

# Parsing Exercises

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## Question 1 (Grammars)

Consider the following three languages:

- $L_1 = \{a^n b^m c d^m e^n \mid n, m \geq 0\}$
- $L_2 = \{(ab)^n c d^m \mid n, m \geq 0\}$
- $L_3 = \{a^n b (cd)^n e^n \mid n \geq 0\}$

One of the languages is regular, one context-free and not regular and one not context-free. Which are the regular and the non-regular context-free languages? Justify your answer by giving the corresponding grammars.

Solution:

$L_2$  is regular:  $S \rightarrow abS, S \rightarrow c, S \rightarrow cB, B \rightarrow d, B \rightarrow dB.$

$L_1$  is context-free:  $S \rightarrow aSe, S \rightarrow T, T \rightarrow bTd, T \rightarrow c.$

$L_3$  is context-sensitive:

$S \rightarrow GbH, S \rightarrow b,$

$G \rightarrow GA, Aa \rightarrow aA, Ab \rightarrow abC,$

$Ccd \rightarrow cdC, C \rightarrow cdE, Ee \rightarrow eE, EH \rightarrow eH$

$G \rightarrow A', A'a \rightarrow aA', A'b \rightarrow abC',$

$C'cd \rightarrow cdC', C'e \rightarrow cde, C'H \rightarrow cde, H \rightarrow e$

(this grammar was not required)

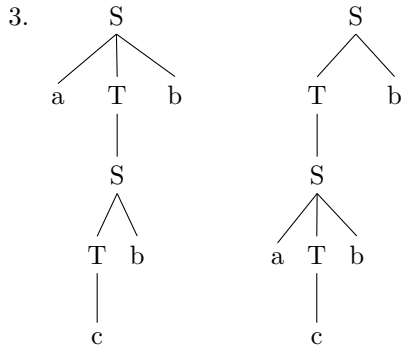
## Question 2 (CFG)

Consider the CFG  $G$  with non-terminals  $N = \{S, T\}$ , terminals  $T = \{a, b, c\}$ , start symbol  $S$  and productions  $S \rightarrow aTb \mid Tb, T \rightarrow S \mid c.$

1. Is  $G$  in Chomsky Normal Form? Explain your answer.
2. Is  $G$  left-recursive? Explain your answer.
3. Give the two parse trees for  $acbb$  that one obtains with  $G.$
4. What is the language  $L(G)$  generated by this grammar?

Solution:

1. No because a grammar in CNF must be such that each righthand side of a production either contains a single terminal or exactly two non-terminals.  $S \rightarrow aTb$  for instance does not satisfy this requirement.
2. Yes, because  $S \Rightarrow Tb \Rightarrow Sb.$



4.  $\{a^n cb^m \mid m \geq n \geq 0, m \geq 1\}$

**Question 3 (CFG)**

1. Consider the CFG  $G_1$  with non-terminals  $\{S, T, A, B\}$ , terminals  $\{a, b\}$ , start symbol  $S$  and productions

$$\begin{aligned} S &\rightarrow ATA & S &\rightarrow BTB \\ T &\rightarrow ATA & T &\rightarrow BTB & T &\rightarrow \epsilon \\ A &\rightarrow a & B &\rightarrow b \end{aligned}$$

(a) Transform  $G_1$  into an equivalent CFG  $G'_1$  without  $\epsilon$ -productions.

(b) Transform  $G'_1$  into an equivalent CFG  $G''_1$  in Chomsky Normal Form.

2. Consider the CFG  $G_2$  with non-terminals  $\{S, A, B\}$ , terminals  $\{a, b\}$ , start symbol  $S$  and productions

$$S \rightarrow AB \quad A \rightarrow S \quad A \rightarrow a \quad B \rightarrow b$$

Transform  $G_2$  into an equivalent CFG  $G'_2$  without left recursion.

Solution:

1. (a) First, calculate the set  $N_\epsilon$  of all  $A \in N$  such that  $A \xrightarrow{*} \epsilon$ :  $N_\epsilon = \{T\}$

Consequently, the productions in  $G'_1$  are

$$\begin{aligned} S &\rightarrow ATA & S &\rightarrow BTB & S &\rightarrow AA & S &\rightarrow BB \\ T &\rightarrow ATA & T &\rightarrow BTB & T &\rightarrow AA & T &\rightarrow BB \\ A &\rightarrow a & B &\rightarrow b \end{aligned}$$

(b) For the transformation into CNF, we introduce new non-terminals  $C_1, C_2$ . The new set of productions in  $G''_1$  is

$$\begin{aligned} S &\rightarrow AC_1 & S &\rightarrow BC_2 & S &\rightarrow AA & S &\rightarrow BB \\ T &\rightarrow AC_1 & T &\rightarrow BC_2 & T &\rightarrow AA & T &\rightarrow BB \\ C_1 &\rightarrow TA & C_2 &\rightarrow TB & A &\rightarrow a & B &\rightarrow b \end{aligned}$$

2. We put indices on our non-terminals:  $B$  has index 1,  $A$  index 2 and  $S$  index 3:

$$S_3 \rightarrow A_2 B_1 \quad A_2 \rightarrow S_3 \quad A_2 \rightarrow a \quad B_1 \rightarrow b$$

Obviously, this grammar is left-recursive:  $S_3 \Rightarrow A_2 B_1 \Rightarrow S_3 B_1$

For the indices 1 and 2 the condition that every rhs starts either with a terminal or with a non-terminal of higher index is satisfied.

