

Tree Adjoining Grammars

TAG: Limits and extensions

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Outline

- 1 Why extend LTAG?
- 2 Discontinuity phenomena
- 3 Multicomponent TAG (MCTAG)
- 4 Tree-local MCTAG: analyses for extraction
- 5 Variants of MCTAG

Overview (1)

① Why extend LTAG?

■ **semantic reasons:**

- The derivation tree does not always reflect the dependency tree / the predicate argument structure.
(missing link problem, intersective modifiers)

■ **syntactic reasons:**

- ellipsis (gapping, subject deletion, right node raising)
- discontinuity (extraposition, extraction, scrambling)

this lecture!

Overview (2)

② How extend LTAG?

- **Combinatorial operations:** flexible composition, multiple adjunction, segmented adjunction, contraction ...
- **Combinational operations and elementary trees:** Tree Description Grammars, ...
- **Elementary structures:** this lecture!
 - Multicomponent TAG (MCTAG): tree-local, set-local, non-local
 - variants of MCTAG: Vector MCTAG (V-TAG), MCTAG with shared nodes (SN-MCTAG), MCTAG with tree tuples and shared nodes (TT-MCTAG)

Why extend LTAG?

Discontinuity phenomena:

- Extraction out of subject raising verbs:

(1) **To whom** does Gabriel **seem** to eat gnocchi?

- Extraction out of complex NPs:

(2) **Which painting** did you see **a picture of**?
Über Goethe las Peter **ein Buch**.

- Extraposed relative clauses:

(3) **Somebody** lives nearby [**who has a CD-burner**].

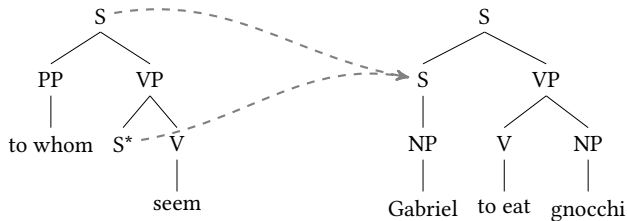
- Long-distance scrambling in German:

(4) dass **den Kühlschrank** Peter heute **zu reparieren** versucht
(‘that Peter tries to repair the fridge today’)

Extraction out of subject raising verbs

(5) **To whom** does **Gabriel seem to eat gnocchi**?

TAG-analysis:



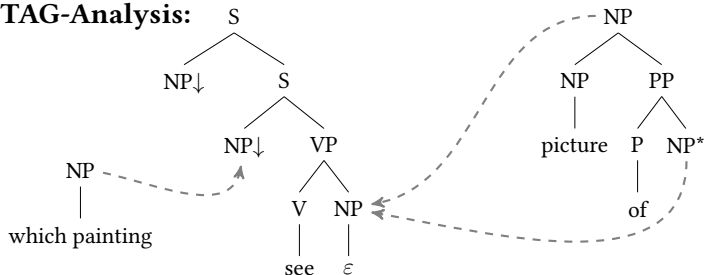
Problem: Not possible without changing the shape of the trees considerably!

Extraction out of complex NPs

(6) **Which painting** did you see **a picture of**?

Challenge: The wh-phrase is no complement of *see*, but of the preposition *of* that modifies the complement *a picture*.

TAG-Analysis:



- ⇒ The modifier *of* is part of the object NP-tree.
- ⇒ Contra θ -criterion: *which painting* is no semantic argument of *see*.

Extraposition of relative clauses

- (7) **Somebody** lives nearby [**who has a CD-burner**].
- (8) Karl hat mir [von der Kopie [einer Fälschung [eines Bildes [**einer Frau**]]]] erzählt, [**die schon lange tot ist**].

Relative clauses can appear:

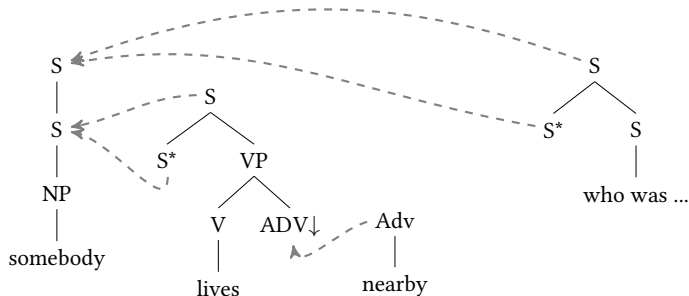
- adjacent to the antecedent
 - in the right periphery (Nachfeld) of the embedding sentence
- ⇒ non-local, but not unbounded

All kinds of constituents can undergo extraposition (especially in German): sentential complements, PPs, NPs

Extrapolation of relative clauses

Challenge: Relative clauses can modify non-adjacent nouns.

