

# Einführung in die Computerlinguistik

## Context-Free Grammars (CFG)

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Summer 2021



## CFG (1)

In contrast to regular grammars, CFGs allow any combination of non-terminal and terminal symbols in the righthand sides of productions.

### CFG $G_{\text{telescope}}$

- Nonterminals  $\{S, NP, VP, PP, D, N, V, P\}$
- Terminals  $\{\text{man, girl, the, John, Mary, telescope, with, saw}\}$
- Start symbol  $S$
- Productions

$S \rightarrow NP VP$	$NP \rightarrow D N$	$N \rightarrow N PP$
$VP \rightarrow VP PP$	$VP \rightarrow V NP$	$PP \rightarrow P NP$
$N \rightarrow \text{man}$	$N \rightarrow \text{girl}$	$N \rightarrow \text{telescope}$
$D \rightarrow \text{the}$	$NP \rightarrow \text{John}$	$NP \rightarrow \text{Mary}$
$P \rightarrow \text{with}$	$V \rightarrow \text{saw}$	

## CFG (2)

### Example continued

$S \rightarrow NP VP$	$NP \rightarrow D N$	$N \rightarrow N PP$	$VP \rightarrow VP PP$
$VP \rightarrow V NP$	$PP \rightarrow P NP$	$N \rightarrow \text{man}$	$N \rightarrow \text{girl}$
$N \rightarrow \text{telescope}$	$D \rightarrow \text{the}$	$NP \rightarrow \text{John}$	$NP \rightarrow \text{Mary}$
$P \rightarrow \text{with}$	$V \rightarrow \text{saw}$		

Sentences one can generate with this grammar:

- (1) John saw Mary
- (2) John saw the girl
- (3) the man with the telescope saw John
- (4) John saw the girl with the telescope

...

## CFG (3)

### CFG

A **context-free grammar** (CFG) is a tuple  $G = \langle N, T, P, S \rangle$  such that

- $N$  and  $T$  are disjoint alphabets, the nonterminals and terminals,
- $S \in N$  is the start symbol, and
- $P$  is a set of productions of the form

$$A \rightarrow \beta$$

with  $A \in N, \beta \in (N \cup T)^*$ .

Any  $\beta \in (N \cup T)^*$  with  $S \xRightarrow{*} \beta$  is called a **sentential form**.

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- CFG  $G_{anbn} = \langle \{S\}, \{a, b\}, P, S \rangle$  with productions

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- CFG  $G_{a,b} = \langle \{S, A, B\}, \{a, b\}, P, S \rangle$  with productions

$$S \rightarrow aB \quad S \rightarrow bA$$

$$A \rightarrow a \quad A \rightarrow aS \quad A \rightarrow bAA$$

$$B \rightarrow b \quad B \rightarrow bS \quad B \rightarrow aBB$$

generates the language  $\{w \mid w \in \{a, b\}^+, |w|_a = |w|_b\}$

## CFG (5)

### Parse tree

A tree  $t$  is a **parse tree** for a CFG  $G = \langle N, T, P, S \rangle$  iff

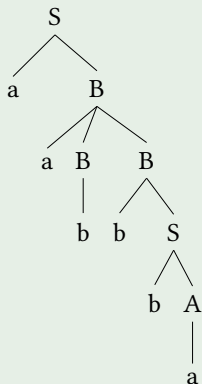
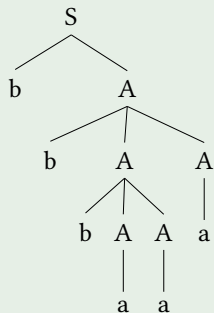
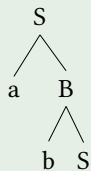
- each node in  $t$  is labeled with a  $x \in N \cup T \cup \{\epsilon\}$ ;
- the root label is  $S$ ;
- if there is a node with label  $A$  that has  $n$  daughters labeled (from left to right)  $x_1, \dots, x_n$ , then  $A \rightarrow x_1 \dots x_n \in P$ .
- if a node has label  $\epsilon$ , it is a leaf and the unique daughter of its mother node.

$S \xRightarrow{*} \alpha$  in  $G$  iff there is a parse tree for  $G$  with yield  $\alpha$ .