Consider  $S_3$ : in order to remove the problematic production  $S_3 \rightarrow A_2 B_1$ , we replace  $A_2$  with the rhs of  $A_2$ -productions. Our new productions are

$$S_3 \rightarrow S_3 B_1 \quad S_3 \rightarrow a B_1 \quad A_2 \rightarrow S_3 \quad A_2 \rightarrow a \quad B_1 \rightarrow b$$

Now we have one left-recursive productions,  $S_3 \rightarrow S_3B_1$ , that still needs to be removed:

We introduce a new non-terminal  $C$  and replace  $S_3 \rightarrow S_3B_1$ ,  $S_3 \rightarrow aB_1$  with  $S_3 \rightarrow aB_1$ ,  $S_3 \rightarrow aB_1C$ ,  $C \rightarrow B_1C$ ,  $C \rightarrow B_1$ .

As a result, we obtain the following productions:

$$S_3 \rightarrow aB_1 \quad S_3 \rightarrow aB_1C \quad C \rightarrow B_1C \quad C \rightarrow B_1 \quad A_2 \rightarrow S_3 \quad A_2 \rightarrow a \quad B_1 \rightarrow b$$

Note that by this transformation, the non-terminal  $A_2$  became useless since it is no longer reachable from the start symbol. Furthermore, we have unary productions.

If we remove the productions with the useless symbol  $A_2$  and if we eliminate the unary productions, we obtain the productions

$$S_3 \rightarrow aB_1 \quad S_3 \rightarrow aB_1C \quad C \rightarrow B_1C \quad C \rightarrow b \quad B_1 \rightarrow b$$

We could also start with different indices, e.g.,

$$S_2 \rightarrow A_3B_1 \quad A_3 \rightarrow S_2 \quad A_3 \rightarrow a \quad B_1 \rightarrow b$$

Then we would obtain the following productions:

$$S_2 \rightarrow A_3B_1 \quad A_3 \rightarrow a \quad A_3 \rightarrow aC \quad C \rightarrow B_1 \quad C \rightarrow B_1C \quad B_1 \rightarrow b$$

After elimination of the unary production  $C \rightarrow B_1$ , this yields

$$S_2 \rightarrow A_3B_1 \quad A_3 \rightarrow a \quad A_3 \rightarrow aC \quad C \rightarrow b \quad C \rightarrow B_1C \quad B_1 \rightarrow b$$

#### Question 4 (PDA)

Give a PDA that recognizes the following language:  $\{a^n b^m c d^m e^n \mid n, m \geq 0\}$ .

Solution: PDA  $M = \langle Q, \Sigma, \Gamma, \delta, q_0, Z_0, F \rangle$  with

- $Q = \{q_1, q_2, q_3, q_4\}$ ;  $\Sigma = \{a, b, c, d, e\}$ ;  $\Gamma = \{\#, E, D\}$ ;
- $q_1$  initial state,  $\#$  initial stack symbol;  $F = \{q_4\}$ ;
- $\delta(q_1, a, \epsilon) = \{\langle q_1, E \rangle\}$ ,  $\delta(q_1, b, \epsilon) = \{\langle q_2, D \rangle\}$ ,  $\delta(q_1, c, \epsilon) = \{\langle q_3, \epsilon \rangle\}$ ,  
 $\delta(q_2, b, \epsilon) = \{\langle q_2, D \rangle\}$ ,  $\delta(q_2, c, \epsilon) = \{\langle q_3, \epsilon \rangle\}$ ,  
 $\delta(q_3, d, D) = \{\langle q_3, \epsilon \rangle\}$ ,  $\delta(q_3, e, E) = \{\langle q_3, \epsilon \rangle\}$ ,  $\delta(q_3, \epsilon, \#) = \{\langle q_4, \# \rangle\}$ .

It holds that  $L(M) = \{a^n b^m c d^m e^n \mid n, m \geq 0\}$ , i.e., this PDA recognizes the language in question with acceptance with final state.

#### Question 5 (PDA)

Consider the following PDA  $M = \langle \{q_0, q_1, q_2\}, \{a, d\}, \{\#, A\}, \delta, q_0, \#, \{q_2\} \rangle$  with

$$\begin{aligned} \delta(q_0, a, \epsilon) &= \{\langle q_0, A \rangle\} & \delta(q_0, \epsilon, \epsilon) &= \{\langle q_1, \epsilon \rangle\} \\ \delta(q_1, d, A) &= \{\langle q_1, \epsilon \rangle\} & \delta(q_1, \epsilon, A) &= \{\langle q_1, \epsilon \rangle\} & \delta(q_1, \epsilon, \#) &= \{\langle q_2, \epsilon \rangle\} \end{aligned}$$

Give  $L(M)$  and  $N(M)$ .

Solution:  $L(M) = N(M) = \{a^n d^m \mid n \geq m \geq 0\}$

#### Question 6 (PDA)

Consider the CFG  $G$  with non-terminals  $\{S, A, B\}$ , terminals  $\{a, b\}$ , start symbol  $S$  and productions

$$S \rightarrow aSB \quad S \rightarrow aB \quad B \rightarrow b$$

Give the three different PDAs that are equivalent to this grammar and that are described on the PDA slides 12 and 13.

