# <span id="page-0-0"></span>**Parsing Beyond Context-Free Grammars: LCFRS Parsing**

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### **Overview**



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- During parsing we have to link the terminals and variables in our LCFRS rules to portions of the input string.
- These can be characterized by their start and end positions.
- A *range* is an pair of indices  $\langle i, j \rangle$  that characterizes the span of a component within the input and a range vector characterizes a tuple in the yield of a non-terminal.
- The range instantiation of a rule specifies the computation of an element from the lefthand side yield from elements of in the yields of the right-hand side non-terminals based on the corresponding range vectors.

### **Ranges (2)**

Example: Rule  $A(aXa, bYb) \rightarrow B(X)C(Y)$  and input string *abababcb*. We assume without loss of generality that our LCFRSs are monotone and *ε*-free. Furthermore, because of the linearity, the components of a tuple in the yield of an LCFRS non-terminal are necessarily non-overlapping. Then, given this input, we have the following possible instantiations for this rule:

 $A_{(0}aba_3,3bab_6) \rightarrow B_{(1}b_2)C_{(4}a_5)$   $A_{(0}aba_3,3babcb_8) \rightarrow B_{(1}b_2)C_{(4}abc_7)$  $A(_0aba_3, 5bcb_8) \rightarrow B(_1b_2)C(_6c_7)$   $A(_0ababa_5, 5bcb_8) \rightarrow B(_1bab_4)C(_6c_7)$  $A(zaba_5, 5bcb_8) \rightarrow B(z_3b_4)C(6c_7)$ 

## **Ranges (3)**

#### **Definition 1 (Range instantiation, [\[Bou00\]](#page-30-1))**

Let  $G = (N, T, V, P, S)$  be a LCFRS,  $w = t_1 \dots t_n \in T^n$   $(n \ge 0)$  and  $r = A(\vec{\alpha}) \rightarrow A_1(\vec{\alpha_1}) \cdots A_m(\vec{\alpha_m}) \in P$  (0  $\leq$  *m*). A range instantiation of r wrt.  $w$  is a function  $f: V \cup \{Eps_i \,|\, \vec{\alpha}(i) = \varepsilon\} \cup \{t' \,|\, t'$  an occurrence of some  $t \in T$  in  $\vec{\alpha}$   $\rightarrow$   $\{\langle i, j \rangle | 0 \le i \le j \le n\}$  such that

- **a**) for all occurrences  $t'$  of a  $t \in \mathcal{T}$  in  $\vec{\alpha}$ ,  $f(t') = \langle i 1, i \rangle$  for some i with  $t_i = t$ .
- **b)** for all x, y adjacent in one of the  $\vec{\alpha}(i)$  there are i, j, k with  $f(x) = \langle i, j \rangle, f(y) = \langle j, k \rangle$ ; we define then  $f (xy) = \langle i, k \rangle$ ,
- **c)** for all  $Eps \in \{Eps_i | \vec{\alpha}(i) = \varepsilon\}$ ,  $f(Eps) = \langle j, j \rangle$  for some  $j$ ; we define then for every *ε*-argument  $\vec{\alpha}(i)$  that  $f(\vec{\alpha}(i)) = f(Eps_i)$ .

$$
A(f(\vec{\alpha})) \rightarrow A_1(f(\vec{\alpha_1})) \cdots A_m(f(\vec{\alpha_m}))
$$
 with  $f(\langle x_1, \ldots, x_k \rangle) = \langle f(x_1), \ldots, f(x_k) \rangle$  is then called an **instantiated** rule.

## <span id="page-5-0"></span>**CYK Parsing (1)**

First introduced in [\[SMFK91\]](#page-30-2); deduction-based definition in, e.g., [\[KM10\]](#page-30-3).

Idea: Once all elements in the RHS of an instantiated rule have been found, complete the LHS.

- We start with the terminal symbols: whenever we can find a range instantiation of a rule with rhs *ε*, we conclude that this rule can be applied  $(scan)$ .
- We parse bottom-up: whenever, for am instantiated rule, all elements in the rhs have been found, we conclude that this rule can be applied and the lhs of the instantiated rule is deduced (complete).
- Our input w is in the language iff S with range vector  $\langle\langle 0, n \rangle \rangle$  is in the final set of results that we have deduced.