# CFG (6)

## Parse trees for $G_{a,b}$

$S \rightarrow aB$     $S \rightarrow bA$     $A \rightarrow a$     $A \rightarrow aS$     $A \rightarrow bAA$   
 $B \rightarrow b$     $B \rightarrow bS$     $B \rightarrow aBB$





## CFG (7)

### String language, derivation, tree language

- Let  $G = \langle N, T, P, S \rangle$  be a CFG. The **string language**  $L(G)$  of  $G$  is the set  $\{w \in T^* \mid S \xRightarrow{*} w\}$  where

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- A **derivation** of a word  $w \in T^*$  is a sequence  $S \Rightarrow \alpha_1 \cdots \Rightarrow w$  of derivation steps leading to  $w$ .
- The **tree language** is the set of all parse trees with all leaves labelled with  $a \in T \cup \{\varepsilon\}$ .

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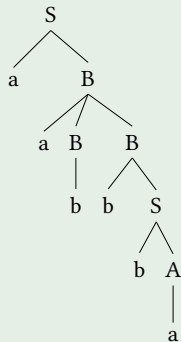
- **leftmost** derivation iff, in each derivation step, a production is applied to the leftmost non-terminal of the already derived sentential form.
- **rightmost** derivation iff, in each derivation step, a production is applied to the rightmost non-terminal of the already derived sentential form.



## CFG (9)

### Derivations

Take the grammar  $G_{a,b}$  and the following parse tree:



Leftmost derivation:

$$\begin{aligned} S &\Rightarrow aB \Rightarrow aaBB \Rightarrow aabB \\ &\Rightarrow aabbS \Rightarrow aabbbaA \Rightarrow aabbba \end{aligned}$$

Rightmost derivation:

$$\begin{aligned} S &\Rightarrow aB \Rightarrow aaBB \Rightarrow aaBbS \\ &\Rightarrow aaBbbaA \Rightarrow aaBbba \Rightarrow aabbba \end{aligned}$$

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- A CFL  $L$  is called **inherently ambiguous** if each CFG  $G$  with  $L = L(G)$  is ambiguous.

Example:

$\{a^n b^n c^m d^m \mid n \geq 1, m \geq 1\} \cup \{a^n b^m c^m d^n \mid n \geq 1, m \geq 1\}$

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CFLs are the languages accepted by (non-deterministic) PDAs.

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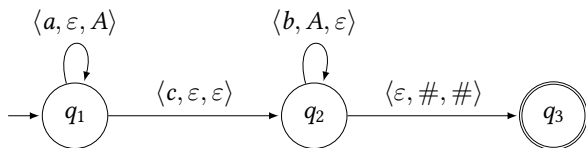
Equivalently, one can even define  $\delta$  as

$$\delta : Q \times (\Sigma \cup \{\epsilon\}) \times \Gamma^* \rightarrow \mathcal{P}_{fin}(Q \times \Gamma^*).$$



## PDA (5)

PDA's can also be drawn as graphs:



An edge label  $\langle a, A, B \rangle$  signifies that  $a$  is read,  $A$  is popped from the stack and  $B$  is pushed on the stack.

In other words,  $\langle q_2, B \rangle \in \delta(q_1, a, A)$  iff there is an edge from  $q_1$  to  $q_2$  labeled  $\langle a, A, B \rangle$ .

## PDA (6)

### Instantaneous description

An **instantaneous description** of a PDA is a triple  $(q, w, \gamma)$  with

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$(q, aw, Z\alpha) \vdash (q', w, \beta\alpha)$  iff  $\langle q', \beta \rangle \in \delta(q, a, Z)$  for all  $q, q' \in Q, a \in \Sigma \cup \{\epsilon\}, w \in \Sigma^*, Z \in \Gamma, \alpha, \beta \in \Gamma^*$ .

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 $\vdash$  is the reflexive transitive closure of  $\vdash$ .

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- The **language accepted by  $M$  with a final state** is

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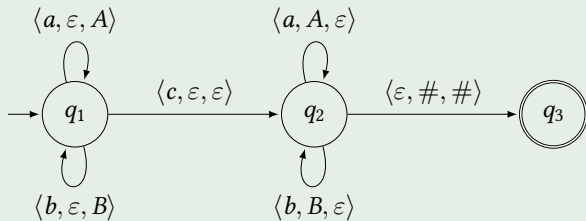
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The two modes of acceptance are equivalent, i.e., for each language  $L$ : there is a PDA  $M_1$  with  $L = L(M_1)$  iff there is a PDA  $M_2$  with  $L = N(M_2)$ .