Solution:

1.  $M = \langle \{q\}, \{a, b\}, \{S, B\}, \delta, q, S, \emptyset \rangle$  with  
 $\delta(q, a, S) = \{\langle q, SB \rangle, \langle q, B \rangle\}$ ,  $\delta(q, b, B) = \{\langle q, \epsilon \rangle\}$ .  
 In all other cases,  $\delta$  yields  $\emptyset$ .  
 Acceptance with the empty stack.
2.  $M = \langle \{q_0, q_1, q_f\}, \{a, b\}, \{S, B, a, b, Z_0\}, \delta, q_0, Z_0, \{q_f\} \rangle$  with  
 $\delta(q_0, \epsilon, Z_0) = \{\langle q_1, SZ_0 \rangle\}$ ,  
 $\delta(q_1, \epsilon, S) = \{\langle q_1, aSB \rangle, \langle q_1, aB \rangle\}$ ,  $\delta(q_1, \epsilon, B) = \{\langle q_1, b \rangle\}$ ,  
 $\delta(q_1, a, a) = \{\langle q_1, \epsilon \rangle\}$ ,  $\delta(q_1, b, b) = \{\langle q_1, \epsilon \rangle\}$ ,  
 $\delta(q_1, \epsilon, Z_0) = \{\langle q_f, \epsilon \rangle\}$ .  
 In all other cases,  $\delta$  yields  $\emptyset$ .  
 Acceptance in the final state  $q_f$ .
3.  $M = \langle \{q_0, q_1, q_f\}, \{a, b\}, \{S, B, a, b, Z_0\}, \delta, q_0, Z_0, \{q_f\} \rangle$  with  
 $\langle q_0, a \rangle \in \delta(q_0, a, \epsilon)$ ,  $\langle q_0, b \rangle \in \delta(q_0, b, \epsilon)$ .  
 $\langle q_0, S \rangle \in \delta(q_0, \epsilon, BSa)$ ,  $\langle q_0, S \rangle \in \delta(q_0, \epsilon, Ba)$ ,  $\langle q_0, B \rangle \in \delta(q_0, \epsilon, b)$ .  
 $\langle q_1, \epsilon \rangle \in \delta(q_0, \epsilon, S)$   
 $\langle q_f, \epsilon \rangle \in \delta(q_1, \epsilon, Z_0)$   
 These are all elements in the values of the  $\delta$  function.

### Question 7 (Unger parser)

1. Give the pseudocode for the Unger recognizer with tabulation under the assumption that the CFG is in Chomsky normal form.  
 As a notation for substrings of the input  $w = w_1 \dots w_n$  ( $w_1, \dots, w_n \in T$ ), use the following pairs of indices:  $\langle i, j \rangle$  for  $1 \leq i \leq j \leq n$  stands for the substring  $w_i \dots w_j$ .  
 In other words, you have to tabulate results  $\langle A, i, j, res \rangle$  whenever a call `unger(A,  $\langle i, j \rangle$ )` has returned  $res$ .
2. Extend this pseudocode such that the parser generates a parse forest grammar, i.e., a set of productions of the form  $\langle X, \langle i, j \rangle \rangle \rightarrow \langle X_1, \langle i_1, j_1 \rangle \rangle \dots \langle X_k, \langle i_k, j_k \rangle \rangle$ .  
 For this, we need two global structures that get filled:
  - (a) the chart  $\mathcal{C}$  that tells us whether a category  $X$  with a span  $\langle i, j \rangle$  has already been tested and if so, with which result, and
  - (b) the list of productions annotated with spans that have been successfully parsed.

Solution:

Since the CFG is in CNF, it does in particular not contain  $\epsilon$ -productions or unary productions. Consequently, we don't need to check for loops.

Initially, for a given (global)  $w = w_1 \dots w_n$ , we call the parser with `unger( $\langle 0, n \rangle, S$ )`

We assume a global set  $R$  of already computed results, initialized with  $\emptyset$ .

1. function  $\text{unger}(\langle i, j \rangle, A)$ :
  - $out := \text{false};$
  - if there is a  $res$  with  $\langle A, i, j, res \rangle \in R$ ,
  - then return  $res$ ;
  - else if  $(j = i + 1 \text{ and } A \rightarrow w_j \in P)$ ,
  - then  $out := \text{true}$
  - else for all  $A \rightarrow BC \in P$ :
  - for all  $k$  with  $i < k < j$ :
  - if  $\text{unger}(\langle i, k \rangle, B)$  and  $\text{unger}(\langle k, j \rangle, C)$
  - then  $out := \text{true};$
  - add  $\langle A, i, j, out \rangle$  to  $R$ ;
  - return  $out$
2. In order to turn this into a parser, we add a set  $F$  of span-annotated productions that present the parse forest, initialized with  $\emptyset$ . The parts that are added are bold:
  - function  $\text{unger}(\langle i, j \rangle, A)$ :
    - $out := \text{false};$
    - if there is a  $res$  with  $\langle A, i, j, res \rangle \in R$ ,
    - then return  $res$ ;
    - else if  $(j = i + 1 \text{ and } A \rightarrow w_j \in P)$ ,
    - then add  $\langle A, \langle i, j \rangle \rangle \rightarrow \langle w_j, \langle i, j \rangle \rangle$  to  $F$ ;
    - $out := \text{true}$
    - else for all  $A \rightarrow BC \in P$ :
    - for all  $k$  with  $i < k < j$ :
    - if  $\text{unger}(\langle i, k \rangle, B)$  and  $\text{unger}(\langle k, j \rangle, C)$
    - then add  $\langle A, \langle i, j \rangle \rangle \rightarrow \langle B, \langle i, k \rangle \rangle \langle C, \langle k, j \rangle \rangle$  to  $F$ ;
    - $out := \text{true};$
    - add  $\langle A, i, j, out \rangle$  to  $R$ ;
    - return  $out$

