

# Tree Adjoining Grammars

## Natural Language Syntax with TAG

Laura Kallmeyer & Timm Lichte

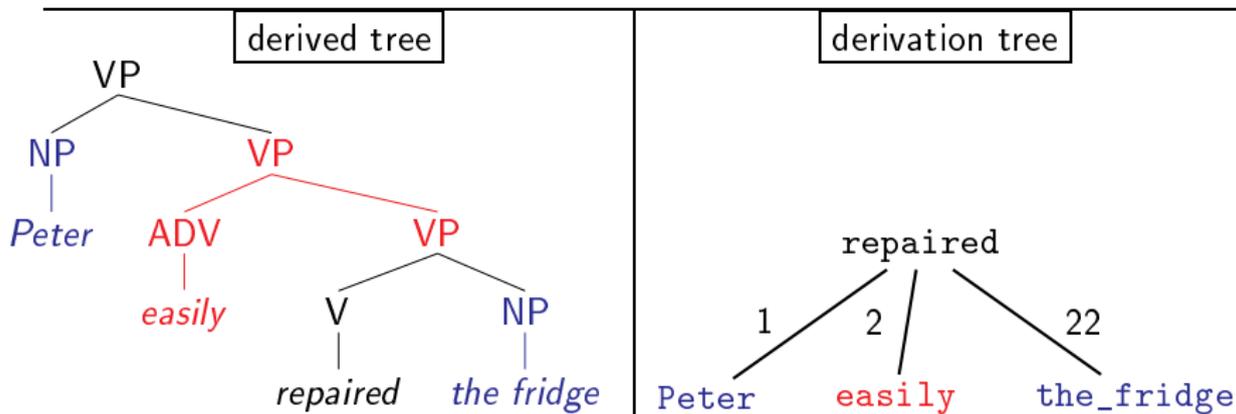
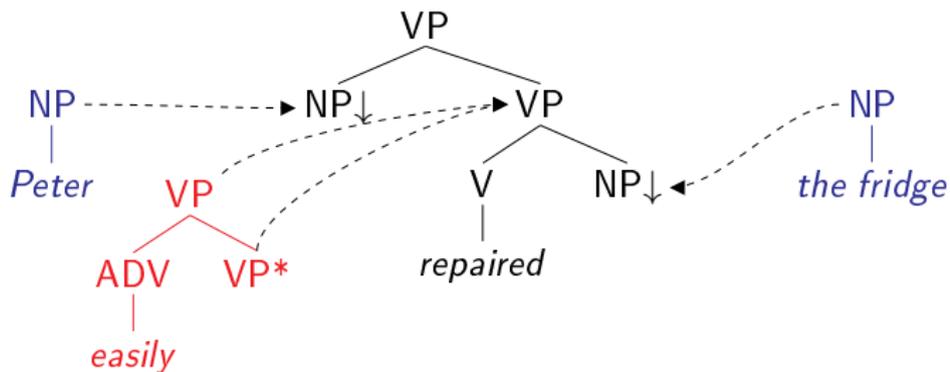
HHU Düsseldorf

WS 2012

17.10.2012

- 1 The derivation tree
- 2 Design principles for elementary trees
- 3 Sample derivations

# Derivation trees (1): The context



## Derivation trees (2):

TAG derivations are uniquely described by **derivation trees**.

The derivation tree contains:

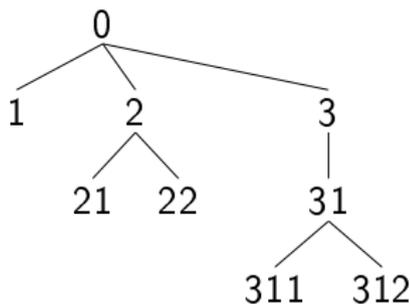
- **nodes** for all elementary trees used in the derivation, and
- **edges** for all adjunctions and substitutions performed throughout the derivation, and
- **edge labels** indicating the target node of the rewriting operation.

Whenever an elementary tree  $\gamma$  rewrites the node at Gorn address  $p$  in the elementary tree  $\gamma'$ , there is an edge from  $\gamma'$  to  $\gamma$  labeled with  $p$ .

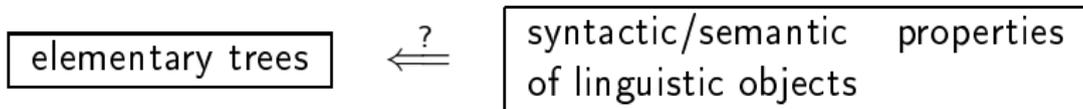
## Derivation trees (3): Gorn addresses

For the node addresses of elementary trees, **Gorn addresses** are used:

The root has address  $\epsilon$  (or 0), and the  $i$ th daughter of the node with address  $p$  has address  $pi$ .



