Rightarrow event$   $locomotion \Rightarrow activity$   $locomotion \Rightarrow motion$ 



# Summary & outlook

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- Frames as minimal models of attribute-value formulas
- Frame unification under constraints

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# **Next topic**

- Combining frame semantics with Lexicalized Tree Adjoining Grammars (LTAG)
- Elementary constructions as elementary trees with semantic frames
- Linguistic applications
- Brief outlook: factorization of elementary constructions in the metagrammar.