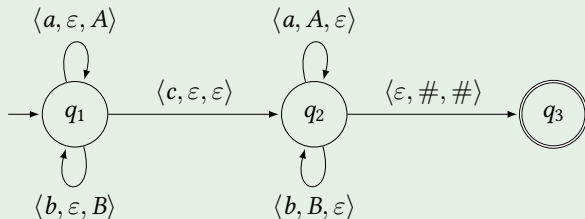
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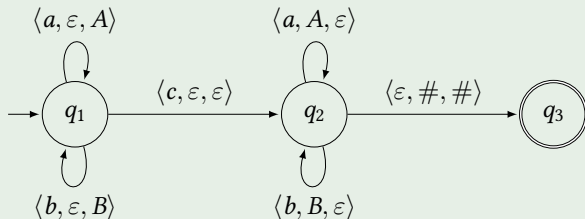
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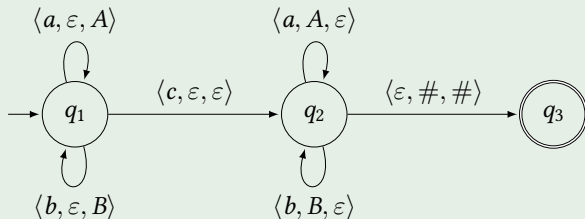
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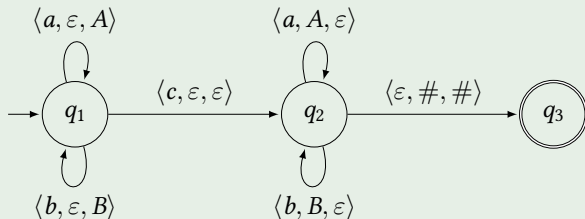
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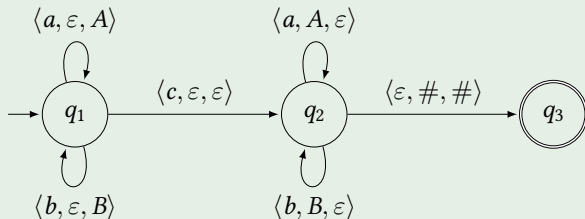
PDA  $M$  for  $L(M) = \{wcw^R \mid w \in \{a, b\}^*\}$



- $Q = \{q_1, q_2, q_3\}$ ,  $\Sigma = \{a, b, c\}$ ,  $\Gamma = \{\#, A, B\}$ .  
 $q_0 = q_1$ ,  $Z_0 = \#$ ,  $F = \{q_3\}$ .
- $\delta(q_1, a, \varepsilon) = \{\langle q_1, A \rangle\}$      $\delta(q_1, b, \varepsilon) = \{\langle q_1, B \rangle\}$
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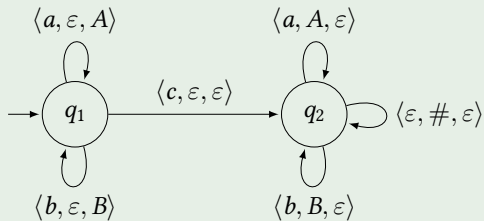
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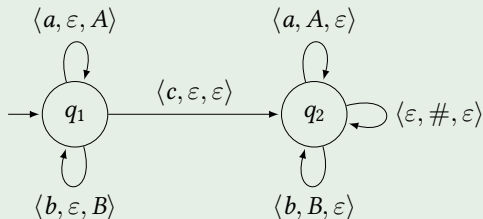
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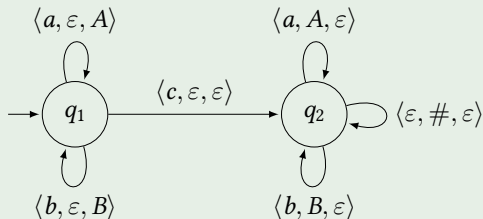


- $Q = \{q_1, q_2\}, \Sigma = \{a, b, c\}, \Gamma = \{\#, A, B\}$ .  
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## PDA (10)

### DPDA

A PDA  $M = \langle Q, \Sigma, \Gamma, \delta, q_0, Z_0, F \rangle$  is a **deterministic PDA** (DPDA) iff

- for all  $q \in Q, Z \in \Gamma, a \in \Sigma \cup \{\epsilon\}$ :  $|\delta(q, a, Z)| \leq 1$ , and
- for all  $q \in Q, Z \in \Gamma$ : if  $\delta(q, \epsilon, Z) \neq \emptyset$ , then  $\delta(q, a, Z) = \emptyset$  for all  $a \in \Sigma$ .