What is an elementary tree, and what is its shape?



⇒ Syntactic design principles from [Frank, 2002]:

- Lexicalization
- Fundamental TAG Hypothesis (FTH)
- Condition on Elementary Tree Minimality (CETM)
- $\theta$ -Criterion for TAG

⇒ Semantic design principles [Abeillé and Rambow, 2000]

⇒ Design principle of economy

# Design principles (1): Lexicalization

Each elementary tree has at least one non-empty lexical item, its lexical **anchor**.

⇒ All widely used grammar formalisms support some kind of lexicalization!

Reasons for lexicalization:

- **Formal properties:** A finite lexicalized grammar provides finitely many analyses for each string (finitely ambiguous).
- **Linguistic properties:** Syntactic properties of lexical items can be accounted for more directly.
- **Parsing:** The search space during parsing can be delimited (grammar filtering).

[Schabes and Joshi, 1990, Joshi and Schabes, 1991]

## Fundamental TAG Hypothesis (FTH)

Every syntactic dependency is expressed locally within an elementary tree. [Frank, 2002]

### “syntactic dependency”

- valency/subcategorization
- modification
- binding
- ...

### “expressed within an elementary tree”

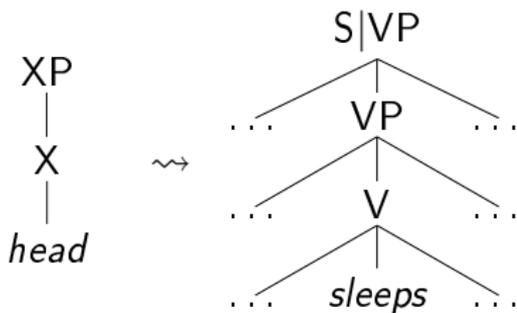
- terminal leaf (i.e. lexical anchor)
- nonterminal leaf (substitution node and footnote)
- marking an inner node for obligatory adjunction

## Condition on Elementary Tree Minimality (CETM)

The syntactic heads in an elementary tree and their projections must form the extended projection of a single lexical head.

[Frank, 2002]

Note: We only use simple, non-extended projections!



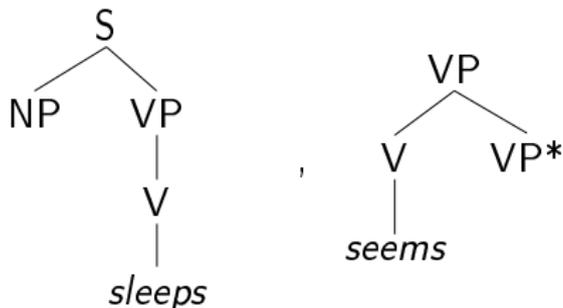
## Design principles (4): $\theta$ -Criterion for TAG

### $\theta$ -Criterion (TAG version)

- If H is the lexical head of an elementary tree T, H assigns all of its  $\theta$ -roles in T.
- If A is a frontier non-terminal of elementary tree T, A must be assigned a  $\theta$ -role in T.

[Frank, 2002]

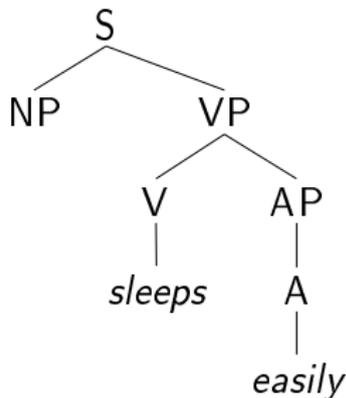
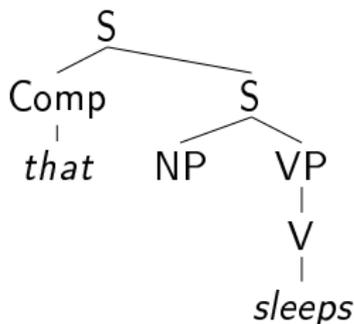
$\Rightarrow$  Valency/subcategorization is expressed only with nonterminal leaves!



# Modification and functional elements

How to insert **modifiers** (*easily*) and **functional elements** (complementizers, determiners, do-auxiliaries, ...)?

- Either by separate auxiliary trees (e.g., XTAG grammar),
- or as co-anchor in the elementary tree of the lexical item they are associated with.