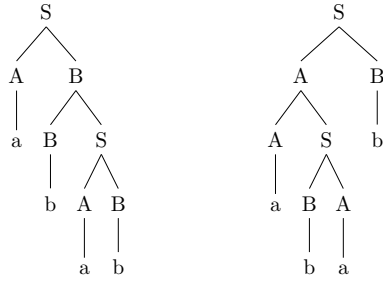
### Question 8 (Top-Down Parsing)

Consider a CFG with non-terminals  $\{S, A, B\}$ , terminals  $\{a, b\}$ , start symbol  $S$  and the following productions:  $S \rightarrow AB \mid BA, B \rightarrow b \mid BS, A \rightarrow a \mid AS$ .

1. Give the parse trees for  $w = abab$ .
2. Give the sequence of triples of remaining input, analysis and prediction stack that arises when performing a directional top-down parsing with this grammar with a depth-first strategy such that the parsing stops once a first analysis is reached.  
Give the analysis stack with its top on the left.
3. Give the corresponding leftmost derivation (can be read off the analysis stack).

Solution:

1.



input	analysis stack	stack
abab		S
abab	S <sub>1</sub>	AB
abab	S <sub>1</sub> A <sub>1</sub>	aB
bab	S <sub>1</sub> A <sub>1</sub> a	B
bab	S <sub>1</sub> A <sub>1</sub> aB <sub>1</sub>	b
ab	S <sub>1</sub> A <sub>1</sub> aB <sub>1</sub> b	ε
2. bab	S <sub>1</sub> A <sub>1</sub> aB <sub>2</sub>	BS
bab	S <sub>1</sub> A <sub>1</sub> aB <sub>2</sub> B <sub>1</sub>	bS
ab	S <sub>1</sub> A <sub>1</sub> aB <sub>2</sub> B <sub>1</sub> b	S
ab	S <sub>1</sub> A <sub>1</sub> aB <sub>2</sub> B <sub>1</sub> bS <sub>1</sub>	AB
ab	S <sub>1</sub> A <sub>1</sub> aB <sub>2</sub> B <sub>1</sub> bS <sub>1</sub> A <sub>1</sub>	aB
b	S <sub>1</sub> A <sub>1</sub> aB <sub>2</sub> B <sub>1</sub> bS <sub>1</sub> A <sub>1</sub> a	B
b	S <sub>1</sub> A <sub>1</sub> aB <sub>2</sub> B <sub>1</sub> bS <sub>1</sub> A <sub>1</sub> aB <sub>1</sub>	b
ε	S <sub>1</sub> A <sub>1</sub> aB <sub>2</sub> B <sub>1</sub> bS <sub>1</sub> A <sub>1</sub> aB <sub>1</sub> b	ε

3.  $S \Rightarrow AB \Rightarrow aB \Rightarrow aBS \Rightarrow abS \Rightarrow abAB \Rightarrow abaB \Rightarrow abab$

**Question 9 (Top-down Parsing with deduction rules)**

Consider a CFG with the following productions:  $S \rightarrow aB \mid bA, A \rightarrow a \mid aS \mid bAA, B \rightarrow b \mid bS \mid aBB$ .

Consider the input  $w = abba$  and the deduction rules for top-down parsing.

1. Give all items the parser generates for this input. For every item, indicate the rule that was used to deduce this item and indicate the antecedent items of this rule.
2. How does the parser know whether  $w = abba$  is in the language generated by the grammar?

Solution:

	id	item	operation	antecedent items
	1	$[S, 0]$	axiom	–
	2	$[aB, 0]$	predict	1
	3	$[bA, 0]$	predict	1
	4	$[B, 1]$	scan	2
	5	$[b, 1]$	predict	4
	6	$[bS, 1]$	predict	4
	7	$[aBB, 1]$	predict	4
	8	$[\varepsilon, 2]$	scan	5
1.	9	$[S, 2]$	scan	6
	10	$[aB, 2]$	predict	9
	11	$[bA, 2]$	predict	9
	12	$[A, 3]$	scan	10
	13	$[a, 3]$	predict	12
	14	$[aS, 3]$	predict	12
	15	$[bAA, 3]$	predict	12
	16	$[\varepsilon, 4]$	scan	13
	17	$[S, 4]$	scan	14
	18	$[aB, 4]$	predict	17
	19	$[bA, 4]$	predict	17

2. There is a goal item  $[\varepsilon, 4]$  in the chart, therefore the word is in the language.

### Question 10 (Unger with deduction rules)

Consider a CFG with the following productions:  $S \rightarrow aSc \mid aT \mid ac, T \rightarrow cT \mid c$ .

Consider the input  $w = ac$  and the deduction rules for non-directional top-down parsing (= Unger parsing).

1. Give all items the parser generates for this input. For every item, indicate the rule that was used to deduce this item and indicate the antecedent items of this rule.
2. How does the parser know whether  $w = ac$  is in the language generated by the grammar?

