

# Distributional Formal Semantics

*Noortje Venhuizen*

- *Petra Hendriks*  
*Matthew Crocker*  
*Harm Brouwer*



# NATURAL LANGUAGE SEMANTICS

---

## Model-theoretic Semantics

- Truth-conditional meaning
- Logical entailment
- Compositionality

## Distributional Semantics

- Semantic similarity
- Empirically driven
- Cognitively inspired

?

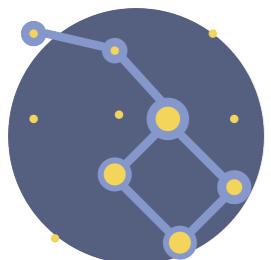
E.g., Baroni *et al.* (2010,2014); Boleda & Herbelot (2016); Coecke *et al.* (2010); Grefenstette & Sadrzadeh (2011); Socher *et al.* (2012)

# A FRAMEWORK FOR DISTRIBUTIONAL FORMAL SEMANTICS

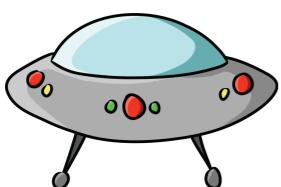
---



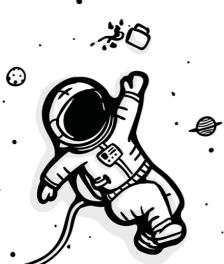
A meaning space for Distributional Formal Semantics



Formal properties of the meaning space

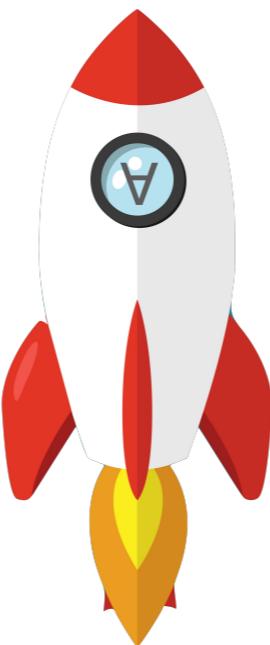


Incremental meaning construction



Semantic processing in the meaning space

# A MEANING SPACE FOR DISTRIBUTIONAL FORMAL SEMANTICS



# FROM MODELS TO MEANING SPACE

---



$$M_1 = \langle U_1, V_1 \rangle$$

$$p_1 \wedge \neg p_2 \wedge p_3 \wedge \dots$$



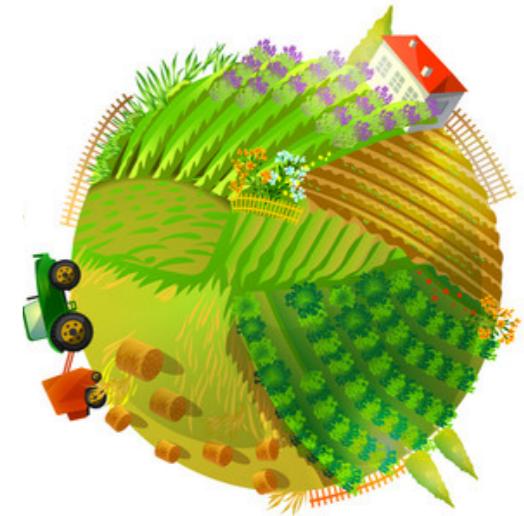
$$M_2 = \langle U_2, V_2 \rangle$$

$$\neg p_1 \wedge p_2 \wedge p_3 \wedge \dots$$



$$M_3 = \langle U_3, V_3 \rangle$$

$$\neg p_1 \wedge p_2 \wedge \neg p_3 \wedge \dots$$



$$M_n = \langle U_n, V_n \rangle$$

$$\neg p_1 \wedge \neg p_2 \wedge \neg p_3 \wedge \dots$$

- The set of models  $\mathcal{M}_{\mathcal{P}}$  — describing states-of-affairs over propositions in  $\mathcal{P}$  — defines a meaning space
- Propositional meaning defined by co-occurrence across models

# CAPTURING THE STRUCTURE OF THE WORLD

---

*“A boy rides a bike”*

*Boy is (likely) outside*

*Boy is not asleep*

*If it’s evening, the light is on*

*The bike has wheels*

*etc.*



World knowledge restricts propositional co-occurrence in the meaning space derived from the set of models  $\mathcal{M}_P$

- Hard world knowledge constraints restrict individual models
- Probabilistic constraints define probabilistic co-occurrences across the set of models  $\mathcal{M}_P$

# DFS MEANING SPACE $S_{\mathcal{M} \times \mathcal{P}}$

*propositional meaning vectors*

|       | $p_1$ | $p_2$ | $p_3$ | $p_4$ | $\vdots$ |
|-------|-------|-------|-------|-------|----------|
| $M_1$ | 1     | 1     | 0     | 0     | ...      |
| $M_2$ | 1     | 0     | 0     | 1     | ...      |
| $M_3$ | 0     | 1     | 0     | 1     | ...      |
| $M_4$ | 1     | 1     | 1     | 1     | ...      |
| $M_5$ | 0     | 1     | 0     | 0     | ...      |
| ...   | ...   | ...   | ...   | ...   | ...      |

$$[\![p_j]\!]^{\mathcal{M}} := v(p_j)$$

where:  $v_i(p_j) = 1$  iff  $M_i \models p_j$

- **Incremental inference-based probabilistic sampling:** Based on a set of propositions  $\mathcal{P}$ , we sample a set of models  $\mathcal{M}_{\mathcal{P}}$ —taking into account hard and probabilistic world knowledge constraints
- **Co-occurrence defines meaning:** Propositions with related meanings are true in many of the same models, resulting in similar meaning vectors

# THE DISTRIBUTIONAL HYPOTHESIS REVISITED

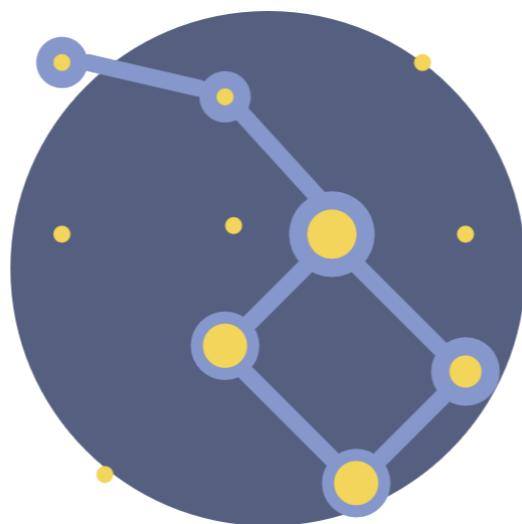
---

“

You shall know a ~~word~~ *proposition*  
by the company it keeps

- J. R. Firth (1957)

# FORMAL PROPERTIES OF THE MEANING SPACE



# MEANING VECTOR COMPOSITION

---

Meaning vectors can be combined to define compositional meanings

- Standard logical operators interpreted as in model-theory

$$v_i(\neg p) = 1 \quad \text{iff } M_i \not\models p$$

$$v_i(p \wedge q) = 1 \quad \text{iff } M_i \models p \text{ and } M_i \models q$$

... etc.

- Quantification is defined relative to the combined universe of  $\mathcal{M}_P$ :  $\mathcal{U}_{\mathcal{M}} = \{e_1 \dots e_m\}$  (thereby preserving entailment in  $\mathcal{M}_P$ )

$$v_i(\forall x \varphi) = 1 \quad \text{iff } M_i \models \varphi[x|e_1] \wedge \dots \wedge \varphi[x|e_m]$$

$$v_i(\exists x \varphi) = 1 \quad \text{iff } M_i \models \varphi[x|e_1] \vee \dots \vee \varphi[x|e_m]$$

# PROBABILITIES IN THE MEANING SPACE

---

All (sub-)propositional meaning vectors inherently encode (co-)occurrence probabilities

- Prior probability of meaning vector  $a$

$$P(a) = \frac{1}{|\mathcal{M}|} \sum_i \vec{v}_i(a)$$

- Conjunction probability between  $a$  and  $b$

$$P(a \wedge b) = \frac{1}{|\mathcal{M}|} \sum_i \vec{v}_i(a) \vec{v}_i(b)$$

- Conditional probability of  $a$  given  $b$

$$P(a|b) = \frac{P(a \wedge b)}{P(b)}$$

|       | $p_1$ | $p_2$ | $p_3$ | $p_4$ |     |
|-------|-------|-------|-------|-------|-----|
| $M_1$ | 1     | 1     | 0     | 0     | ... |
| $M_2$ | 1     | 0     | 0     | 1     | ... |
| $M_3$ | 0     | 1     | 0     | 1     | ... |
| $M_4$ | 1     | 1     | 1     | 1     | ... |
|       | 0     | 1     | 0     | 0     | ... |
|       | ...   | ...   | ...   | ...   | ... |

# QUANTIFYING PROBABILISTIC INFERENCE

---

Probabilistic logical inference of meaning vector  $a$  given  $b$

$$\text{inference}(a,b) = \begin{cases} [P(a|b) - P(a)] / [1 - P(a)] & \text{if } P(a|b) > P(a) \\ [P(a|b) - P(a)] / P(a) & \text{otherwise} \end{cases}$$