# **CYK Parsing (2)**

#### Deduction rules:

Items  $[A, \vec{\rho}]$  with  $A \in N$ ,  $\vec{\rho}$  is a dim(A)-dimensional range vector in w.

Axioms (scan): 
$$
\frac{}{[A, \overrightarrow{\rho}]} A(\overrightarrow{\rho}) \rightarrow \varepsilon
$$
 a range instantiated rule

 $\textsf{Complete:} \quad \frac{[A_1, \vec{\rho_1}], \dots, [A_m, \vec{\rho_m}]}{[A, \vec{\rho}]}$ 

 $A(\vec{\rho}) \rightarrow A_1(\vec{\rho_1}), \ldots, A_m(\vec{\rho_m})$ a range instantiated rule

Goal item:  $[S, \langle\langle 0, n \rangle\rangle]$ 

### **CYK Parsing: Example (1)**

Example: MCFG/LCFRS for the double copy language, input word: ababab Rewriting rules:

 $S \rightarrow f_1[A]$   $A \rightarrow f_2[A]$   $A \rightarrow f_3[A]$   $A \rightarrow f_4[$   $A \rightarrow f_5[$ 

Operations:

$$
f_1[\langle X, Y, Z \rangle] = \langle XYZ \rangle \qquad f_4[ \ ] = \langle a, a, a \rangle f_2[\langle X, Y, Z \rangle] = \langle aX, aY, aZ \rangle \qquad f_5[ \ ] = \langle b, b, b \rangle f_3[\langle X, Y, Z \rangle] = \langle bX, bY, bZ \rangle
$$

# **CYK Parsing: Example (2)**



### **CYK Parsing with binarized LCFRS**

Deduction rules for binarized *ε*-free grammars where, without loss of generality, either the lhs contains a single terminal and the rhs is *ε* or the rule contains only variables:

Items and goal as before.

Scan:

\n
$$
\frac{[A, \langle \langle i, i+1 \rangle \rangle]}{[A, \langle \langle i, i+1 \rangle \rangle]} \quad A(w_{i+1}) \to \varepsilon \in P
$$
\nUnary:

\n
$$
\frac{[B, \vec{\rho}]}{[A, \vec{\rho}]} \quad A(\vec{\alpha}) \to B(\vec{\alpha}) \in P
$$
\nBinary:

\n
$$
\frac{[B, \vec{\rho_B}], [C, \vec{\rho_C}]}{[A, \vec{\rho_A}]} \quad A(\vec{\rho_A}) \to B(\vec{\rho_B}) C(\vec{\rho_C})
$$
\nis a range instantiated rule.

#### **CYK Parsing: Another example (1)**

LCFRS G in sRCG format and the input word aabbacbbac.

 $G = \{\{S, A, B, C\}, \{a, b, c\}, \{U, V, W, X, Y, Z\}, P, S\}$ , where

$$
P = \{ \begin{array}{l} S(VYWZX) \rightarrow A(V, W, X)B(Y, Z), \\ A(a, a, a) \rightarrow \epsilon, \\ A(XU, YV, ZW) \rightarrow A(X, Y, Z)C(U, V, W), \\ B(b, b) \rightarrow \epsilon \\ B(XV, WY) \rightarrow B(X, Y)B(V, W) \\ C(a, c, c) \rightarrow \epsilon \end{array} \}
$$

### **CYK Parsing: Another example (2)**



### **CYK Parsing: Complexity**

Complexity of CYK parsing with binarized LCFRSs:

We have to consider the maximal number of possible applications of the complete rule.

**Binary**:  $\frac{[B,\rho_B^2], [C,\rho_C^2]}{[A,\rho^2]}$  $[A, \vec{\rho_A}]$  $A(\vec{\rho_A}) \rightarrow B(\vec{\rho_B})C(\vec{\rho_C})$ is a range instantiated rule

If  $k$  is the maximal fan-out in the LCFRS, we have maximal  $2k$  range boundaries in each of the antecedent items of this rule. For variables  $X_1, X_2$  being in the same lhs side argument of the rule,  $X_1$  left of  $X_2$ and no other variables in between, the right boundary of  $X_1$  is the left boundary of  $X_2$ . In the worst case,  $A, B, C$  all have fan-out k and each lhs argument contains two variables. This gives 3k independent range boundaries and consequently a time complexity of  $\mathcal{O}(n^{3k})$  for the entire algorithm.