TAG-Analysis:



Problem: two extraposed relative clauses? embedded antecedents?

Scrambling

- (9) dass Peter den Kühlschrank heute repariert
dass den Kühlschrank Peter heute repariert
...
(‘that Peter repairs the fridge today’)

Challenge: Variability in word order.

TAG-Analysis:

- One elementary tree for every possible complement order.

Problem: $n!$ elementary trees, where n is the number of arguments.

Long-Distance Scrambling in coherent constructions (1)

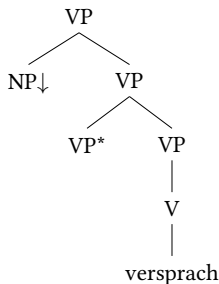
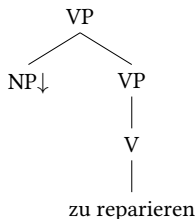
- (10) dass **Peter** den Kühlschrank heute zu reparieren **versprach**
dass den Kühlschrank **Peter** heute zu reparieren **versprach**

...

(‘that Peter promised to repair the fridge today’)

Coherent Constructions Bech (1955)

Complements (and adjuncts) of different verbs intermingle. These verbs are connected via “Statusreaktion” and subcategorization.



Long-Distance Scrambling in coherent constructions (2)

- (11) dass ihm Peter den Kühlschrank heute zu reparieren versprach
dass ihm den Kühlschrank Peter heute zu reparieren versprach
...
(‘that Peter promised him to repair the fridge today’)

Problem: If *ihm* is considered to be an argument/complement of *versprach*, the tree for *versprach* has to split into three pieces when conjoined with the tree of *zu reparieren*.

TAG-Analysis:

- *zu reparieren* adjoins to *versprach*.
⇒ contradicts θ -criterion
- *ihm* adjoins to *zu reparieren*.
⇒ contradicts θ -criterion

Long-Distance Scrambling in coherent constructions (3)

- (12) dass **des Verbrechens der Detektiv den Verdächtigen dem Klienten zu überführen versprach**
(‘that the detective promised the client to indict the suspect of the crime’)

Problem: The trees of *versprach* and *zu überführen* split into 3 pieces each, while adjunction can yield at most 5 pieces.

Under the co-occurrence constraint, TAG does not have sufficient expressive power to handle **coherent constructions**

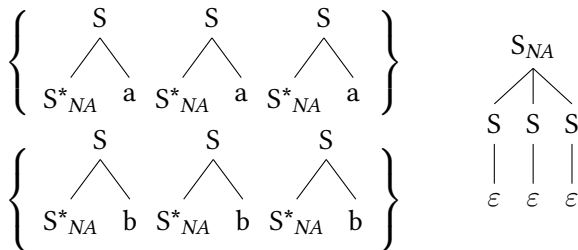
- that have two verbs and two complements each;
- that have more than two verbs with one complement each.

Becker et al. (1991), Becker et al. (1992), Joshi et al. (2000)

How extend LTAG?

- Multicomponent TAG (MCTAG): tree-local, set-local, non-local
- variants of MCTAG: Vector MCTAG (V-TAG), MCTAG with shared nodes (SN-MCTAG), MCTAG with tree tuples and shared nodes (TT-MCTAG)

Underlying idea: Split elementary structures into several trees (elementary multicomponent sets) that belong together but that can attach in different places.



MCTAG - Basics (1)

Definition

An MCTAG is a tuple $G = \langle N, T, I, A, O, C, \mathcal{A} \rangle$ such that:

- $G_{TAG} := \langle N, T, I, A, O, C \rangle$ is a TAG with adjunction constraints, and
- $\mathcal{A} \subseteq P(I \cup A)$ is a set of subsets of $I \cup A$, the set of elementary tree sets.

Restrictions on the application of an elementary tree set Γ :

- **(MC)** using Γ implies the application of all the elementary trees of Γ .
- **(SIM)** all trees of Γ have to be used simultaneously.

MCTAG - Basics (2)

MCTAGs can be further restricted Weir (1988):

- **tree-local:** (MC)+(SIM) and all $\gamma_i \in \Gamma$ have to attach to the same elementary tree.
- **set-local:** (MC)+(SIM) and all $\gamma_i \in \Gamma$ have to attach to the same elementary tree set.
- **non-local:** (MC)+(SIM).

