

# Parsing Beyond Context-Free Grammars: Linear Context-Free Rewriting Systems

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## Overview

1. Basic Ideas
2. LCFRS and CL
3. LCFRS and MCFG
4. LCFRS with Simple RCG syntax

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## Basic Ideas (1)

Linear Context-Free Rewriting Systems (LCFRS) can be conceived as a natural extension of CFG:

- In a CFG, non-terminal symbols  $A$  can span single strings, i.e., the language derivable from  $A$  is a subset of  $T^*$ .
- Extension to LCFRS: non-terminal symbols  $A$  can span tuples of (possibly non-adjacent) strings, i.e., the language derivable from  $A$  is a subset of  $(T^*)^k$

⇒ LCFRS displays an extended domain of locality

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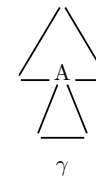
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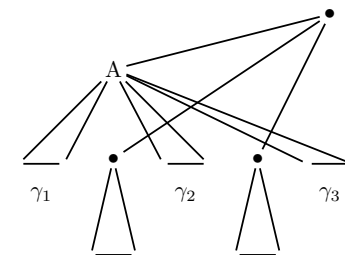
## Basic Ideas (2)

Different spans in CFG and LCFRS:

CFG:



LCFRS:




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**Basic Ideas (3)**

Example for a non-terminal with a yield consisting of 2 components:

$$\text{yield}(A) = \langle a^n b^n, c^n d^n \rangle, \text{ with } n \geq 1.$$

The rules in an LCFRS describe how to compute an element in the yield of the lefthand-side (lhs) non-terminal from elements in the yields of the right-hand side (rhs) non-terminals.

$$\text{Ex.: } A(ab, cd) \rightarrow \varepsilon \quad A(aXb, cYd) \rightarrow A(X, Y)$$

The start symbol  $S$  is of dimension 1, i.e., has single strings as yield elements.

$$\text{Ex.: } S(XY) \rightarrow A(X, Y)$$

Language generated by this grammar (yield of  $S$ ):  
 $\{a^n b^n c^n d^n \mid n \geq 1\}$ .

**Basic Ideas (4)**

- In a CFG derivation tree (parse tree), dominance is determined by the relations between lhs symbol and rhs symbols of a rule.
- Furthermore, there is a linear order on the terminals and on all rhs of rules.

In an LCFRS, we can also obtain a derivation tree from the rules that have been applied:

- Dominance is also determined by the relations between lhs symbol and rhs symbols of a rule.
- There is a linear order on the terminals. BUT: there is no linear order on all rhs of rules.

As a convention, we draw a non-terminal  $A$  left of a non-terminal  $B$  if the first terminal in the span of  $A$  precedes the first terminal in the span of  $B$ .

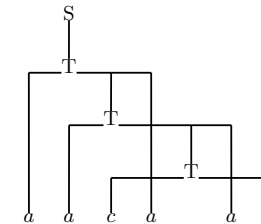
**Basic Ideas (5)**

Ex.: LCFRS for  $\{wcwc \mid w \in \{a, b\}^*\}$ :

$$S(XY) \rightarrow T(X, Y) \quad T(aY, aU) \rightarrow T(Y, U)$$

$$T(bY, bU) \rightarrow T(Y, U) \quad T(c, c) \rightarrow \varepsilon$$

Derivation tree for  $aacaac$ :

**LCFRS and CL (1)**

Interest of LCFRS for CL:

1. Data-driven parsing.
2. Mild context-sensitivity.
3. Equivalence with several important CL formalisms.

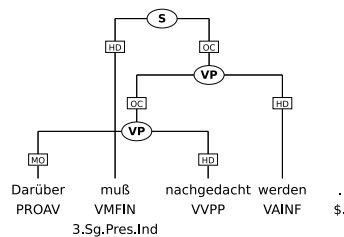
**LCFRS and CL (2)**

Data-driven parsing:

- Just like phrase structure trees (without crossing branches) can be described with CFG rules, trees with crossing branches can be described with LCFRS rules.
- Trees with crossing branches allow to describe discontinuous constituents, as for example in the Negra and Tiger treebanks.