#### <span id="page-13-0"></span>**Incremental Earley Parsing**

Strategy:

- Process LHS arguments incrementally, starting from an S-rule
- Whenever we reach a variable, move into rule of correponding rhs non-terminal (**predict** or **resume**).
- Whenever we reach the end of an argument, **suspend** the rule and move into calling parent rule.
- Whenever we reach the end of the last argument **convert** item into a passive one and **complete** parent item.

This parser is described in [\[KM09\]](#page-30-4) and inspired by the Thread Automata in [\[Vil02\]](#page-30-5)

#### **Incremental Earley Parsing: Items**

**Passive items**:  $[A, \vec{\rho}]$  where A is a non-terminal of fan-out k and  $\vec{\rho}$  is a range vector of fan-out  $k$ **Active items**:

$$
[A(\vec{\phi}) \rightarrow A_1(\vec{\phi_1}) \dots A_m(\vec{\phi_m}), \text{pos}, \langle i, j \rangle, \vec{\rho}]
$$

where

- $\bullet \ \mathcal{A}(\vec{\phi}) \rightarrow \mathcal{A}_1(\vec{\phi_1}) \ldots \mathcal{A}_m(\vec{\phi_m}) \in P;$
- $pos \in \{0, \ldots, n\}$ : We have reached input position pos;
- $\bullet \ \langle i,j \rangle \in \mathbb{N}^2$ : We have reached the *j*th element of *i*th argument (dot position);
- $\vec{\rho}$  is a range vector containing variable and terminal bindings. All elements are initialized to "?", an initialized vector is called  $\vec{\rho}_{init}$ .

#### **Incremental Earley Parsing: Example (1)**

$$
S(X_1X_2) \longrightarrow A(X_1, X_2) \quad A(aX_1, bX_2) \longrightarrow A(X_1, X_2) \quad A(a, b) \longrightarrow \varepsilon
$$

Parsing trace for input  $w = aabb$ :



### **Incremental Earley Parsing: Example (2)**



#### **Incremental Earley Parsing: Example (3)**



### **Incremental Earley Parsing: Deduction Rules**

#### • Notation:

- $\vec{\rho}(X)$ : range bound to variable X.
- $\vec{\rho}(\langle i,j \rangle)$ : range bound to *j*th element of *i*th argument on LHS.
- Applying a range vector  $\vec{\rho}$  containing variable bindings for given rule c to the argument vector of the lefthand side of c means mapping the *i*th element in the arguments to  $\vec{\rho}(i)$  and concatenating adjacent ranges. The result is defined iff every argument is thereby mapped to a range.

#### **Incremental Earley Parsing: Initialize, Goal item**

$$
\textbf{Initialize:}\quad \overline{[S(\vec{\phi})\rightarrow \vec{\Phi},0,\langle 1,0\rangle,\vec{\rho}_{\textit{init}}]}\quad S(\vec{\phi})\rightarrow \vec{\Phi}\in P
$$

**Goal Item**:  $[S(\vec{\phi}) \rightarrow \vec{\Phi}, n, \langle 1, j \rangle, \psi]$  with  $|\vec{\phi}(1)| = j$  (i.e., dot at the end of lhs argument).

#### **Incremental Earley Parsing: Scan**

If next symbol after dot is next terminal in input, scan it.

**Scan**: 
$$
\frac{[A(\vec{\phi}) \rightarrow \vec{\Phi}, pos, \langle i, j \rangle, \vec{\rho}]}{[A(\vec{\phi}) \rightarrow \vec{\Phi}, pos + 1, \langle i, j + 1 \rangle, \vec{\rho}']} \quad \vec{\phi}(i, j + 1) = w_{pos+1}
$$

where  $\vec{\rho}'$  is  $\vec{\rho}$  updated with  $\vec{\rho}(\langle i, j + 1 \rangle) = \langle pos, pos + 1 \rangle$ .