Example: The MCTAG from slide 14 generates $\{\varepsilon, aaa, bbb\}$ in tree-local mode and $\{www \mid w \in \{a, b\}^*\}$ in set-local and also in non-local mode.

Mainly tree-local MCTAG are considered for natural language grammars due to complexity issues.

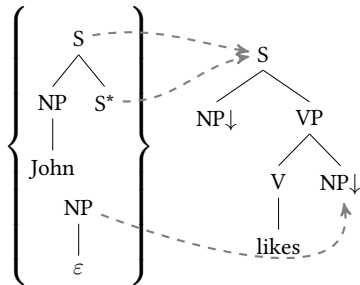
MCTAG - Expressivity and Complexity

- **tree-local MCTAG** is strongly equivalent to TAG.
- BUT: the universal recognition problem for tree-local MCTAG is NP-complete!
- **set-local MCTAG** is weakly equivalent to LCFRS and simple RCG. Polynomially parsable.
- **non-local MCTAG**: the fixed recognition problem is NP-complete (even with lexicalization and dominance links)

MCTAG - Analysis for extraction

Using tree-local MCTAG, a more movement-like analysis for extraction can be written down:

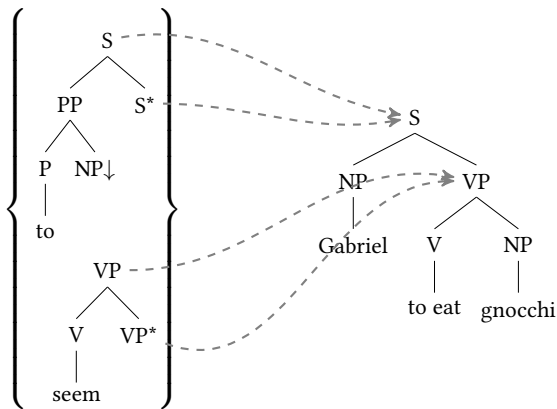
- tree sets for extracted complements
- one verbal tree for the base configuration



⇒ **Drawback(?)**: do-support has to be part of the base configuration!

MCTAG - Analysis for extractions from raising verbs

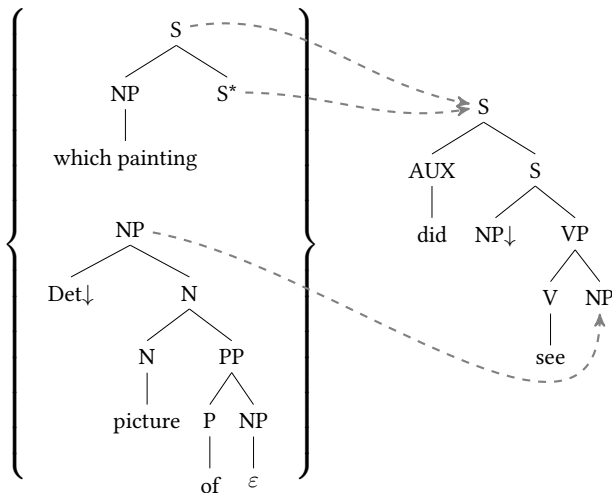
(13) **To whom** does **Gabriel seem to eat gnocchi**?



MCTAG - Analysis for extraction out of complex NPs (1)

(14) **Which painting** did you see **a picture of** ?

(Kroch, 1989):



MCTAG - Analysis for extraction out of complex NPs (2)

- Unbounded character of extraction Kroch (1989):

(15) **Which painting** did you see [a photograph of **[a copy of]**]

⇒ adjoin [*a photograph of*]

⇒ mismatch between derivation tree and predicate argument structure

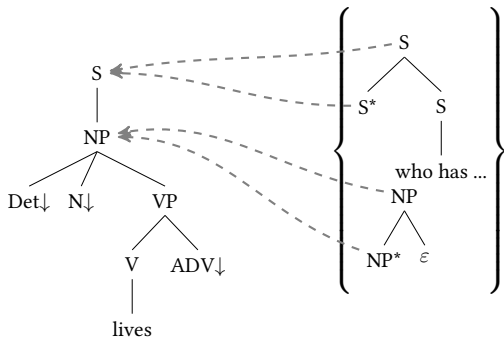
- Alternative accounts:

- ① setlocal MCTAG + multiple adjunctions Nesson and Shieber (2007)
- ② the complex NP adjoins to the wh-phrase, and the rest is added Kahane et al. (2000).

MCTAG - Analysis for extraposed relative clauses

(16) **Somebody** lives nearby [**who has a CD-burner**].