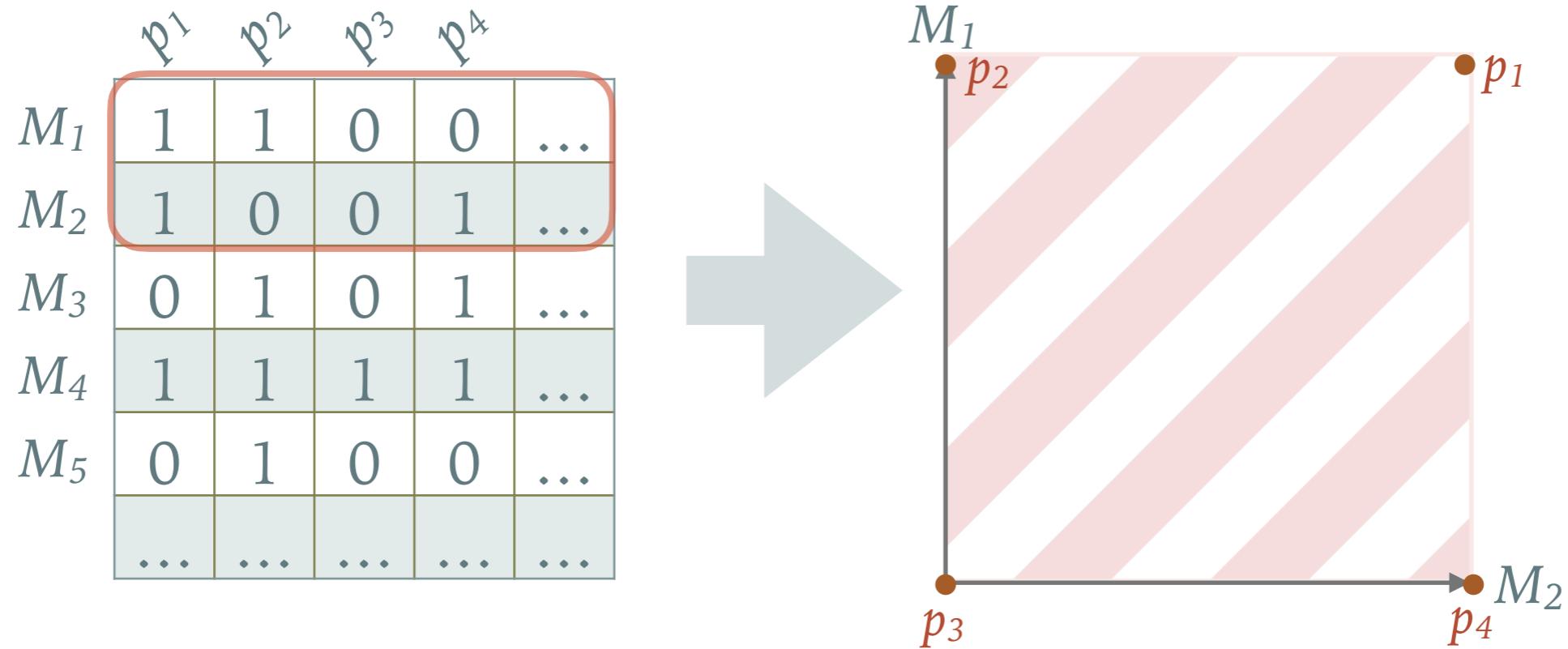
- $P(a|b) > P(a)$ : Positive inference ( $b$  increases probability of  $a$ )

$$\text{inference}(a,b) = 1 \Leftrightarrow b \vDash a$$

- $P(a|b) \leq P(a)$ : Negative inference ( $b$  decreases probability of  $a$ )

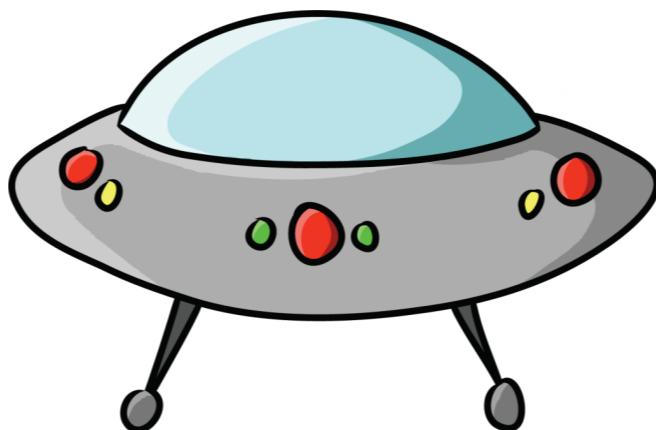
$$\text{inference}(a,b) = -1 \Leftrightarrow b \vDash \neg a$$

# CONTINUOUS NATURE OF THE MEANING SPACE

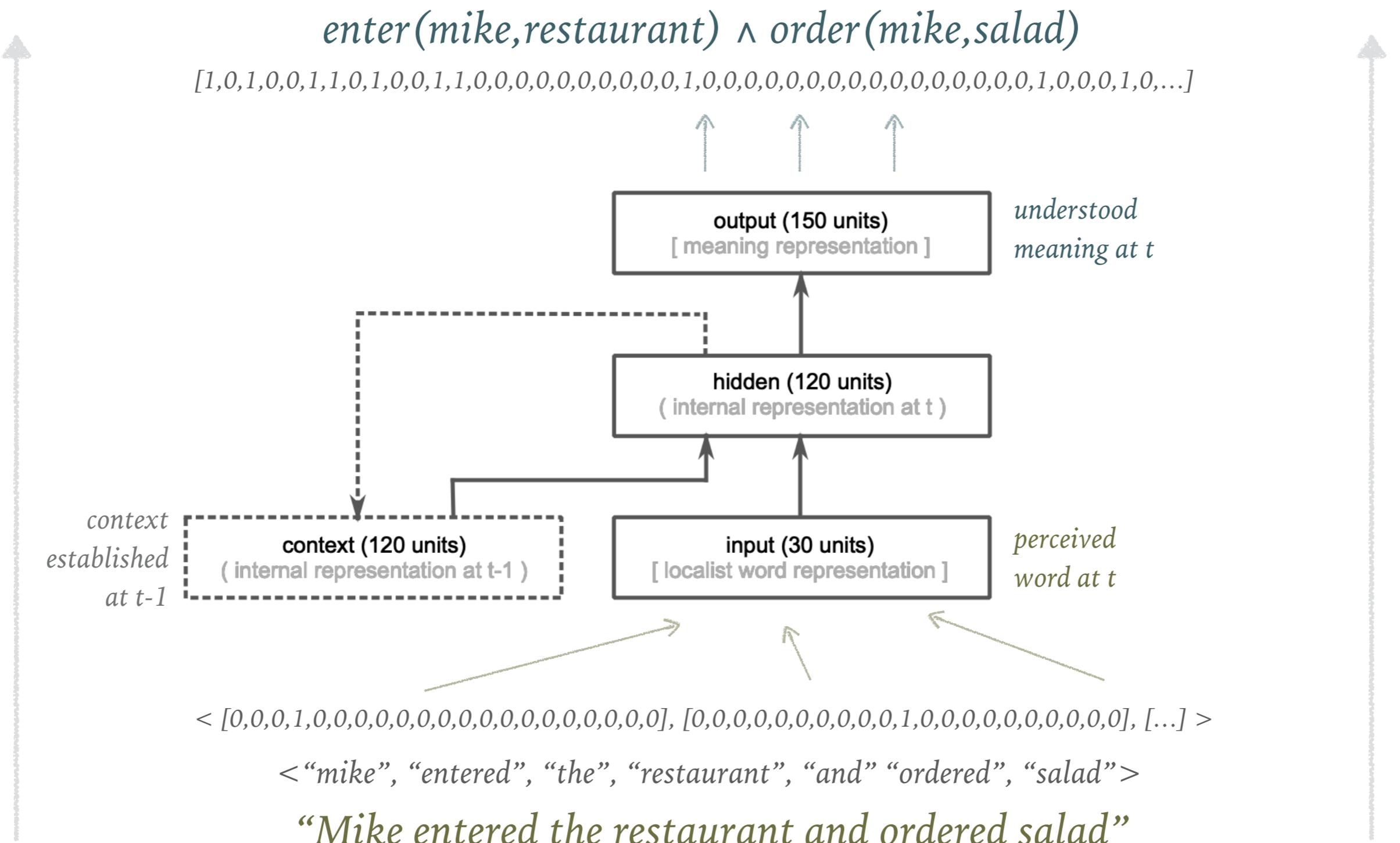


- Each point in the meaning space can be interpreted relative to  $\mathcal{M}_P$ 
  - Binary vectors: propositional meanings (simple or complex)
  - Real-valued vectors: sub-propositional meanings
- Sub-propositional meaning derives from incremental mapping from (sequences of) words to proposition-level meanings