Solution:

	id	item	operation	antecedent items
	1	$[\bullet S, 0, 2]$	axiom	–
	2	$[\bullet a, 0, 1]$	predict	1
	3	$[\bullet T, 1, 2]$	predict	1
1.	4	$[\bullet c, 1, 2]$	predict	1
	5	$[a\bullet, 0, 1]$	scan	2
	6	$[c\bullet, 1, 2]$	scan	4
	7	$[T\bullet, 1, 2]$	complete	3,6
	8	$[S\bullet, 0, 2]$	complete	1,5,6 or 1,5,7

2. There is a goal item  $[S\bullet, 0, 2]$  in the chart, therefore the word is in the language.

### Question 11 (CYK recognition – general version)

Consider the CFG with non-terminals  $S, A, C$ , terminals  $a, b$ , start symbol  $S$  and productions  $S \rightarrow ASC, S \rightarrow \varepsilon, A \rightarrow a, A \rightarrow b, C \rightarrow c$ .

Give the chart (the  $(n + 1) \times (n + 1)$ -table) that results from the general CYK algorithm for the input  $abaccc$ .

Solution:

6	S						
5							
4		S					
3							
2			S				
1	a, A	b, A	a, A	c, C	c, C	c, C	
0	S	S	S	S	S	S	S
	1	2	3	4	5	6	7

**Question 12 (CYK parsing for CNF grammars)**

Consider the CFG with non-terminals  $S, T, A, B, C, D$ , terminals  $a, b$ , start symbol  $S$  and productions  $S \rightarrow AB, S \rightarrow CT, T \rightarrow SD, A \rightarrow AA, A \rightarrow a, B \rightarrow BB, B \rightarrow b, C \rightarrow a, D \rightarrow b$ .

This grammar is in Chomsky Normal Form.

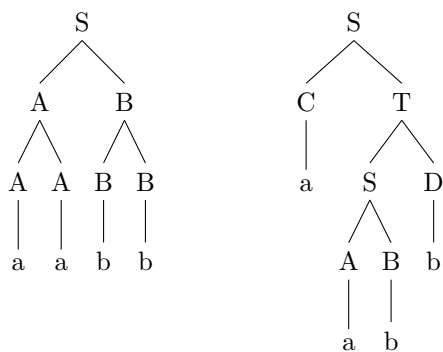
1. Give the chart (the  $n \times n$ -table) that results from the CYK parsing algorithm (for CNF) for the input  $aabb$ . The chart should include not only the non-terminals that we find but the entire productions with, in the rhs, the indices of the antecedent chart items in the complete rule that has been applied.
2. Give all parse trees for the input.

Solution:

1. Chart:

4	$S \rightarrow A_{1,2}B_{3,2}, S \rightarrow C_{1,1}T_{2,3}, T \rightarrow S_{1,3}D_{4,1}$		
3	$S \rightarrow A_{1,2}B_{3,1}$	$S \rightarrow A_{2,1}B_{3,2}, T \rightarrow S_{2,2}D_{4,1}$	
2	$A \rightarrow A_{1,1}A_{2,1}$	$S \rightarrow A_{2,1}B_{3,1}$	$B \rightarrow B_{3,1}B_{4,1}$
1	$A \rightarrow a, C \rightarrow a$	$A \rightarrow a, C \rightarrow a$	$B \rightarrow b, D \rightarrow b$
	1 a	2 a	3 b 4 b

2. parse trees:



**Question 13 (CYK – Soundness and completeness)** Consider the CNF recognizer from the course slides. Prove soundness and completeness of the algorithm:

1. Soundness of the algorithm: If  $[A, i, l]$ , then  $A \xRightarrow{*} w_i \dots w_{i+l-1}$ .  
Proof via induction over deduction rules.
2. Completeness of the algorithm: If  $A \xRightarrow{*} w_i \dots w_{i+l-1}$ , then  $[A, i, l]$ .  
Proof via induction over  $l$ .



Solution:

1. To show: If  $[A, i, l]$ , then  $A \xRightarrow{*} w_i \dots w_{i+l-1}$  for every  $1 \leq i, l \leq n$ .

**Scan** (axioms): according to the side conditions, it holds trivially that whenever  $[A, i, 1]$  for some  $A$  and  $i$ , we have  $A \rightarrow w_i \in P$ , and therefore  $A \xRightarrow{*} w_i$ .

**Complete:** We assume that  $[B, i, l_1], [C, i + l_1, l_2], A \rightarrow BC \in P$  and our claim holds for these items, i.e.,  $B \xRightarrow{*} w_i \dots w_{i+l_1-1}$  and  $C \xRightarrow{*} w_{i+l_1} \dots w_{i+l_1+l_2-1}$ . Then (because of the complete rule) we have  $[A, i, l_1 + l_2]$  and because of the production  $A \rightarrow BC \xRightarrow{*} w_i \dots w_{i+l_1-1} w_{i+l_1} \dots w_{i+l_1+l_2-1}$

Consequently, our soundness claim holds for every item that we can deduce from the axioms with the complete rule. More generally, since all items are generated either by *Scan* or by *Complete*, the soundness claim holds for every item that we can deduce.

2. To show: If  $A \xRightarrow{*} w_i \dots w_{i+l-1}$ , then  $[A, i, l]$  for every  $1 \leq i, l \leq n$ .

$l = 1$  Trivially, if  $A \xRightarrow{*} w_i$  for some  $1 \leq i \leq n$ , then  $A \rightarrow w_i \in P$ , and then (because of the scan rule)  $[A, i, 1]$ .