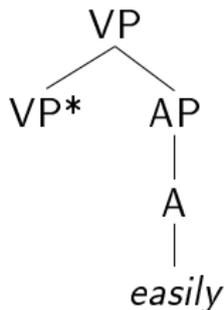
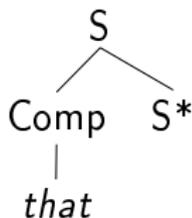


# Modification and functional elements

In XTAG, modifiers and functional elements are generally represented by auxiliary trees.

- ⇒ Footnodes/Adjunctions indicate both complementation and modification.
- ⇒ Enhancement of the CETM: (see [Abeillé and Rambow, 2000])

core tree (following CETM) + spine



See [Abeillé and Rambow, 2000].

### Predicate-argument cooccurrence:

Each elementary tree associated with a predicate contains a non-terminal leaf for each of its arguments.

### Semantic anchoring:

Elementary trees are not semantically void (*to, that.*)

### Compositional principle:

An elementary tree corresponds to a single semantic unit.

## Design principle of economy

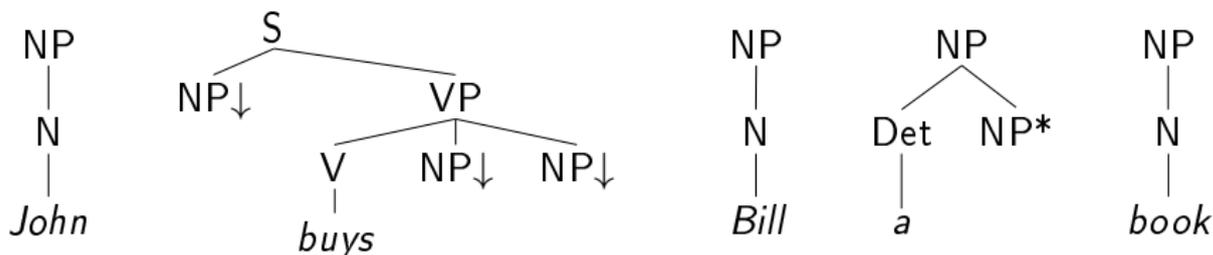
The elementary trees are shaped in such a way, that the size of the elementary trees and the size of the grammar is minimal.

- Complementation with: NPs, PPs, adjectives, clauses (raising, controlling), ...
- Modification with: PPs, adjectives, particles, temporal clauses, relative clauses, ...

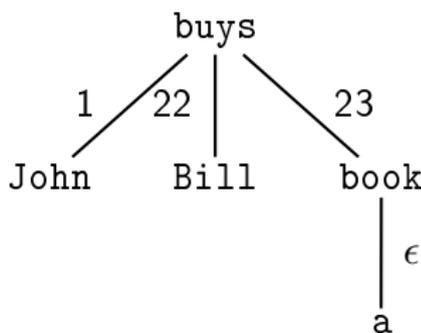
# Sample derivations: NP complements

(1) John buys Bill a book.

Elementary trees:



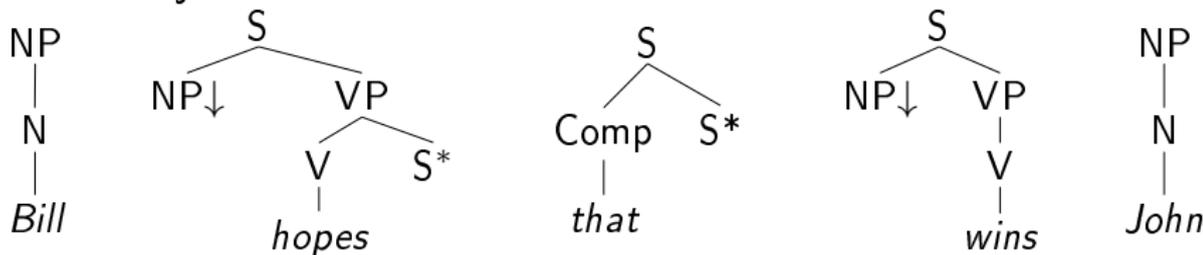
Derivation tree:



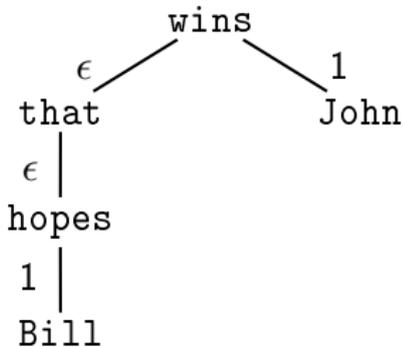
# Sample derivations: Sentential complements (1)

(2) Bill hopes that John wins.