# INCREMENTAL MEANING CONSTRUCTION



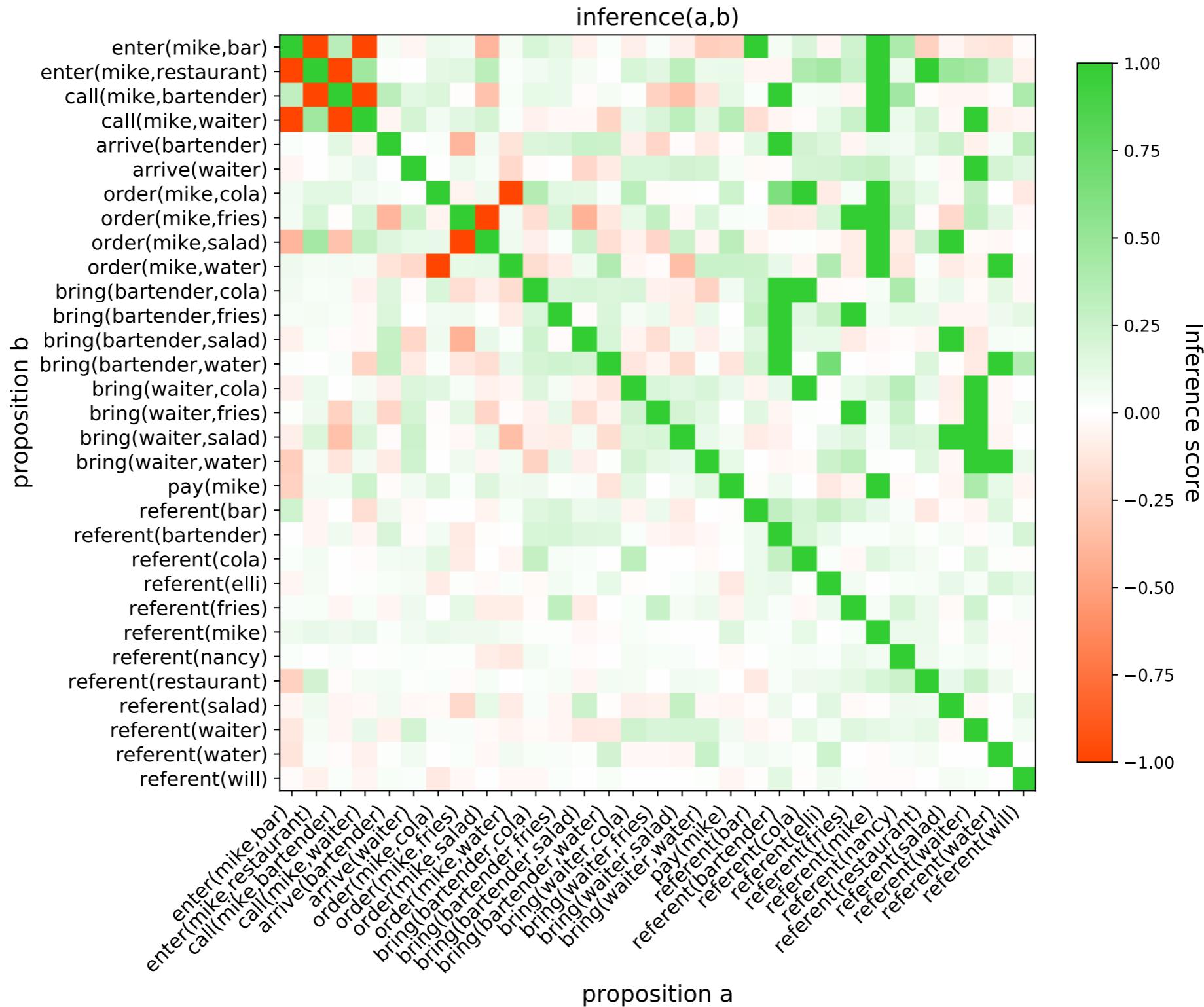
# A MODEL OF INCREMENTAL MEANING CONSTRUCTION



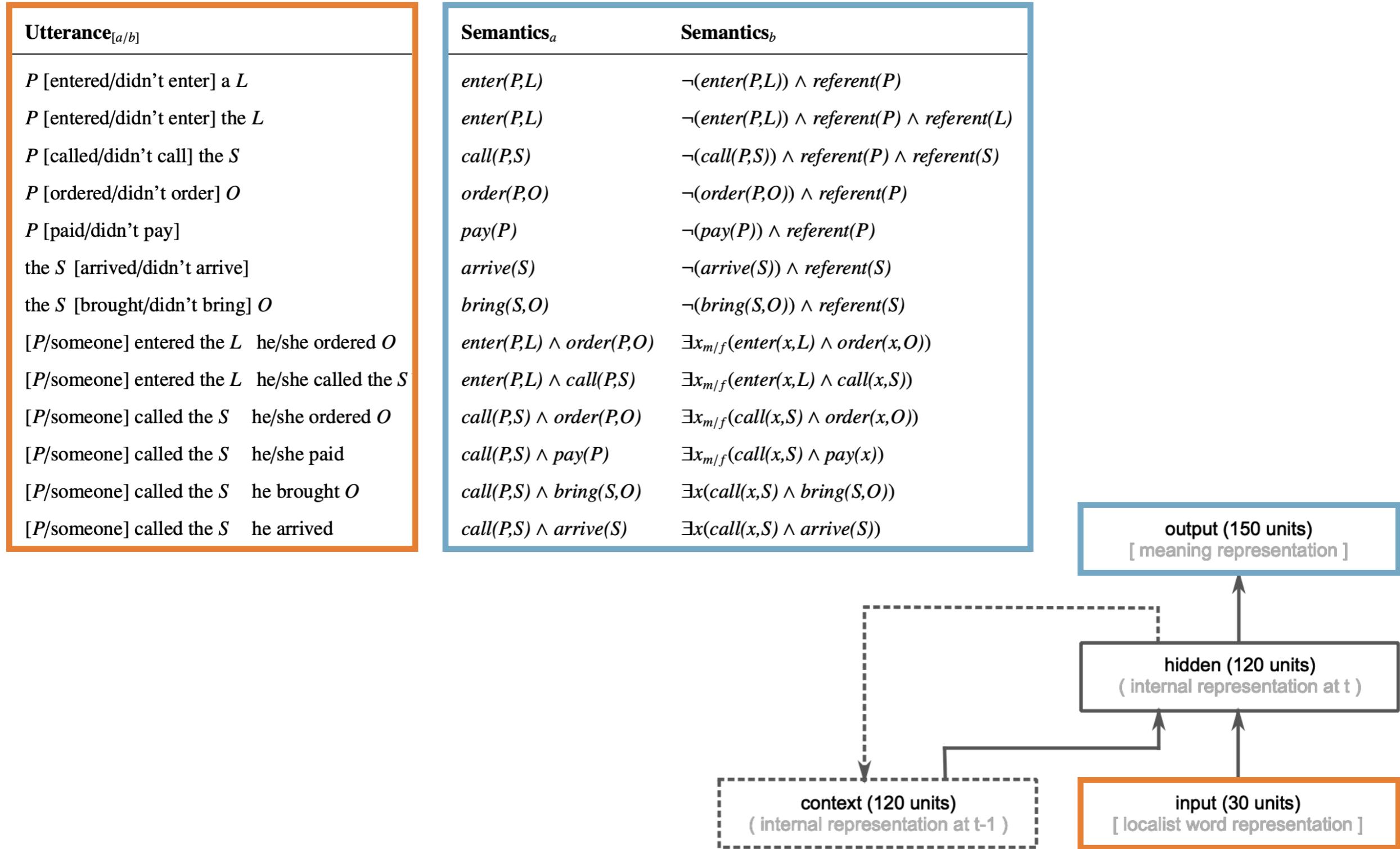
# CONSTRUCTING THE MODEL: MEANING SPACE

---

We sampled a meaning space of 150 models describing 51 propositions

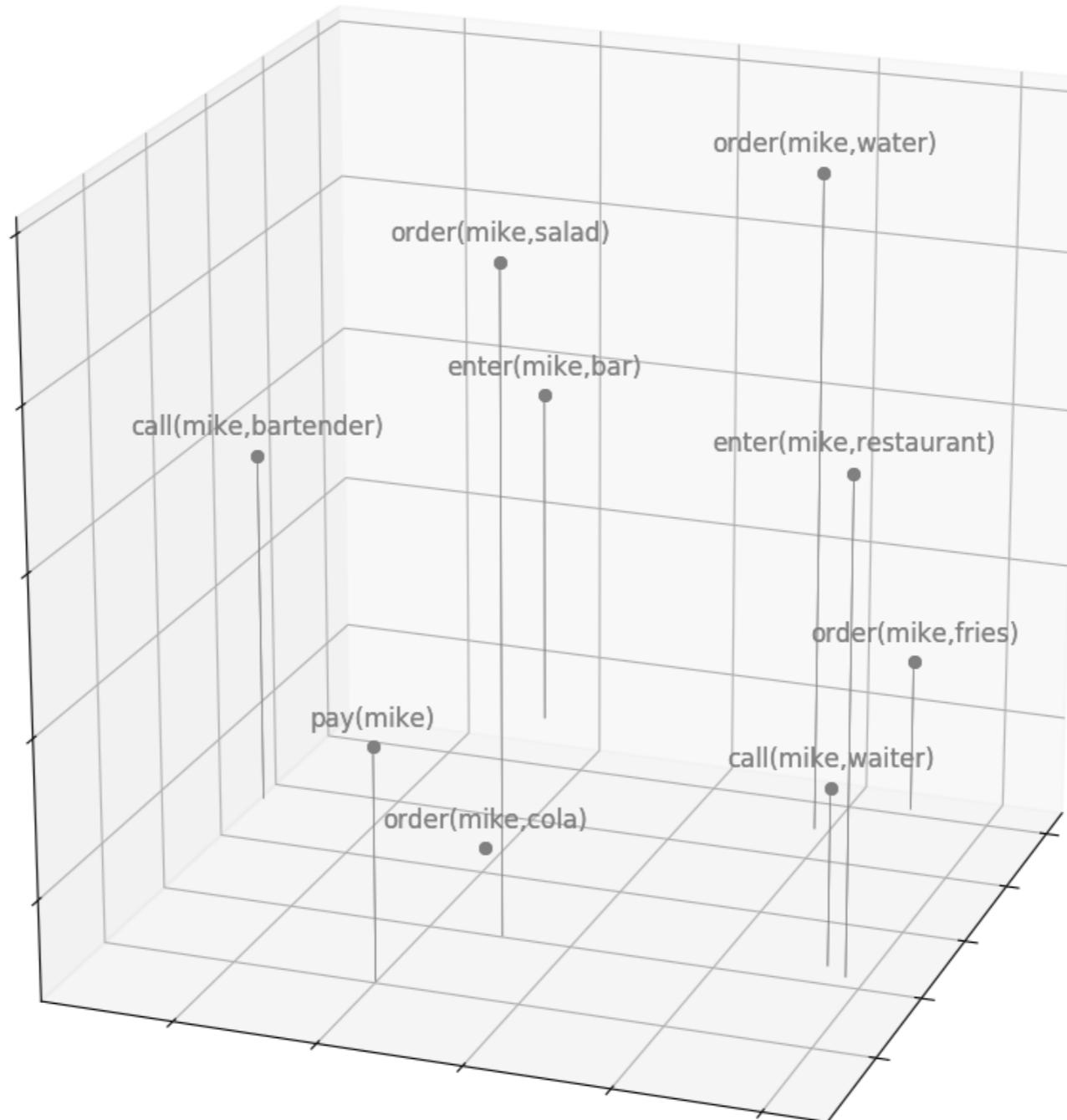