$l \Rightarrow l+1$  We assume that our claim holds for any length up to  $l$ . And we assume that  $A \xRightarrow{*} w_i \dots w_{i+l}$  (length  $l+1$ ). To show:  $[A, i, l+1]$ . Since  $l > 1$ , the first step in the derivation must be the application of some production of the form  $A \rightarrow BC$  such that  $A \Rightarrow BC$ ,  $B \xRightarrow{*} w_i \dots w_{i+l_1-1}$  and  $C \xRightarrow{*} w_{i+l_1} \dots w_{i+l}$  for some  $l_1$  with  $1 \leq l_1 \leq l$ . Then, since our induction claim holds for all lengths  $\leq l$ , we have  $[B, i, l_1]$  and  $[C, i + l_1, l - l_1 + 1]$ . Consequently, with the complete rule, we also have  $[A, i, l+1]$ .

Consequently, our completeness claim holds for every derivation of some substring of the input of length  $l$  for any  $l \geq 1$ .

#### Question 14 (Shift-reduce)

Consider a CFG with the start symbol  $VP$  and the following productions:

$VP \rightarrow V NP, VP \rightarrow VP PP, V \rightarrow sees,$

$NP \rightarrow Det N, Det \rightarrow the, N \rightarrow N PP, N \rightarrow girl, N \rightarrow telescope,$

$PP \rightarrow P NP, P \rightarrow with$

Give all items (pairs of stack and index) that one obtains when doing a directional bottom-up parsing (shift-reduce parsing) of the input the girl with the telescope.

We assume that whenever a terminal is shifted, we perform a reduce in the next step. (This is due to the fact that terminal symbols appear in this grammar only in right-hand sides of length 1.)

Is the input in the language generated by the CFG?

Solution:

	stack	index	operation
1.	$\varepsilon$	0	
2.	the	1	shift
3.	Det	1	reduce 2.
4.	Det girl	2	shift
5.	Det N	2	reduce 4.
6.	NP	2	reduce 5.
7.	Det N with	3	shift 5.
8.	NP with	3	shift 6.
9.	Det N P	3	reduce 7.
10.	NP P	3	reduce 8.
11.	Det N P the	4	shift 9.
12.	NP P the	4	shift 10.
13.	Det N P Det	4	reduce 11.
14.	NP P Det	4	reduce 12.
15.	Det N P Det telescope	5	shift 13.
16.	NP P Det telescope	5	shift 14.
17.	Det N P Det N	5	reduce 15.
18.	NP P Det N	5	reduce 16.
19.	Det N P NP	5	reduce 17.
20.	NP P NP	5	reduce 18.
21.	Det N PP	5	reduce 19.
22.	NP PP	5	reduce 20.
23.	Det N	5	reduce 21.
24.	NP	5	reduce 23.

No goal item (stack VP) obtained, therefore the input is not in the language.

### Question 15 (Soundness of shift-reduce parsing)

Consider the deduction-based definition of shift-reduce parsing. Show the soundness of the algorithm, i.e., if  $[\Gamma, i]$  can be deduced then  $\Gamma \xRightarrow{*} w_1 \dots w_i$  holds.

(Can be shown with an induction over the deduction rules.)

Note that  $w_1 \dots w_0$  is considered to be the empty word preceding the first terminal in the input.

Solution:

- Axiom:  $[\varepsilon, 0]$  holds and the part of the input from position 0 to position 0 is just  $\varepsilon$ . Therefore,  $\varepsilon \xRightarrow{*} w_1 \dots w_0 = \varepsilon$  holds trivially.
- Reduce: We have to show that, assuming that our claim holds for the antecedent item  $[\Gamma\alpha, i]$  of a reduce rule, it also holds for the consequent item  $[\Gamma A, i]$ . Because of our induction assumption, we know that  $\Gamma\alpha \xRightarrow{*} w_1 \dots w_i$  and since this reduction was possible, it follows that  $A \rightarrow \alpha \in P$  (side condition). Consequently  $\Gamma A \xRightarrow{A \rightarrow \alpha} \Gamma\alpha \xRightarrow{*} w_1 \dots w_i$  and therefore, more generally,  $\Gamma A \xRightarrow{*} w_1 \dots w_i$ .
- Shift: We have to show that, assuming that our claim holds for the antecedent item  $[\Gamma, i]$  of a shift rule, it also holds for the consequent item  $[\Gamma a, i+1]$ . The side condition tells us that  $a = w_{i+1}$ , and our induction assumption yields  $\Gamma \xRightarrow{*} w_1 \dots w_i$ . If we append the terminal  $a$  to both sides in this derivation, we obtain  $\Gamma a \xRightarrow{*} w_1 \dots w_i w_{i+1}$ , which holds trivially.

Since all items generated by the parser are either the axiom or obtained from the axiom by a sequence of shift/reduce steps, every item necessarily satisfies our soundness claim.

### Question 16 (LL(1) grammar)

Consider a CFG with the following productions:  $S \rightarrow AB, A \rightarrow aAa, A \rightarrow \epsilon, B \rightarrow bBb, B \rightarrow \epsilon$ .  
Is this grammar LL(1)?

Solution:

We need to check whether for all  $A \in N$  with  $A \rightarrow \alpha_1 | \dots | \alpha_n$  being all  $A$ -productions in  $G$ , the following holds: a)  $First(\alpha_1), \dots, First(\alpha_n)$  are pairwise disjoint, and b) if  $\epsilon \in First(\alpha_j)$  for some  $j \in [1..n]$ , then  $Follow(A) \cap First(\alpha_i) = \emptyset$  for all  $1 \leq i \leq n, j \neq i$  (see slide 6).