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The class of languages accepted by DPDAs is smaller than the class accepted by (non-deterministic) PDAs.

Example of a language that requires a non-deterministic PDA:

$\{ww^R \mid w \in \{a, b\}^*\}$ .

## PDA and CFG (1)

For each CFL  $L$ , there is a PDA  $M$  with  $L = N(M)$ .

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Equivalent PDA:

$M = \langle \{q\}, T, N, \delta, q, S, \emptyset \rangle$  with  $\langle q, \gamma \rangle \in \delta(q, a, A)$  iff  $A \rightarrow a\gamma \in P$ .

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The automaton simulates leftmost derivations in  $G$ .

## PDA and CFG (2)

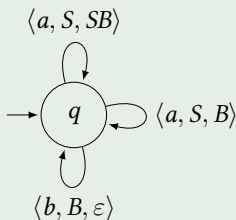
### From CFG to PDA

Take the CFG  $G_{anbn} = \langle \{S\}, \{a, b\}, \{S \rightarrow aSb, S \rightarrow ab\}, S \rangle$ .

Equivalent CFG in GNF:

$G'_{anbn} = \langle \{S, B\}, \{a, b\}, \{S \rightarrow aSB, S \rightarrow aB, B \rightarrow b\}, S \rangle$ .

Equivalent PDA:  $M = \langle \{q\}, \{a, b\}, \{S, B\}, \delta, q, S, \emptyset \rangle$  with acceptance with empty stack:





## PDA and CFG (3)

For each PDA  $M$  with  $L = N(M)$ :  $L$  is a context-free language.

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Construction of equivalent CFG for given PDA

$M = \langle Q, \Sigma, \Gamma, \delta, q_0, Z_0, F \rangle$ :

- nonterminals:  $S$  and all  $[q_1, Z, q_2]$  with  $q_1, q_2 \in Q, Z \in \Gamma$ .
- productions:  $S \rightarrow [q_0, Z_0, q]$  for every  $q \in Q$ , and  
 $[q, A, q_{m+1}] \rightarrow a[q_1, B_1, q_2], \dots, [q_m, B_m, q_{m+1}]$  for  $q, q_1, \dots, q_{m+1} \in Q, a \in \Sigma \cup \{\epsilon\}, A, B_1, \dots, B_m \in \Gamma$  such that  $\langle q_1, B_1 \dots B_m \rangle \in \delta(q, a, A)$   
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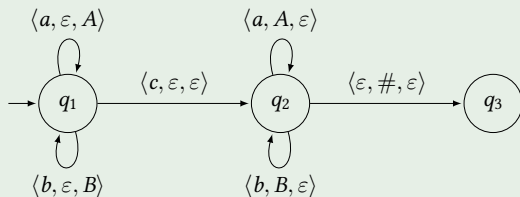
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 $[q, A, q_1] \rightarrow a$  if  $\langle q_1, \epsilon \rangle \in \delta(q, a, A)$ .

$[q_1, A, q_2] \xRightarrow{*} w$  iff  $(q_1, w, A) \vdash^* (q_2, \epsilon, \epsilon)$ .

## PDA and CFG (4)

### From PDA to CFG



Productions of the equivalent CFG (only useful productions listed):

$$S \rightarrow [q_1, \#, q_3] \quad [q_1, \#, q_3] \rightarrow c[q_2, \#, q_3] \quad [q_2, \#, q_3] \rightarrow \varepsilon$$

$$[q_1, \#, q_3] \rightarrow a[q_1, A, q_2][q_2, \#, q_3] \quad [q_1, \#, q_3] \rightarrow b[q_1, B, q_2][q_2, \#, q_3]$$

$$[q_1, A, q_2] \rightarrow a[q_1, A, q_2][q_2, A, q_2] \quad [q_1, A, q_2] \rightarrow b[q_1, B, q_2][q_2, A, q_2]$$

$$[q_1, B, q_2] \rightarrow a[q_1, A, q_2][q_2, B, q_2] \quad [q_1, A, q_2] \rightarrow b[q_1, B, q_2][q_2, B, q_2]$$

$$[q_1, A, q_2] \rightarrow c[q_2, A, q_2] \quad [q_1, B, q_2] \rightarrow c[q_2, B, q_2]$$

$$[q_2, A, q_2] \rightarrow a \quad [q_2, B, q_2] \rightarrow b$$

Hopcroft, J. E. and Ullman, J. D. (1979). *Introduction to Automata Theory, Languages and Computation*. Addison Wesley.