# CONSTRUCTING THE MODEL: LANGUAGE



# MEANING SPACE NAVIGATION

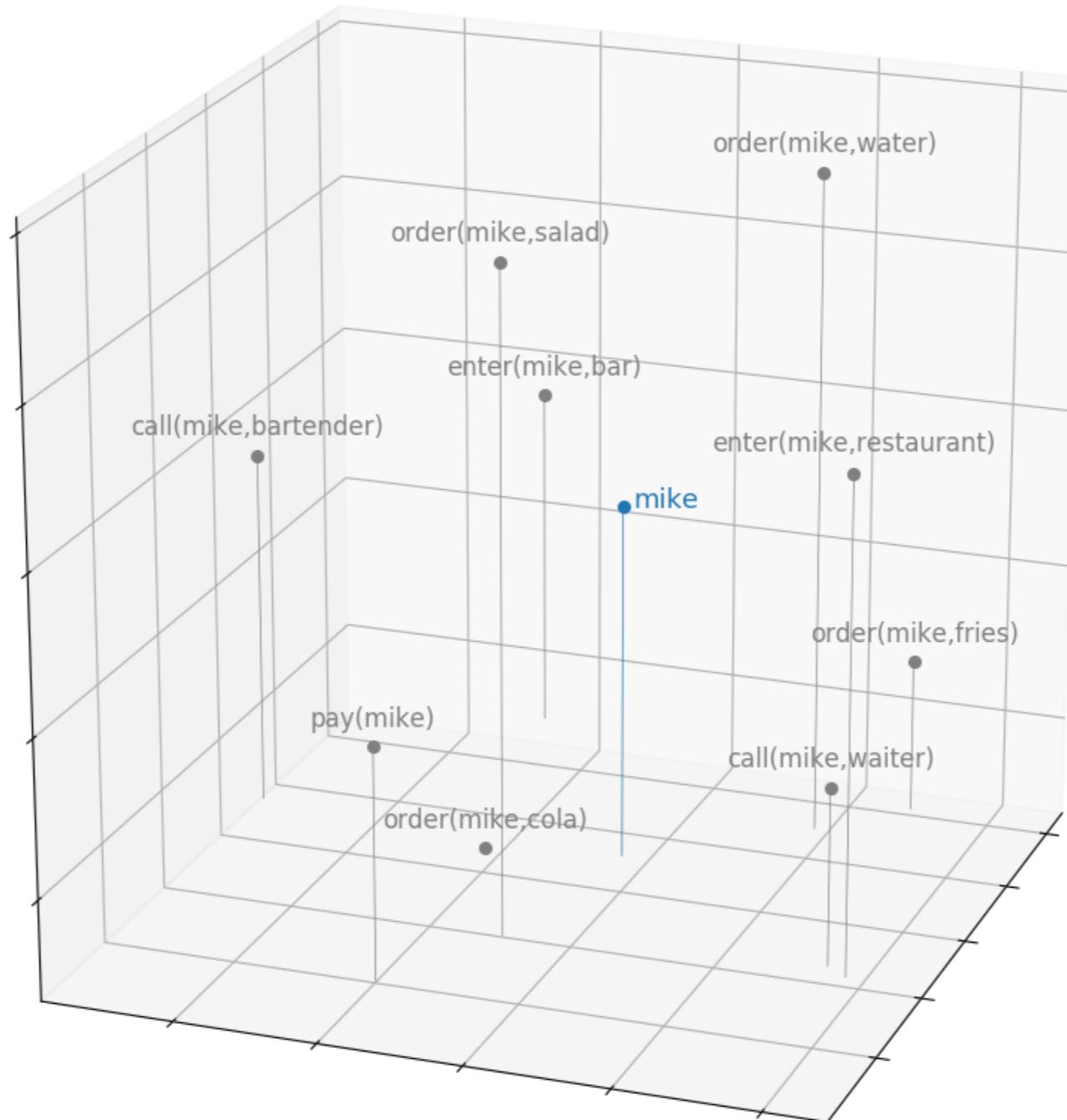
---



- Propositions that co-occur frequently in  $\mathcal{M}$  are positioned close to each other in space

# MEANING SPACE NAVIGATION

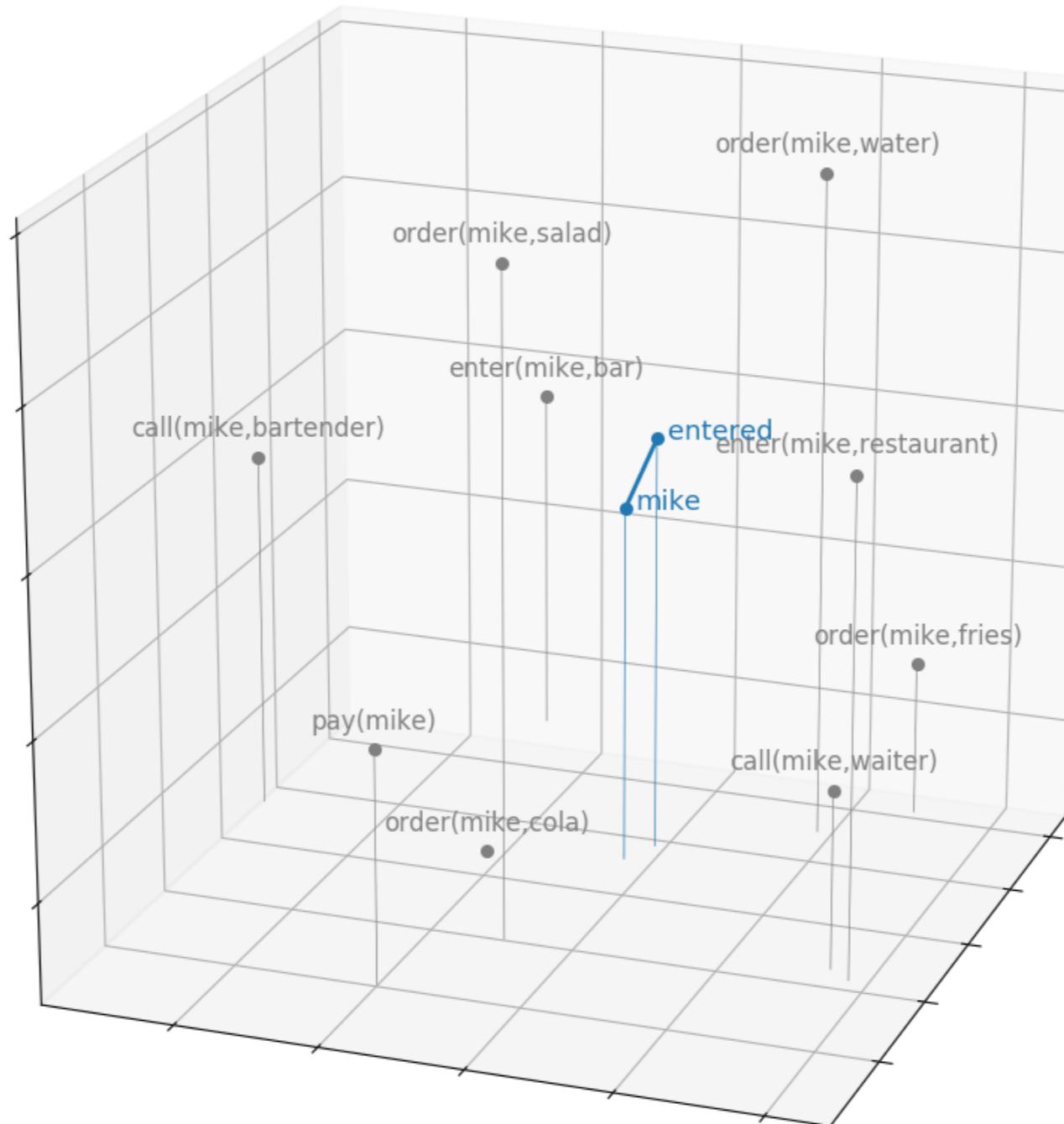
---



- Model-derived meaning of ‘*mike*’ abstracts over the meanings of all propositions pertaining to *mike*