Elementary trees:



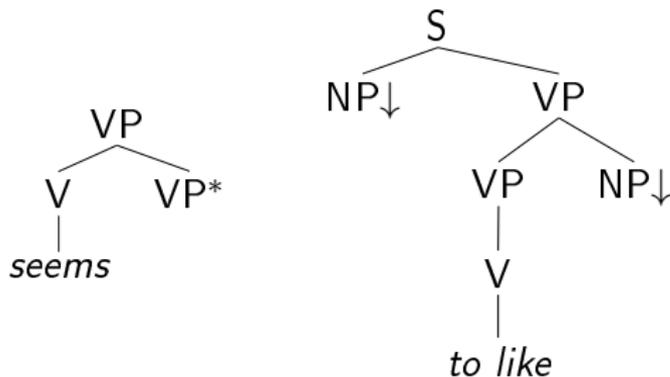
Derivation tree:



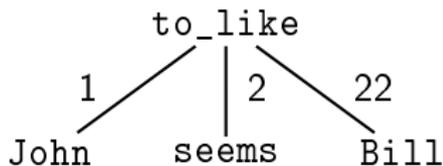
## Sample derivations: Sentential complements (2)

(3) John seems to like Bill.

Elementary trees:



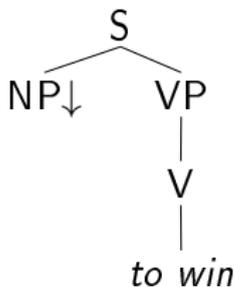
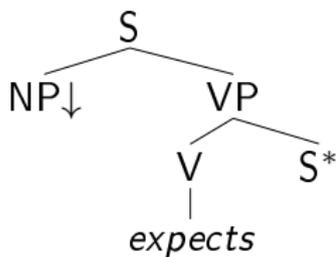
Derivation tree:



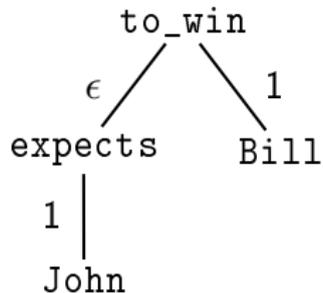
## Sample derivations: Sentential complements (3)

(4) John expects [ Bill to win ].

Elementary trees:



Derivation tree:



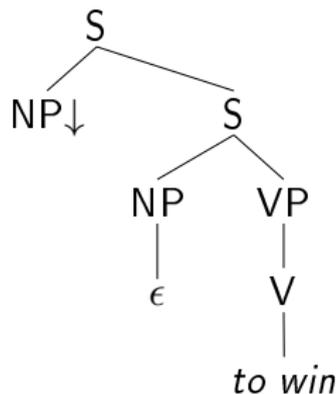
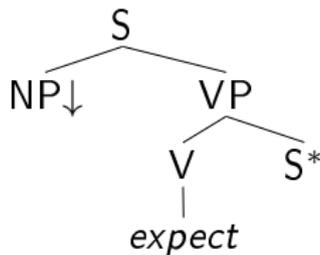
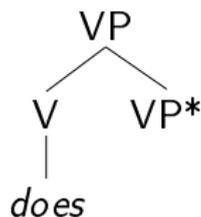
## Sample derivations: Sentential complements (4)

**Question:** Why is the sentential object represented as a footnode?

The sentential object is realised as a foot node in order to allow extractions:

(5) **Who** does John expect **to win**?

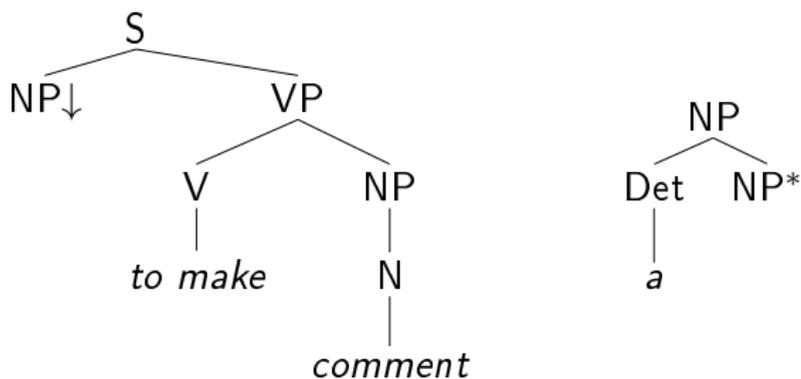
Elementary trees:



## Sample derivations: Multiple anchors

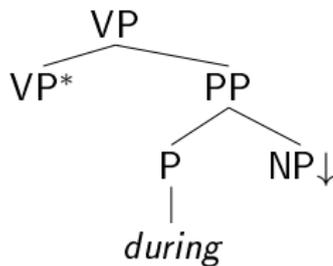
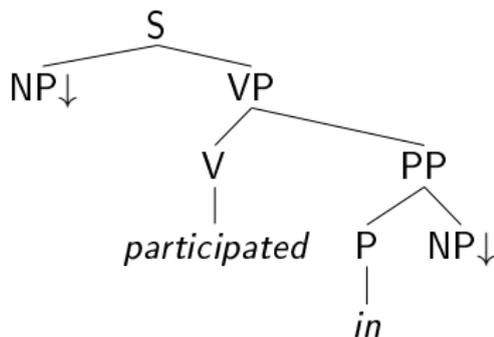
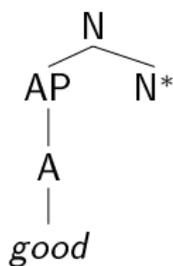
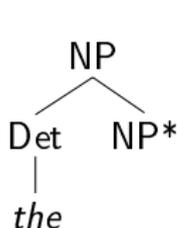
Multiword expressions and light verb constructions can be represented by elementary trees with multiple anchors:

(6) John expected [Mary to make a comment].

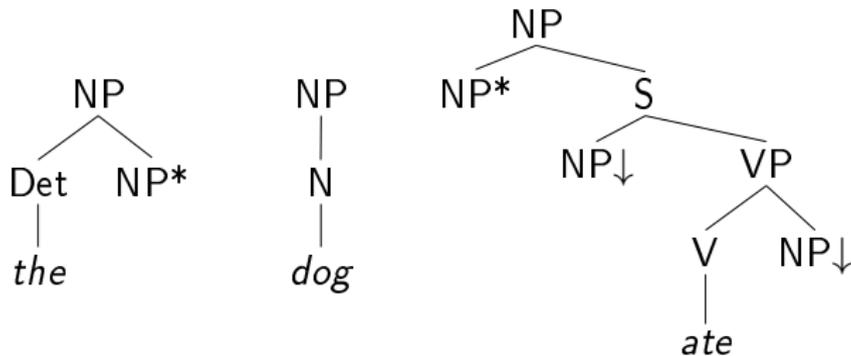


## Sample derivations: Modifiers

(7) The good student participated in every course during the semester.



(8) The dog [who ate the cake].



Problem: Extraposed relative clauses:

(1) Somebody<sub>i</sub> lives nearby [who<sub>i</sub> has a CD-burner].

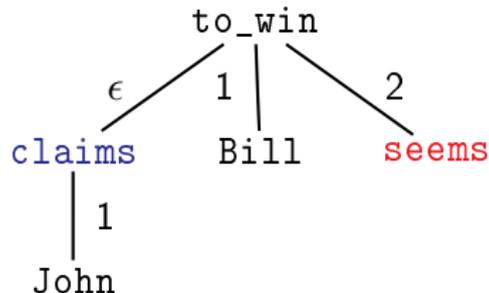
## Derivation trees = Semantic dependency structure ?

The derivation tree is not always the semantic dependency structure, due to:

- indiscernibility of complementation and modification in adjunction, and
- missing links.

Example for a missing link:

(2) John **claims** [Bill **seems** to win]





Abeillé, A. and Rambow, O. (2000).

**Tree adjoining grammar: An overview.**

In Abeillé, A. and Rambow, O., editors, [Tree Adjoining Grammars: Formalisms, Linguistic Analyses and Processing](#), volume 107 of [CSLI Lecture Notes](#), pages 1–68. CSLI Publications, Stanford.



Frank, R. (2002).

**Phrase Structure Composition and Syntactic Dependencies.**

MIT Press, Cambridge, MA.



Joshi, A. K. and Schabes, Y. (1991).

**Tree-Adjoining Grammars and lexicalized grammars.**

Technical Report MS-CIS-91-22, Department of Computer and Information Science, University of Pennsylvania.



Schabes, Y. and Joshi, A. K. (1990).

**Parsing with lexicalized tree adjoining grammar.**

Technical Report MS-CIS-90-11, Department of Computer and Information Science, University of Pennsylvania.