Along the lines of the analysis of Kroch and Joshi (1987):



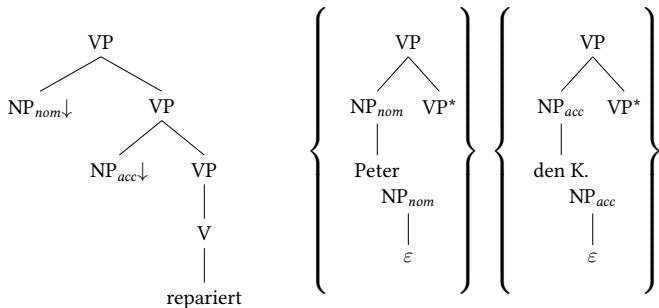
Drawbacks: a) NP-node has to be available!

b) Does not account for embedded antecedents:

(17) Karl hat mir [von der Kopie [einer Fälschung [eines Bildes [**einer Frau**]]]] erzählt, [**die schon lange tot ist**].

MCTAG - Analysis for “simple” scrambling

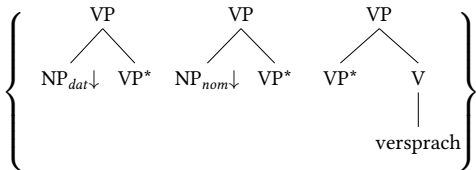
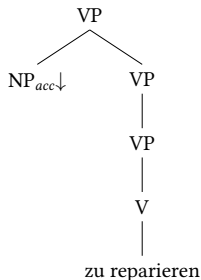
- (18) dass Peter den Kühlschrank heute repariert
dass den Kühlschrank Peter heute repariert
dass den Kühlschrank **heute** Peter repariert
...
(‘that Peter repairs the fridge today’)



MCTAG - Analysis for long-distance scrambling (1)

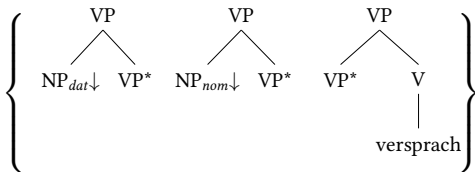
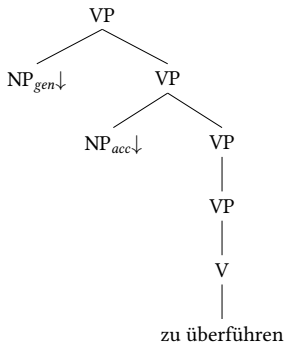
Tree-local MCTAG can handle scrambling **up to two levels of embedding**, i.e. three verbs with one complement each forming a coherent construction. Joshi et al. (2000)

- (19) dass **ihm** den Kühlschrank **Peter zu reparieren versprach**
(‘that Peter promised him to repair the fridge’)



MCTAG - Analysis for long-distance scrambling (2)

- (20) dass **des Verbrechens** **der Detektiv** **den Verdächtigen** **dem Klienten** **zu überführen** **versprach**
(‘that the detective promised the client to indict the suspect of the crime’)



MCTAG - Analysis for long-distance scrambling (3)

Drawbacks wrt. tree design:

- Extra target nodes for modification:

(21) dass **den Kühlschrank** **Peter** heute **zu reparieren** **versprach**
(‘that Peter promised to repair the fridge today’)

- Extra target nodes for the complements of embedding verbs
- The subcategorization frame is implemented nonuniformly, namely either via substitution nodes in an elementary tree, or via elementary trees in a tree set.

Summary for tree-local MCTAG

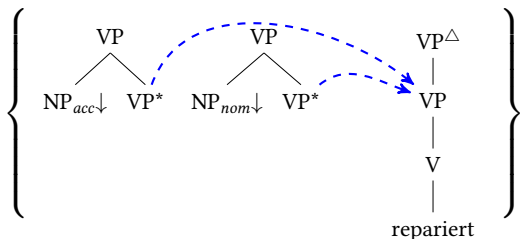
- Tree-local MCTAG is strongly equivalent to TAG.
- The design of elementary structures is more flexible. Hence, the options for providing a linguistically appealing grammar (including e.g. CETM) are more wide-ranging.
- **BUT:** TAG and tree-local MCTAG are not powerful enough to describe scrambling of a certain complexity!
- **BUT:** Tree design still suffers from structural overhead!