# MEANING SPACE NAVIGATION

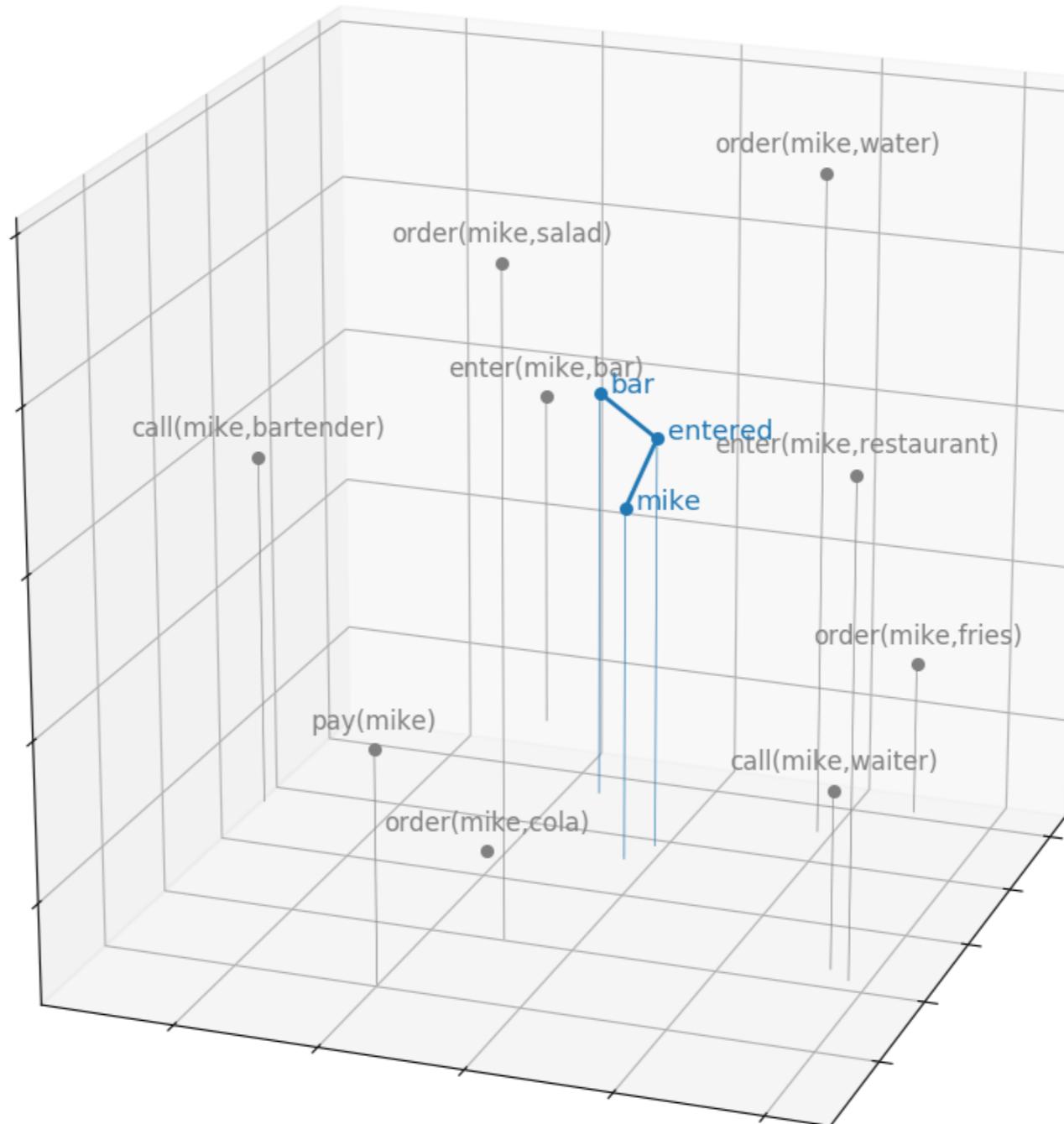
---



- At the word “entered”, the model navigates to a point that represents the contextualised meaning “mike entered”

# MEANING SPACE NAVIGATION

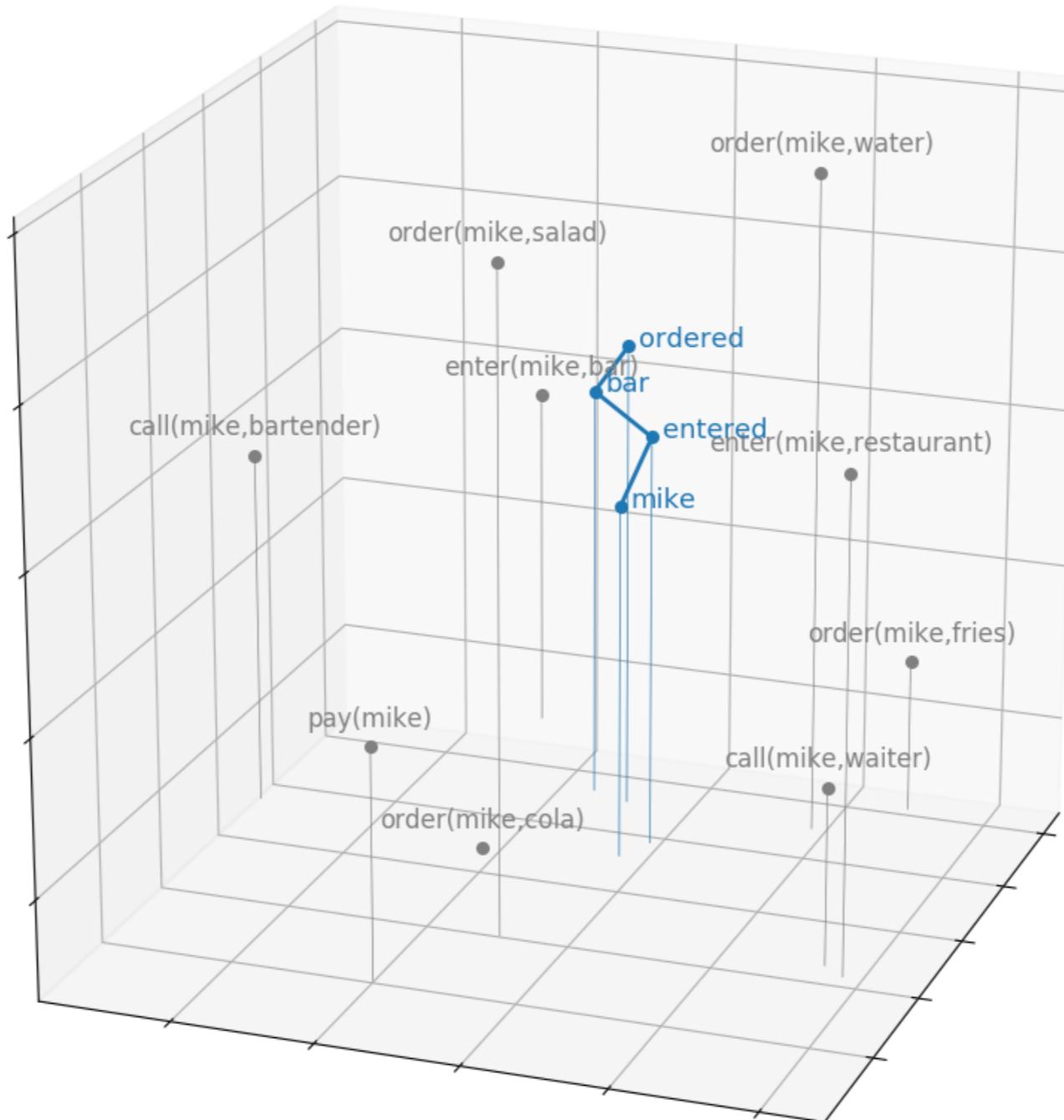
---



- The utterance “*mike entered the bar*” approximates the propositional meaning vector for *enter(mike,bar)*

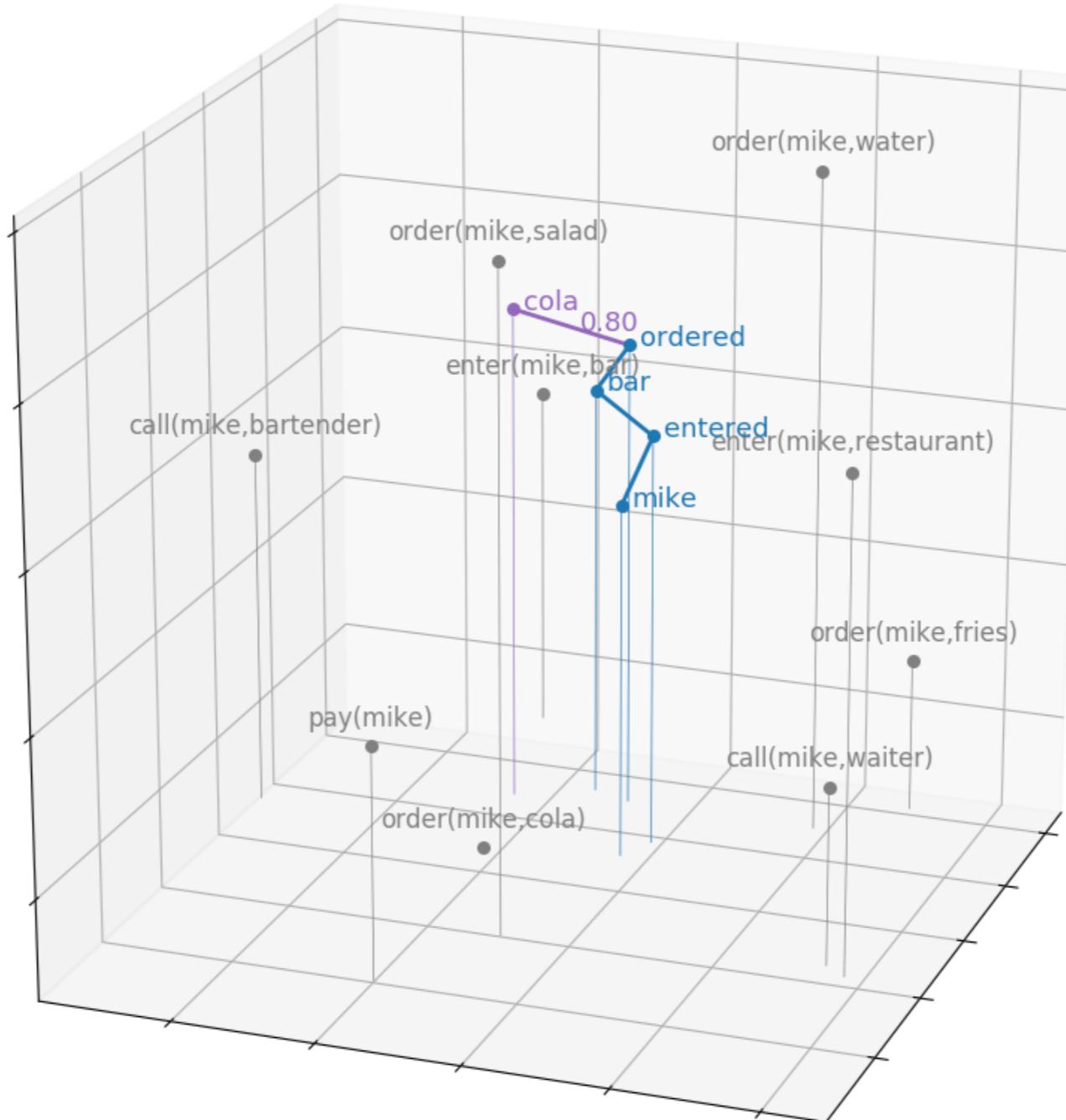
# MEANING SPACE NAVIGATION

---



- The meaning vector after processing “*mike entered the bar [...] he ordered*” is close to *order* propositions that are typical given *enter(mike,bar)*