#### **Incremental Earley Parsing: Predict**

Whenever our dot is left of a variable that is the first argument of some rhs non-terminal  $B$ , we predict new  $B$ -rules:

**Predict:** 
$$
\frac{[A(\vec{\phi}) \rightarrow \dots B(X, \dots) \dots \text{pos}, \langle i, j \rangle, \vec{\rho}_A]}{[B(\vec{\psi}) \rightarrow \vec{\Psi}, \text{pos}, \langle 1, 0 \rangle, \vec{\rho}_{init}]}
$$

where  $\vec{\phi}(i, j + 1) = X$ ,  $B(\vec{\psi}) \rightarrow \vec{\Psi} \in P$ 

#### **Incremental Earley Parsing: Suspend**

#### **Suspend**:  $[B(\vec{\psi}) \rightarrow \vec{\Psi}, \textit{pos}', \langle \textit{i}, \textit{j} \rangle, \vec{\rho}_B], [A(\vec{\phi}) \rightarrow \dots B(\vec{\xi}) \dots, \textit{pos}, \langle \textit{k}, \textit{l} \rangle, \vec{\rho}_A]$  $[ A(\vec{\phi}) \rightarrow \dots B(\vec{\xi}) \dots$  , pos<sup>,</sup>  $\langle k,l+1 \rangle, \vec{\rho}]$

where

- the dot in the antecedent A-item precedes the variable  $\xi(i)$ ,
- $|\vec{\psi}(i)| = j$  (*i*th argument has length *j*, i.e., is completely processed),
- $|\vec{\psi}| < i$  (*i*th argument is not the last argument of  $B$ ),
- $\bullet \ \ \vec{\rho}_\mathcal{B}(\vec{\psi}(i)) = \langle \textit{pos}, \textit{pos}' \rangle$
- and for all  $1 \leq m < i$ :  $\vec{\rho}_\mathcal{B}(\vec{\psi}(m)) = \vec{\rho}_\mathcal{A}(\vec{\xi}(m)).$

 $\vec{\rho}$  is  $\vec{\rho}_A$  updated with  $\vec{\rho}_A(\vec{\xi}(i)) = \langle \textit{pos}, \textit{pos}' \rangle.$ 

#### **Incremental Earley Parsing: Convert**

Whenever we arrive at the end of the last argument, we convert the item into a passive one:

#### **Convert**:

$$
\frac{[\mathcal{B}(\vec{\psi}) \to \vec{\Psi}, pos, \langle i, j \rangle, \vec{\rho}_B]}{[\mathcal{B}, \rho]} \quad |\vec{\psi}(i)| = j, |\vec{\psi}| = i, \vec{\rho}_B(\vec{\psi}) = \rho
$$

#### **Incremental Earley Parsing: Complete**

Whenever we have a passive  $B$  item we can use it to move the dot over the variable of the last argument of  $B$  in a parent A-rule:

**Complete**: 
$$
\frac{[B,\vec{\rho}_B], [A(\vec{\phi}) \to \dots B(\vec{\xi}) \dots, pos, \langle k,l \rangle, \vec{\rho}_A]}{[A(\vec{\phi}) \to \dots B(\vec{\xi}) \dots, pos', \langle k,l+1 \rangle, \vec{\rho}]} \text{ where}
$$

- the dot in the antecedent A-item precedes the variable  $\mathcal{E}(|\vec{\rho}_B|)$ ,
- the last range in  $\vec{\rho}_B$  is  $\langle pos, pos' \rangle$ ,
- and for all  $1 \leq m < |\vec{\rho}_B|$ :  $\vec{\rho}_B(m) = \vec{\rho}_A(\vec{\xi}(m)).$

 $\vec{\rho}$  is  $\vec{\rho}_A$  updated with  $\vec{\rho}_A(\vec{\xi}(|\vec{\rho}_B|)) = \langle pos, pos' \rangle.$ 

**[Ranges](#page-2-0) [CYK Parsing](#page-5-0) [Incremental Earley Parsing](#page-13-0)**

#### **Incremental Earley Parsing: Resume**

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Whenever we are left of a variable that is not the first argument of one of the rhs non-terminals, we resume the rule of the rhs non-terminal.

$$
\text{Resume:} \quad \frac{[A(\vec{\phi}) \to \dots B(\vec{\xi}) \dots, \text{pos}, \langle i, j \rangle, \vec{\rho}_A],}{[B(\vec{\psi}) \to \vec{\Psi}, \text{pos}', \langle k-1, l \rangle, \vec{\rho}_B]}
$$

$$
[B(\vec{\psi}) \to \vec{\Psi}, \text{pos}, \langle k, 0 \rangle, \vec{\rho}_B]
$$

where

•  $\vec{\phi}(i, j + 1) = \vec{\xi}(k), k > 1$  (the next element is a variable that is the kth element in  $\xi$ , i.e., the kth argument of B),

• 
$$
|\vec{\psi}(k-1)| = 1
$$
, and

• 
$$
\vec{\rho}_A(\vec{\xi}(m)) = \vec{\rho}_B(\vec{\psi}(m))
$$
 for all  $1 \le m \le k - 1$ .