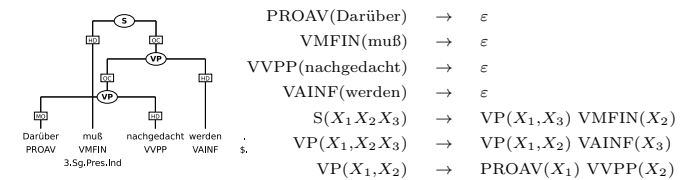
**LCFRS and CL (3)**

Example of a Negra tree with crossing branches:

**LCFRS and CL (4)**

Trees with crossing branches can be interpreted as LCFRS derivation trees.

⇒ an LCFRS can be straight-forwardly extracted from such treebanks. This makes LCFRS particularly interesting for data-driven parsing.

**LCFRS and CL (5)**

Mild Context-Sensitivity:

- Natural languages are not context-free.
- Question: How complex are natural languages? In other words, what are the properties that a grammar formalism for natural languages should have?
- Goal: extend CFG only as far as necessary to deal with natural languages in order to capture the complexity of natural languages.

This effort has led to the definition of *mild context-sensitivity* (Aravind Joshi).

**LCFRS and CL (6)**

A formalism is mildly context-sensitive if the following holds:

1. It generates at least all context-free languages.
2. It can describe a limited amount of crossing dependencies.
3. Its string languages are polynomial.
4. Its string languages are of constant growth.

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**LCFRS and CL (7)**

- LCFRS are mildly context-sensitive.
- We do not have any other formalism that is also mildly context-sensitive and whose set of string languages properly contains the string languages of LCFRS.
- Therefore, LCFRS are often taken to provide a grammar-formalism-based characterization of mild context-sensitivity.

BUT: There are polynomial languages of constant growth that cannot be generated by LCFRS.

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**LCFRS and CL (8)**

Equivalence with CL formalisms:

LCFRS are weakly equivalent to

- *set-local Multicomponent Tree Adjoining Grammar*, an extension of TAG that has been motivated by linguistic considerations;
- *Minimalist Grammar*, a formalism that was developed in order to provide a formalization of a GB-style grammar with transformational operations such as movement;
- *finite-copying Lexical Functional Grammar*, a version of LFG where the number of nodes in the *c*-structure that a single *f*-structure can be related with is limited by a grammar constant.

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**LCFRS and MCFG (1)**

- *Multiple Context-Free Grammars (MCFG)* have been introduced by [Seki et al., 1991] while the equivalent *Linear Context-Free Rewriting Systems (LCFRS)* were independently proposed by [Vijay-Shanker et al., 1987].
- The central idea is to extend CFGs such that non-terminal symbols can span a tuple of strings that need not be adjacent in the input string.
- The grammar contains productions of the form  $A_0 \rightarrow f[A_1, \dots, A_q]$  where  $A_0, \dots, A_q$  are non-terminals and  $f$  is a function describing how to compute the yield of  $A_0$  (a string tuple) from the yields of  $A_1, \dots, A_q$ .
- The definition of LCFRS is slightly more restrictive than the one of MCFG. However, [Seki et al., 1991] have shown that the two formalisms are equivalent.

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**LCFRS and MCFG (2)**

Example: MCFG/LCFRS for the double copy language.

Rewriting rules:

$$S \rightarrow f_1[A] \quad A \rightarrow f_2[A] \quad A \rightarrow f_3[A] \quad A \rightarrow f_4[ ] \quad A \rightarrow f_5[ ]$$

Operations:

$$f_1[\langle X, Y, Z \rangle] = \langle XYZ \rangle \quad f_4[ ] = \langle a, a, a \rangle$$

$$f_2[\langle X, Y, Z \rangle] = \langle aX, aY, aZ \rangle \quad f_5[ ] = \langle b, b, b \rangle$$

$$f_3[\langle X, Y, Z \rangle] = \langle bX, bY, bZ \rangle$$

**LCFRS and MCFG (3)**

**Definition 1 (Multiple Context-Free Grammar)** A multiple context-free grammar (MCFG) is a 5-tuple  $\langle N, T, F, P, S \rangle$  where