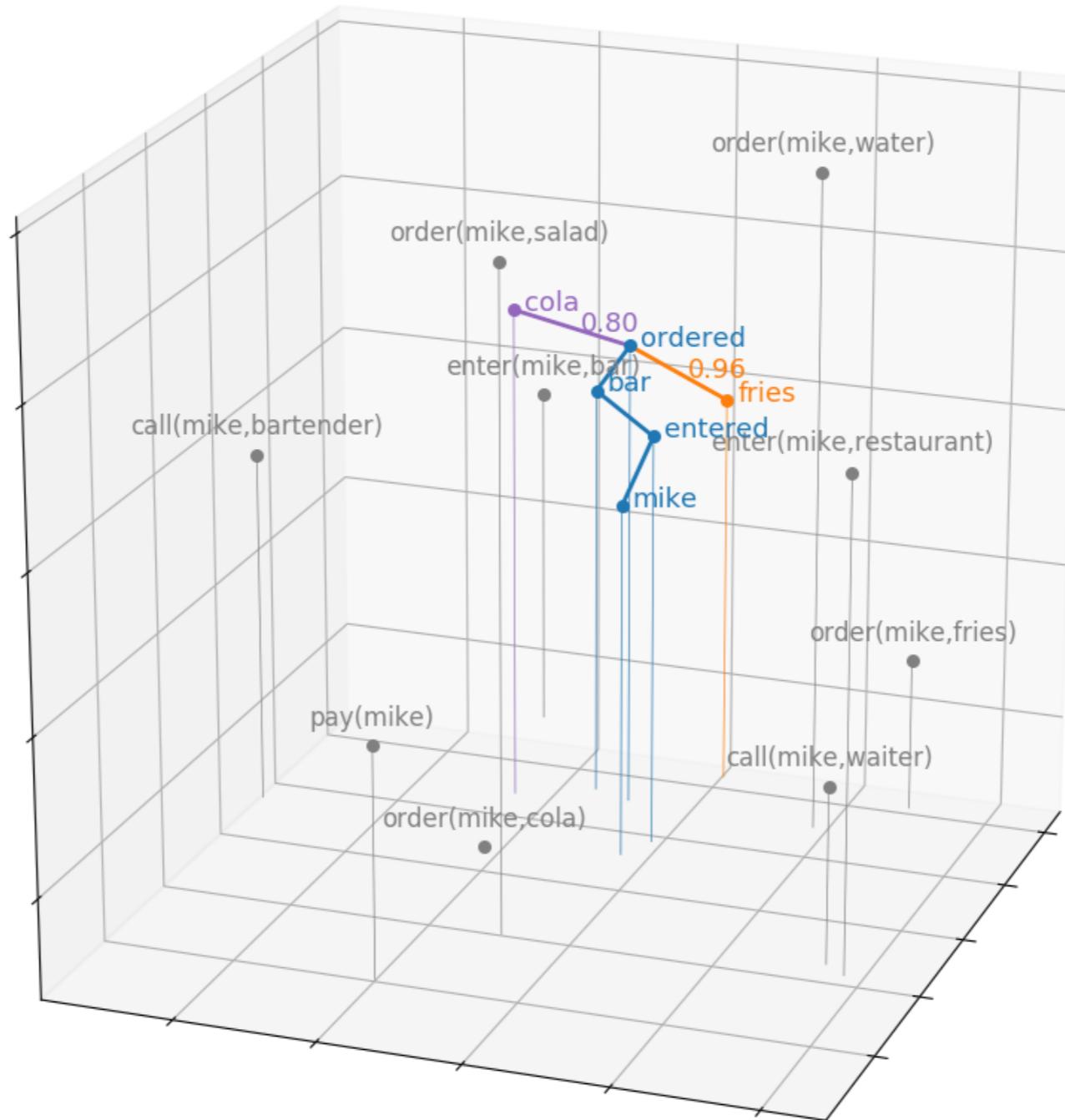
# MEANING SPACE NAVIGATION



- When the utterance is continued with “cola”, the model approximates the conjunctive meaning vector  $\text{enter}(\text{mike},\text{bar}) \wedge \text{order}(\text{mike},\text{cola})$

# MEANING SPACE NAVIGATION

---



- By contrast, “*fries*” results in different transition in meaning space—approximating the conjunctive meaning  $\text{enter}(\text{mike}, \text{bar}) \wedge \text{order}(\text{mike}, \text{fries})$ —but is less expected after “*mike entered the bar [.] he ordered*”

# INFORMATION THEORY IN DFS

---

Probabilistic nature of meaning space allows for defining formal notion of **information** (Shannon, 1948)

- **Surprisal** quantifies the expectancy of words in context
- Higher Surprisal  $\Leftrightarrow$  increased processing cost (Hale, 2001; Levy, 2008)
- In DFS, Surprisal quantifies expectancy of transition in meaning space, triggered by message  $m_{ab}$ :

$$S(m_{ab}) = -\log P(b | a)$$

- Word-by-word information effects of semantic constructions

# SEMANTIC PROCESSING IN THE MEANING SPACE

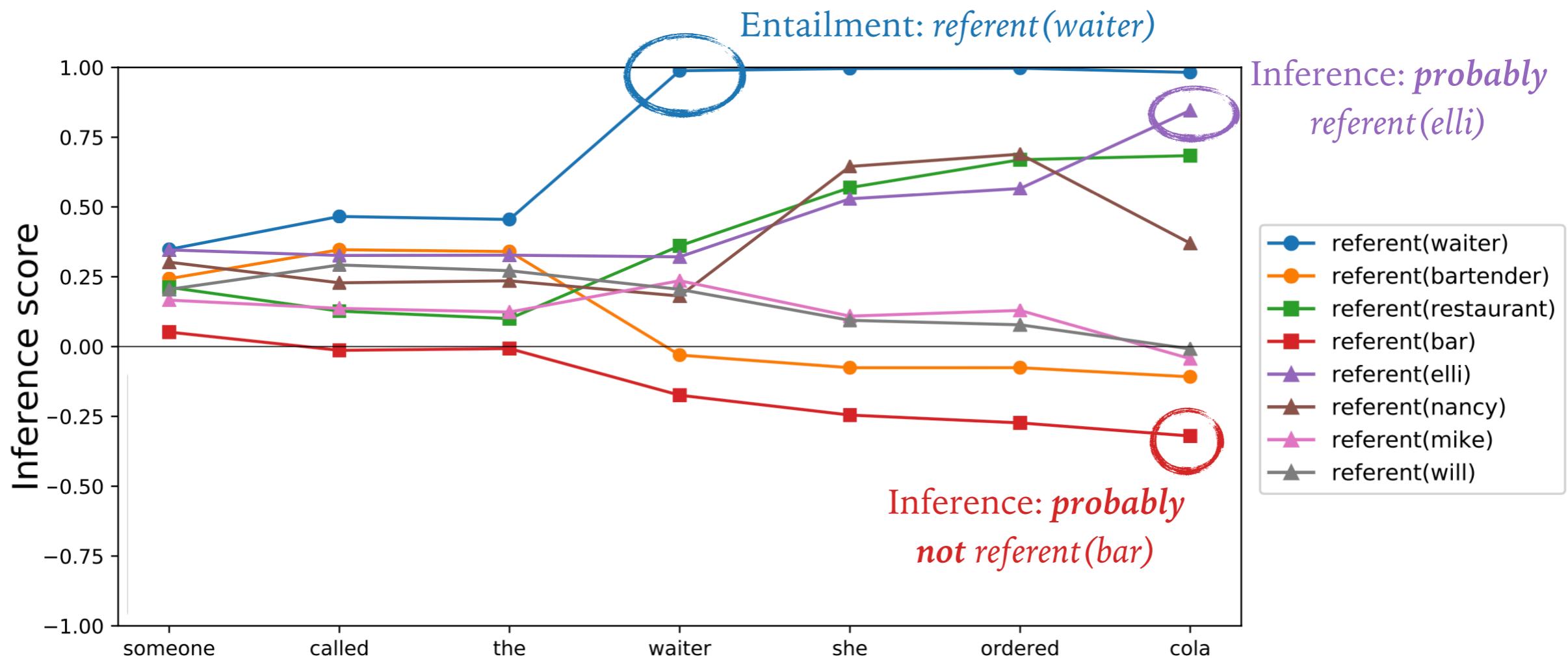


# ENTAILMENT AND INFERENCE

---

Incremental meaning construction in the model is driven by:

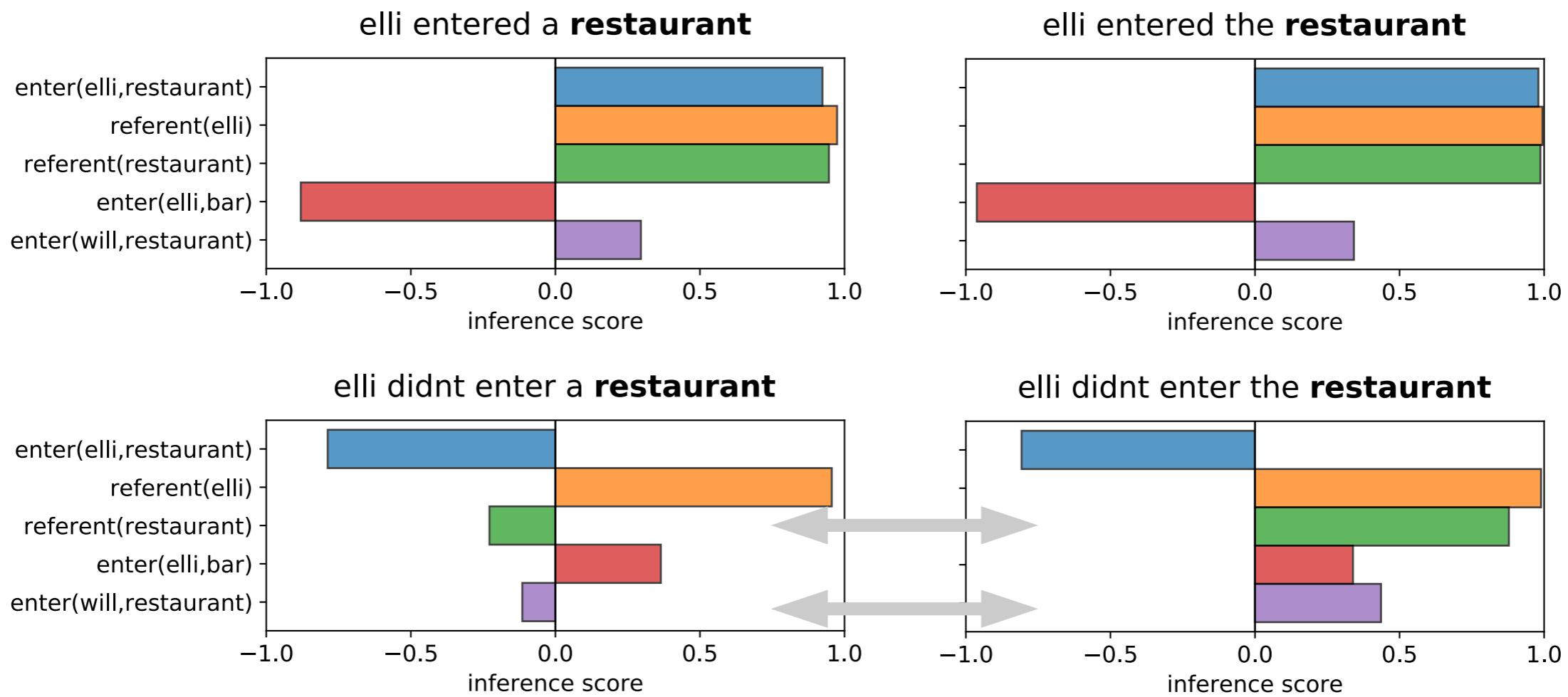
- Sentence-semantics mappings (literal utterance meaning)
- Structure of the meaning space (probabilistic inferences)