#### <span id="page-26-0"></span>**Incremental Earley Parsing: Filters**

- Filters can be applied to decrease the number of items in the chart
- A filter is an additional condition on the form of items.
- E.g., in a *ε*-free grammar, the number of variables in the part of the lefthand side arguments of a rule that has not been processed yet must be lower or equal to the length of the remaining input.

We will discuss in the following some filters that are particularly useful when dealing with natural languages.

### **Incr. Earley Parsing: Remaining Input Length Filter**

- In *ε*-free grammars each variable must cover at least one input symbol.
- *i* input symbols left implies no prediction of a clause with more than i variables or terminals on LHS since no instantiation is possible
- Condition on active items, can be applied with predict, resume, suspend and complete

The length of the remaining input must be  $\geq$  the number of variables and terminal occurrences to the right of the dot in the lefthand side of the clause, i.e.

 $\mathsf{An\,\,}$  item  $[A(\vec{\phi})\to A_1(\vec{\phi_1})\dots A_m(\vec{\phi_m}),$   $pos,$   $\langle i,j\rangle,$   $\vec{\rho}]$  satisfies the  $\mathsf{length}$ **filter** iff

$$
(n - pos) \geq (|\vec{\phi}(i)| - j) + \sum_{k=i+1}^{dim(A)} |\vec{\phi}(k)|
$$



- Check for the presence of (pre)terminals in the predicted part of a clause (the part to the right of the dot) in the remaining input, and
- check that terminals appear in the predicted order and that distance between two of them is at least the number of variables/terminals in between.

continued. . .

<span id="page-29-0"></span>

#### **Incremental Earley Parsing: Preterminal Filter (2)**

 $\Box$  In other words, an active item  $[A(\vec{\phi})\to A_1(\vec{\phi_1})\dots A_m(\vec{\phi_m}),$   $pos, \langle i,j\rangle, \vec{\rho}]$ satisfies the **preterminal filter** iff we can find an injective mapping  $f_T$ : Term = { $\langle k, l \rangle | \vec{\phi}(k, l) \in \mathcal{T}$  and either  $k > i$  or  $(k = i$  and  $|l > i\rangle$ }  $\rightarrow$  {pos + 1, ..., n} such that

- $\mathbf{0}$   $w_{f_T(\langle k,l\rangle)} = \vec{\phi}(k,l)$  for all  $\langle k,l\rangle \in \mathcal{I}$ erm;
- **2** for all  $\langle k_1, l_1 \rangle, \langle k_2, l_2 \rangle \in \mathcal{I}$ erm with  $k_1 = k_2$  and  $l_1 < l_2$ :  $f_{\mathcal{T}}(\langle k_2, l_2 \rangle) \geq f_{\mathcal{T}}(\langle k_1, l_1 \rangle) + (l_2 - l_1);$
- **3** for all  $\langle k_1, l_1 \rangle, \langle k_2, l_2 \rangle \in \mathcal{T}$ erm with  $k_1 < k_2$ :  $f_{\mathcal{T}}(\langle k_2, k_2 \rangle) \geq f_{\mathcal{T}}(\langle k_1, k_1 \rangle) + (|\vec{\phi}(k_1)| - k_1) + \sum_{k=k_1+1}^{k_2-1} |\vec{\phi}(k)| + k_2.$

Checking this filtering condition amounts to a linear traversal of the part of the lefthand side of the clause that is to the right of the dot. We start with index  $i = pos + 1$ , for every variable or gap we increment i by 1. For every terminal a, we search the next a in the input, starting at position  $i$ . If it occurs at position j, then we set  $i = j$  and continue our traversal of the remaining parts of the lefthand side of the clause.

### **References I**

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