- $N$  is a finite set of non-terminals, each  $A \in N$  has a fan-out  $\dim(A) \geq 1, \dim(A) \in \mathbb{N}$ ;
- $T$  is a finite set of terminals;
- $F$  is a finite set of mcf-functions;
- $P$  is a finite set of rules of the form  $A_0 \rightarrow f[A_1, \dots, A_k]$  with  $k \geq 0, f \in F$  such that  $f : (T^*)^{\dim(A_1)} \times \dots \times (T^*)^{\dim(A_k)} \rightarrow (T^*)^{\dim(A_0)}$ ;
- $S \in N$  is the start symbol with  $\dim(S) = 1$ .

A MCFG with maximal non-terminal fan-out  $k$  is called a  $k$ -MCFG.

**LCFRS and MCFG (4)**

Mcf-functions are such that

- each component of the value of  $f$  is a concatenation of some constant strings and some components of its arguments.
- Furthermore, each component of the right-hand side arguments of a rule is not allowed to appear in the value of  $f$  more than once.

**LCFRS and MCFG (5)**

**Definition 2 (mcf-function)**  $f$  is an mcf-function if there is a  $k \geq 0$  and there are  $d_i > 0$  for  $0 \leq i \leq k$  such that  $f$  is a total function from  $(T^*)^{d_1} \times \dots \times (T^*)^{d_k}$  to  $(T^*)^{d_0}$  such that

- the components of  $f(\vec{x}_1, \dots, \vec{x}_k)$  are concatenations of a limited amount of terminal symbols and the components  $x_{ij}$  of the  $\vec{x}_i$  ( $1 \leq i \leq k, 1 \leq j \leq d_i$ ), and
- the components  $x_{ij}$  of the  $\vec{x}_i$  are used at most once in the components of  $f(\vec{x}_1, \dots, \vec{x}_k)$ .

A LCFRS is a MCFG where the mcf-functions  $f$  are such that the the components  $x_{ij}$  of the  $\vec{x}_i$  are used exactly once in the components of  $f(\vec{x}_1, \dots, \vec{x}_k)$ .

**LCFRS and MCFG (6)**

- We can understand a MCFG as a generative device that specifies the yields of the non-terminals.
- The language of a MCFG is then the yield of the start symbol  $S$ .

Ex.: LCFRS for the double copy language.

$$\text{yield}(A) = \{\langle w, w, w \rangle \mid w \in \{a, b\}^*\}$$

$$\text{yield}(S) = \{\langle www \rangle \mid w \in \{a, b\}^*\}$$

**LCFRS and MCFG (7)****Definition 3 (String Language of an MCFG/LCFRS)**

Let  $G = \langle N, T, F, P, S \rangle$  be a MCFG/LCFRS.

1. For every  $A \in N$ :
  - For every  $A \rightarrow f[\ ] \in P$ ,  $f(\ ) \in \text{yield}(A)$ .
  - For every  $A \rightarrow f[A_1, \dots, A_k] \in P$  with  $k \geq 1$  and all tuples  $\tau_1 \in \text{yield}(A_1), \dots, \tau_k \in \text{yield}(A_k)$ ,  $f(\tau_1, \dots, \tau_k) \in \text{yield}(A)$ .
  - Nothing else is in  $\text{yield}(A)$ .
2. The string language of  $G$  is  $L(G) = \{w \mid \langle w \rangle \in \text{yield}(S)\}$ .

**LCFRS with Simple RCG syntax (1)**

- *Range Concatentation Grammars (RCG)* and the restricted *simple RCG* have been introduced in [Boullier, 2000].
- Simple RCG are not only equivalent to MCFG and LCFRS but also represent a useful syntactic variant.