# NEGATION AND PRESUPPOSITION

---

- Negation affects entailments and probabilistic inferences
- Interaction between negation and presupposition (triggered by “the”)
- Presupposition has an effect beyond the literal meaning

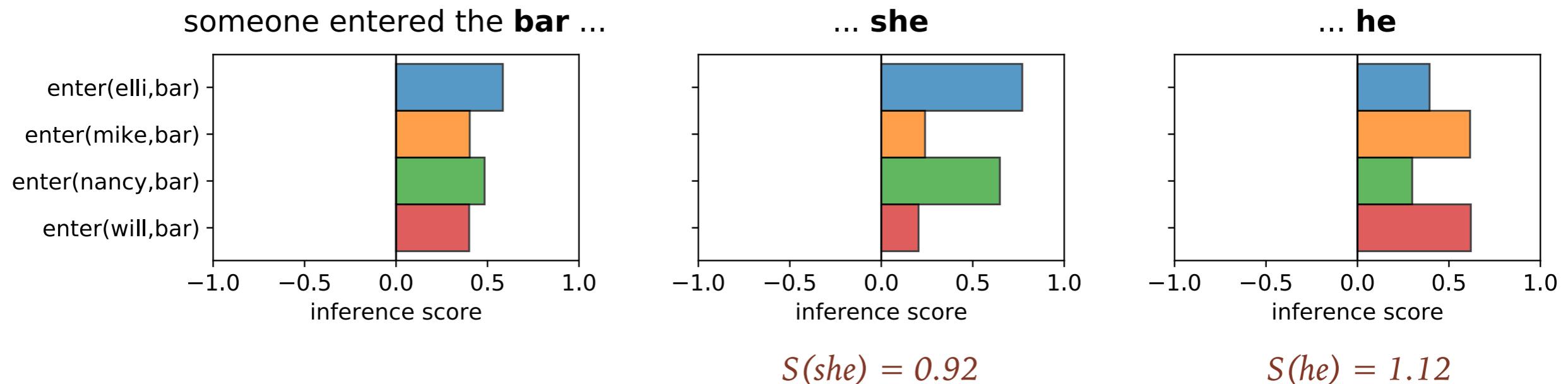


# QUANTIFICATION AND REFERENCE

---

Quantified expressions induce inferential uncertainty

- Selective expressions (e.g. pronouns) can reduce this uncertainty
- Confirming initial expectations results in reduced **Surprisal**

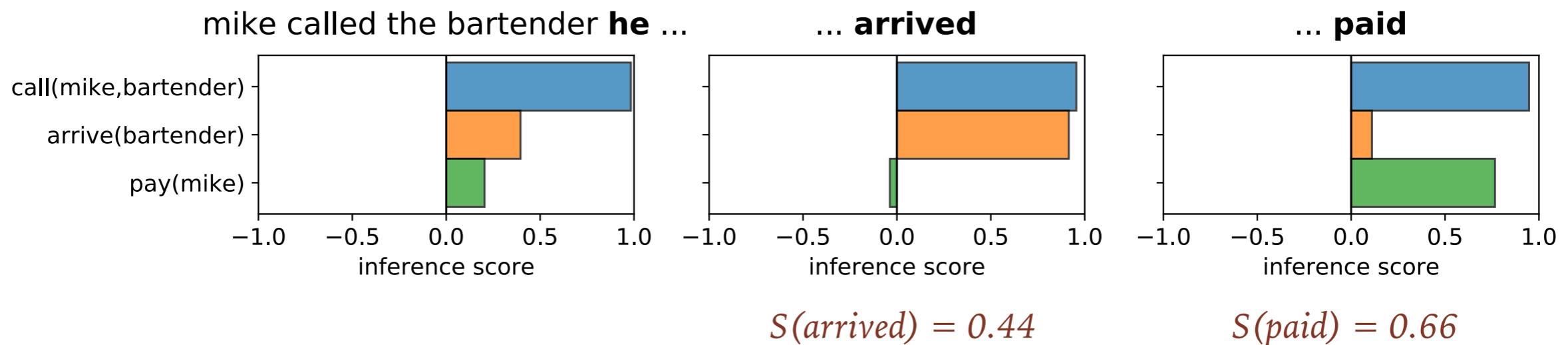


# REFERENTIAL AMBIGUITY

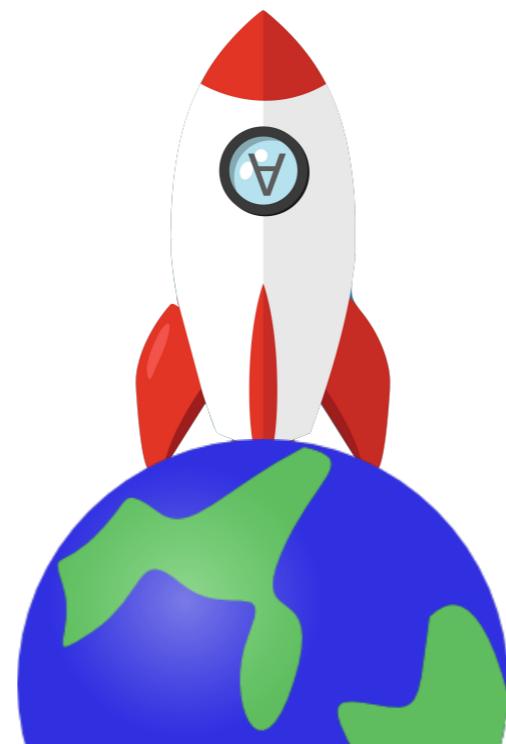
---

In the training data, the anaphoric antecedent of pronouns is always disambiguated by the preceding or the following context

- Ambiguous pronouns trigger competing hypotheses about the utterance-final interpretation
- Disambiguating continuations result in utterance-level entailments
- **Surprisal** estimates reflect difference between expected and unexpected continuations



# BACK FROM SPACE



# SUMMARY

---

## Distributional Formal Semantics

- Compositionality
- Entailment and probabilistic inference
- Incremental meaning construction

## Distributional Semantics

- Semantic similarity
- Empirically driven
- Cognitively inspired

?

# DS VS. DFS: COMPLEMENTARY ASPECTS OF MEANING

---

➤ Semantic similarity:

lexical similarity  
*beer ~ wine*

vs.

propositional similarity  
*order(mike,beer) ~ drink(mike,beer)*

➤ Data-driven sampling:

bottom-up

vs.

top-down

*individual linguistic co-occurrences*

*high-level description of the world*

➤ Cognitive foundation:

semantic memory  
*feature-based word meanings*

vs.