Extensions/variants of MCTAG

- Vector MCTAG (V-TAG) Rambow (1994)
⇒ “limit non-locality”
- MCTAG with shared nodes (SN-MCTAG) Kallmeyer (2005)
⇒ “extend tree-locality”
- MCTAG with tree tuples (TT-MCTAG) Lichte (2007)
⇒ “extend tree-locality”

V-TAG basics

- non-local MCTAG
- non-simultaneity ($\Rightarrow$ polynomially tractable!)
- limitation of non-locality:
dominance links (in blue) and integrity constraints ($\triangle$)



Pro: linguistically appropriate analyses for scrambling

Contra: rather TAG-alien integrity constraints and dominance links

SN-MCTAG basics

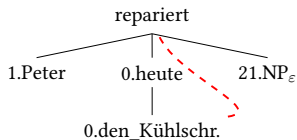
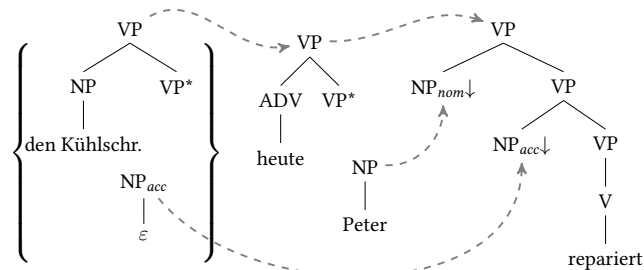
- MCTAG with extended notion of tree-locality
- Node sharing: after adjunction, the root node of the auxiliary tree is part of both, the auxiliary tree and the destination tree.
- $\Rightarrow$ adjoining γ at (the root of a tree that adjoins at)⁺ α creates a direct link between γ and α
- Restrictions for SN-MCTAG:
 - **RSN-MCTAG**: one tree of a tree set has to attach immediately to the destination tree.
 - **RSN-MCTAG with fixed arity k** : there are at most k crossing node sharing relations.
- RSN-MCTAG[k] is polynomially tractable.

Pro: linguistically appropriate analyses for scrambling

Contra: traces are inevitable.

SN-MCTAG example

- (23) dass den Kühlschrank **heute** Peter repariert
 (“that Peter repairs the fridge today”)



TT-MCTAG basics

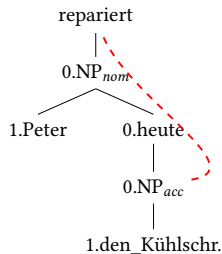
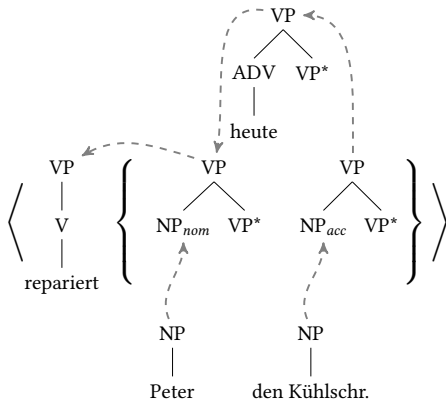
- MCTAG with shared-nodes locality
- Elementary structures are **tuples**:
 - + a lexicalized elementary tree δ (the destination tree)
 - + a tree set $\{\beta_1, \dots, \beta_n\}$
- During the derivation, the trees of the tree set have to attach to the destination tree **SN-locally**.
- Restrictions on TT-MCTAG:
 - fixed maximum on the size of the tree set
 - fixed maximum on the number of concurrently active tree tuples during derivation
- restricted TT-MCTAG is polynomially tractable (Kallmeyer and Satta, 2009)

Pro: linguistically appropriate analyses for scrambling

Contra: extra multi-componential structure

TT-MCTAG example

- (24) dass den Kühlschrank **heute** Peter repariert
("that Peter repairs the fridge today")



Outlook: Coherent constructions

- (25) a. dass Peter ihm **das Fahrrad zu reparieren** versprochen hat
dass Peter ihm **das Fahrrad zu reparieren** hat versprochen
dass Peter ihm **das Fahrrad** hat **zu reparieren** versprochen
- b. dass Peter ihm **das Fahrrad** versprochen hat **zu reparieren**
dass Peter **das Fahrrad** versprochen hat **ihm zu reparieren**
- c. dass Peter **das Fahrrad zu reparieren** ihm versprochen hat
- (26) **Das Fahrrad** hat Peter ihm **zu reparieren** versprochen.
Zu reparieren versprochen hat ihm Peter **das Fahrrad**.
Das Fahrrad zu reparieren hat Peter ihm versprochen.
Zu reparieren hat ihm Peter **das Fahrrad** versprochen.

Puzzle:

Give a linguistically appealing, preferably minimal TAG that is tractable in polynomial time. Also an appealing transition from syntax to semantics should be within reach.

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