Example: Simple RCG for the double copy language.

$$S(XYZ) \rightarrow A(X, Y, Z)$$

$$A(aX, aY, aZ) \rightarrow A(X, Y, Z)$$

$$A(bX, bY, bZ) \rightarrow A(X, Y, Z)$$

$$A(a, a, a) \rightarrow \varepsilon$$

$$A(b, b, b) \rightarrow \varepsilon$$

**LCFRS with Simple RCG syntax (2)**

We redefine LCFRS with the simple RCG syntax:

**Definition 4 (LCFRS)** A LCFRS is a tuple  $G = (N, T, V, P, S)$  where

1.  $N$ ,  $T$  and  $V$  are disjoint alphabets of non-terminals, terminals and variables resp. with a fan-out function  $\text{dim}: N \rightarrow \mathbb{N}$ .  
 $S \in N$  is the start predicate;  $\text{dim}(S) = 1$ .
2.  $P$  is a finite set of rewriting rules of the form

$$A_0(\vec{\alpha}_0) \rightarrow A_1(\vec{x}_1) \cdots A_m(\vec{x}_m)$$

with  $m \geq 0$ ,  $\vec{\alpha}_0 \in [(T \cup V)^*]^{\text{dim}(A_0)}$ ,  $\vec{x}_i \in V^{\text{dim}(A_i)}$  for  $1 \leq i \leq m$  and it holds that every variable  $X \in V$  occurring in the rule occurs exactly once in the left-hand side and exactly once in the right-hand side.

**LCFRS with Simple RCG syntax (3)**

In order to apply a rule, we have to map variables to strings of terminals:

**Definition 5 (LCFRS rule instantiation)** *Let*

$G = \langle N, T, V, S, P \rangle$  *be a LCFRS.*

*For a rule  $c = A(\vec{\alpha}) \rightarrow A_1(\vec{\alpha}_1) \dots A_m(\vec{\alpha}_m) \in P$ , every function*

$f : \{x \mid x \in V, x \text{ occurs in } c\} \rightarrow T^*$  *is an instantiation of  $c$ .*

*We call  $A(f(\vec{\alpha})) \rightarrow A_1(f(\vec{\alpha}_1)) \dots A_m(f(\vec{\alpha}_m))$  then an instantiated clause where  $f$  is extended as follows:*

1.  $f(\varepsilon) = \varepsilon$ ;
2.  $f(t) = t$  for all  $t \in T$ ;
3.  $f(xy) = f(x)f(y)$  for all  $x, y \in T^*$ ;
4.  $f(\langle \alpha_1, \dots, \alpha_m \rangle) = \langle f(\alpha_1), \dots, f(\alpha_m) \rangle$  for all  $\langle \alpha_1, \dots, \alpha_m \rangle \in [(T \cup V)^*]^m$ ,  $m \geq 1$ .

**LCFRS with Simple RCG syntax (4)****Definition 6 (LCFRS string language)** *Let  $G = \langle N, T, V, S, P \rangle$* 

*be a LCFRS.*

1. *The set  $L_{pred}(G)$  of instantiated predicates  $A(\vec{\tau})$  where  $A \in N$  and  $\vec{\tau} \in (T^*)^k$  for some  $k \geq 1$  is defined by the following deduction rules:*

$$a) \frac{}{A(\vec{\tau})} \quad A(\vec{\tau}) \rightarrow \varepsilon \text{ is an instantiated clause}$$

$$b) \frac{A_1(\vec{\tau}_1) \dots A_m(\vec{\tau}_m)}{A(\vec{\tau})} \quad A(\vec{\tau}) \rightarrow A_1(\vec{\tau}_1) \dots A_m(\vec{\tau}_m) \text{ is an instantiated clause}$$

2. *The string language of  $G$  is*

$$\{w \in T^* \mid S(w) \in L_{pred}(G)\}.$$

**References**

- [Boullier, 2000] Boullier, P. (2000). Range Concatenation Grammars. In *Proceedings of the Sixth International Workshop on Parsing Technologies (IWPT2000)*, pages 53–64, Trento, Italy.
- [Seki et al., 1991] Seki, H., Matsumura, T., Fujii, M., and Kasami, T. (1991). On multiple context-free grammars. *Theoretical Computer Science*, 88(2):191–229.
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