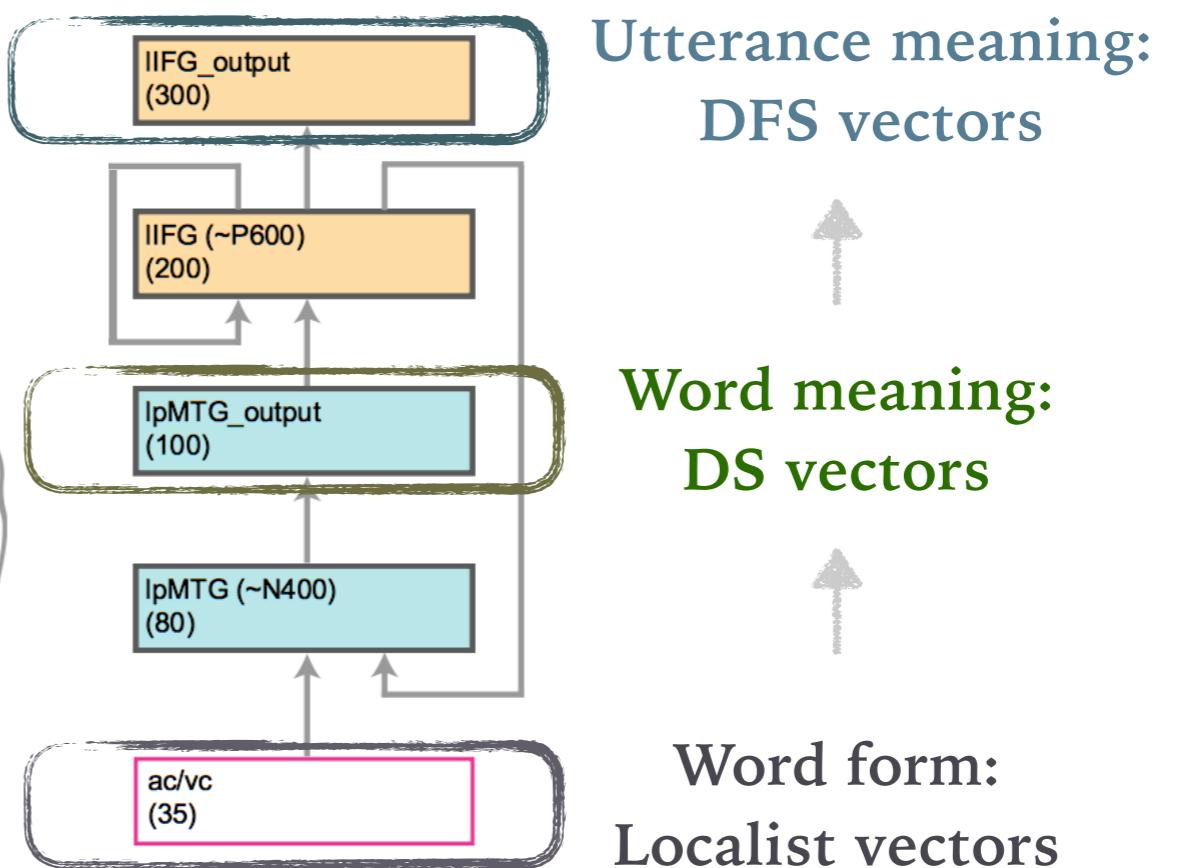
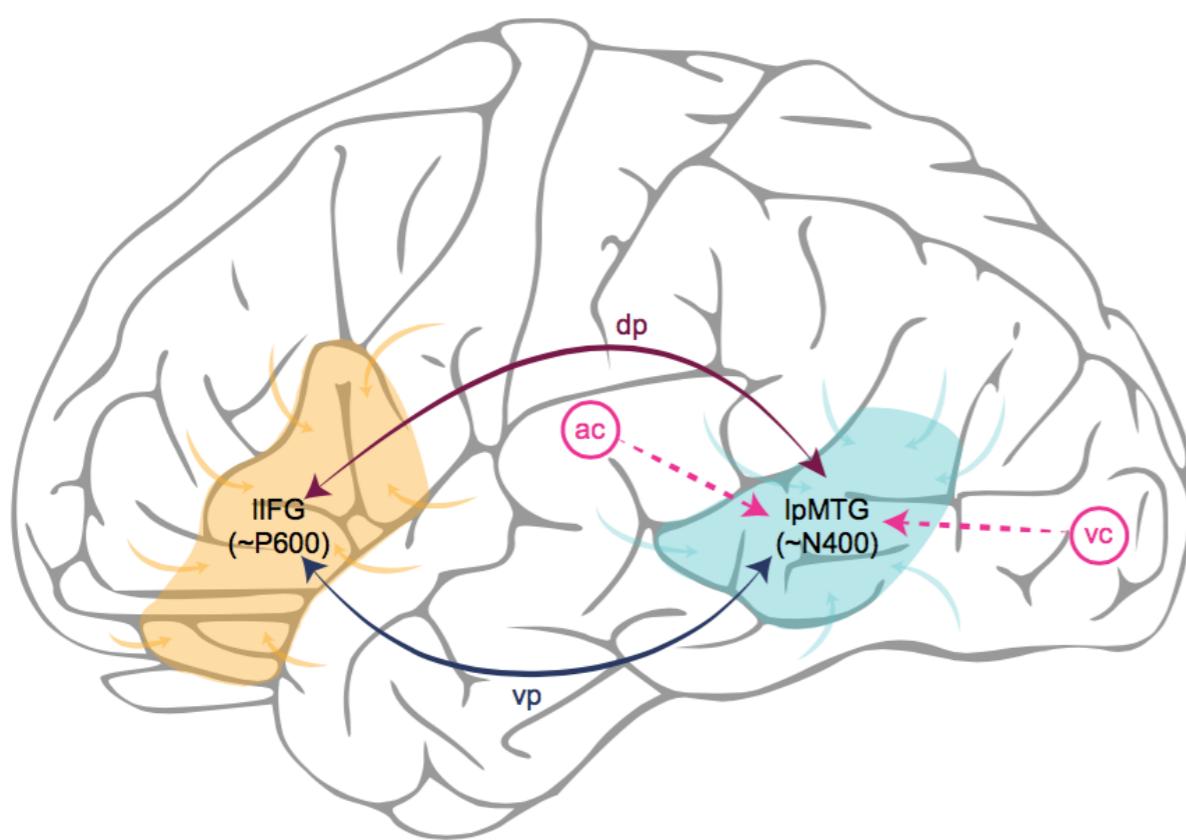
utterance interpretation  
*unfolding discourse-level interpretation*

*Kutas & Federmeier (2000); McRae et al. (2005); van Berkum (2009); Brouwer et al. (2012, 2017)*

# DISCUSSION: COGNITIVE FOUNDATION FOR DFS?

The Retrieval-Integration account of the electrophysiology of language comprehension

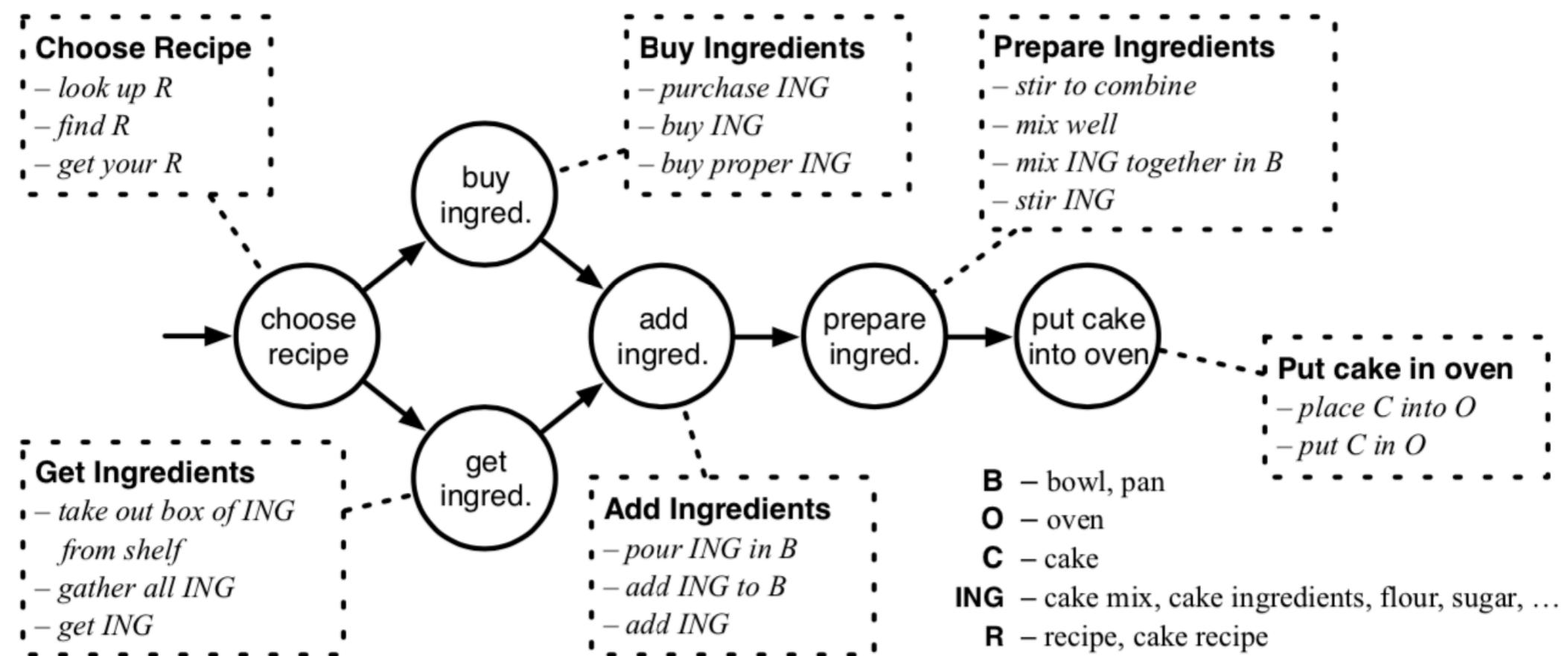
- Word meaning retrieval~N400
- Integration in utterance meaning~P600



# DISCUSSION: DATA-DRIVEN DFS?

Meaning space reflects world knowledge about propositional co-occurrence, rather than linguistic co-occurrence

- DeScript corpus (Wanzare et al., 2016)



# DISTRIBUTIONAL FORMAL SEMANTICS

---

- The meaning space  $S_{M \times P}$  captures the structure of the world **truth-conditionally and probabilistically**
- Meaning vectors are **compositional** at the propositional level
- **Sub-propositional meaning** derived by incrementally navigating  $S_{M \times P}$  (using a Simple Recurrent neural Network)
- Semantic phenomena—*negation, presupposition, quantification & reference*—affect **incremental entailments and inferences** during meaning space navigation

## Distributional Formal Semantics

Noortje J. Venhuizen<sup>a,\*</sup>, Petra Hendriks<sup>b</sup>, Matthew W. Crocker<sup>a</sup>, Harm Brouwer<sup>a</sup>

<sup>a</sup>Saarland University, Department of Language Science and Technology, 66123 Saarbrücken, Germany

<sup>b</sup>University of Groningen, Center for Language and Cognition Groningen (CLCG), P.O. Box 716, 9700 AS Groningen, the Netherlands.

capture the co-occurrences between words [4, 5, 6, 7]. The main advantage of such approach representations inherently encode semantic similarity and relatedness between lexical items derived empirically from language data. It has, however, proven extremely difficult to incorporate semantic notions of entailment and compositionality within such a distributional semantic framework.

<https://github.com/hbrouwer/dfs-tools>

<https://github.com/hbrouwer/dfs-tools>