The *First* and *Follow* sets of the non-terminals are

$$First(A) = \{\epsilon, a\}, First(B) = \{\epsilon, b\}, First(S) = \{\epsilon, a, b\}.$$

The *Follow* sets of the non-terminals are as follows:

$$Follow(S) = \{\$, \}, Follow(A) = \{a, b, \$\}, Follow(B) = \{b, \$\}.$$

Check of the conditions:

- For  $S$ , the condition is trivially fulfilled since there is only one  $S$ -production.
- For  $A$ ,  $First(aAa) = \{a\}$  and  $First(\epsilon) = \{\epsilon\}$  are disjoint.  
But:  $First(aAa) = \{a\}$  and  $Follow(A) = \{a, b, \$\}$  are not disjoint:  $\{a\} \cap \{a, b, \$\} = \{a\}$ . Therefore the grammar is not LL(1).
- For  $B$ , similarly,  $First(bBb) = \{b\}$  and  $First(\epsilon) = \{\epsilon\}$  are disjoint.  
But:  $First(bBb) = \{b\}$  and  $Follow(B) = \{b, \$\}$  are not disjoint:  $\{b\} \cap \{b, \$\} = \{b\}$ .

### Question 17 (Left Corner)

Consider a CFG with the following productions:  $S \rightarrow A | BU, A \rightarrow aA | a, B \rightarrow bB | b, U \rightarrow aUa | aa$ .

Given an input word  $aa$ , give the Left Corner Recognition trace, i.e., the set of stack triples, for this input. We assume a Reduce operation with lookahead, i.e., Reduce with a new  $X$ -production is applied only if the topmost symbol  $Y$  of the stack of predicted categories stands in the relation  $LC^*$  to  $X$ , i.e.,  $Y \xrightarrow{*} X \dots$

Solution:

	$\Gamma_{compl}$	$\Gamma_{td}$	$\Gamma_{lhs}$	operation	
1.	aa	S	-		
2.	a	$\$S$	A	reduce from 1., $A \rightarrow a$	
3.	a	A $\$S$	A	reduce from 1., $A \rightarrow aA$	
4.	Aa	S	-	move from 2.	
5.		$\$A\$S$	AA	reduce from 3., $A \rightarrow a$	
6.		A $\$A\$S$	AA	reduce from 3., $A \rightarrow aA$	failure
7.	a	$\$S$	S	reduce from 4., $S \rightarrow A$	
8.	Sa	S	-	move from 7.	
9.	a	-	-	remove from 8.	failure
10.	A	A $\$S$	A	move from 5.	
11.		$\$S$	A	remove from 10.	
12.	A	S		move from 11.	
13.		$\$S$	S	reduce from 12., $S \rightarrow A$	
14.	S	S	-	move from 13.	
15.	-	-	-	remove from 14.	success

### Question 18 (Left Corner chart parsing)

Consider the left corner chart parsing deduction rules from slide 15. Extend the algorithm with a rule for  $\epsilon$ -productions in order to make it work for arbitrary CFGs.

Solution:

We need the following additional rule:

$$\varepsilon\text{-Scan: } \frac{}{[A, i, 0]} A \rightarrow \varepsilon \in P, 1 \leq i \leq n + 1$$

**Question 19 (Earley Parsing/recognition)**

Consider the CFG  $G_3 = \langle N, T, P, S \rangle$  with  $N = \{S, A, B, X\}$ ,  $T = \{a, b\}$ ,  $P = \{S \rightarrow ABA, S \rightarrow aXa, X \rightarrow bXb, X \rightarrow \varepsilon, A \rightarrow a, A \rightarrow aA, B \rightarrow bb\}$

Give the chart resulting from an Earley-recognition of *abba* with prediction lookahead and completion lookahead:

$$\text{Predict with lookahead: } \frac{[A \rightarrow \alpha \bullet B\beta, i, j]}{[B \rightarrow \bullet \gamma, j, j]} B \rightarrow \gamma \in P, w_{i+1} \in \text{First}(\gamma) \text{ or } \varepsilon \in \text{First}(\gamma)$$

$$\text{Complete with lookahead: } \frac{[A \rightarrow \alpha \bullet B\beta, i, j], [B \rightarrow \gamma \bullet, j, k]}{[A \rightarrow \alpha B \bullet \beta, i, k]} w_{k+1} \in \text{First}(\beta) \text{ or } \varepsilon \in \text{First}(\beta)$$

Solution:

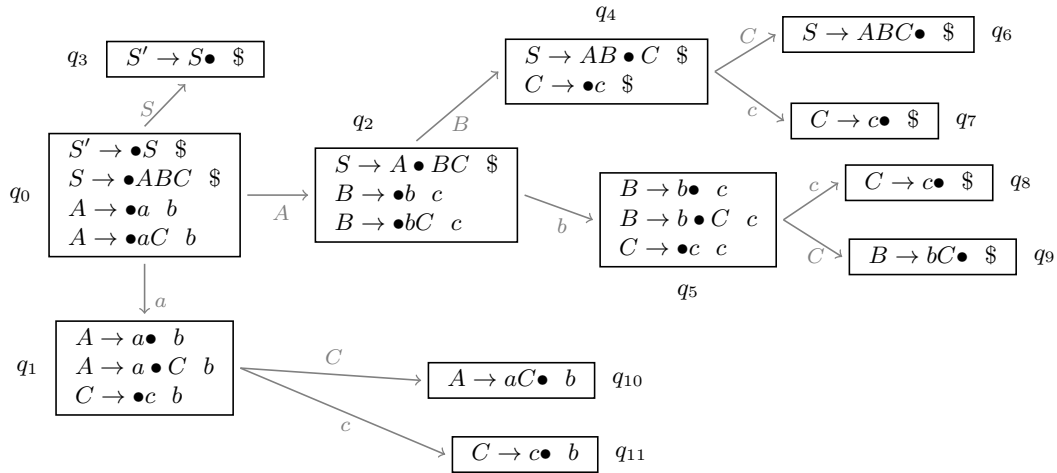
4	$S \rightarrow ABA \bullet$ $S \rightarrow aXa \bullet$			$A \rightarrow a \bullet A$ $A \rightarrow a \bullet$	
3	$S \rightarrow aX \bullet a$ $S \rightarrow AB \bullet A$	$B \rightarrow bb \bullet$ $X \rightarrow bXb \bullet$	$X \rightarrow b \bullet Xb$	$A \rightarrow \bullet aA$ $A \rightarrow \bullet a$ $X \rightarrow \bullet$	
2		$X \rightarrow bX \bullet b$ $X \rightarrow b \bullet Xb$ $B \rightarrow b \bullet b$	$X \rightarrow \bullet$ $X \rightarrow \bullet bXb$		
1	$S \rightarrow A \bullet BA$ $A \rightarrow a \bullet$ $A \rightarrow a \bullet A$ $S \rightarrow a \bullet Xa$	$B \rightarrow \bullet bb$ $X \rightarrow \bullet$ $X \rightarrow \bullet bXb$			
0	$A \rightarrow \bullet a$ $A \rightarrow \bullet aA$ $S \rightarrow \bullet aXa$ $S \rightarrow \bullet ABA$				
	0	1	2	3	4

**Question 20 (LR parsing)**

Consider the CFG  $G_4 = \langle N, T, P, S \rangle$  with  $N = \{S, A, B, C\}$ ,  $T = \{a, b, c\}$  and productions 1.  $S \rightarrow ABC$ , 2.  $A \rightarrow a$ , 3.  $A \rightarrow aC$ , 4.  $B \rightarrow b$ , 5.  $B \rightarrow bC$ , 6.  $C \rightarrow c$ . This grammar is not LR(1).

1. Construct the LR(1) states and transitions with the canonical LR algorithm.
2. From this, construct the LR(1) parse table with multiple entries for some of the fields.

Solution:



2. Parse table:

	<i>a</i>	<i>b</i>	<i>c</i>	<i>§</i>	<i>A</i>	<i>B</i>	<i>C</i>	<i>S</i>
0	s1				2			3
1		r2	s11				10	
2		s5			4			
3				acc				
4			s7				6	
5			s8, r4				9	
6				r1				
7				r6				
8			r6					
9			r5					
10		r3						
11		r6						

**Question 21 (Tomita)**

The following table is the LR(1) parse table for the CFG with non-terminals  $\{A, B, X\}$ , terminals  $\{a, b\}$ , start symbol  $S$  and productions 1.  $S \rightarrow ABA$ , 2.  $S \rightarrow aXa$ , 3.  $X \rightarrow bXb$ , 4.  $X \rightarrow \epsilon$ , 5.  $A \rightarrow a$ , 6.  $A \rightarrow aA$ , 7.  $B \rightarrow bb$

(The table has multiple entries for some of the fields.)

	<i>a</i>	<i>b</i>	<i>§</i>	<i>S</i>	<i>A</i>	<i>B</i>	<i>X</i>
0	<i>s1</i>			4	5		
1	<i>s8,r4</i>	<i>s2,r5</i>			16		9
2		<i>s3,r4</i>					10
3		<i>s3,r4</i>					11
4			<i>acc</i>				
5		<i>s13</i>				6	
6	<i>s14</i>				7		
7			<i>r1</i>				
8	<i>s8</i>	<i>r5</i>			16		
9	<i>s17</i>						
10		<i>s18</i>					
11		<i>s19</i>					
12	<i>r7</i>						
13		<i>s12</i>					
14	<i>s14</i>		<i>r5</i>		15		
15			<i>r6</i>				
16		<i>r6</i>					
17			<i>r2</i>				
18	<i>r3</i>						
19		<i>r3</i>					

Give the trace of the Tomita-parse for *abba* (with all intermediate stack graphs and all analyses).

Solution:

Stack	analysis
0 s1	
0 — 1 — 1 s2,r5	1: a
0 — 1 — 1 s2	
2 — 5 s13	2: A(1)
0 — 1 — 1 — 3 — 2 s3,r4	
2 — 5 — 3 — 13 s12	3: b
4 — 10 s18	
0 — 1 — 1 — 3 — 2 s3	
2 — 5 — 3 — 13 s12	4: X( $\varepsilon$ )
4 — 10 — 5 — 18 r3	
0 — 1 — 1 — 3 — 2 — 5 — 2 -	
2 — 5 — 3 — 13 — 5 — 12 r7	5: b
0 — 1 — 1 — 6 — 9 s17	
2 — 5 — 3 — 13 — 5 — 12 r7	6: X(3,4,5)
0 — 1 — 1 — 6 — 9 s17	
2 — 5 — 7 — 6 s14	7: B(3,5)
0 — 1 — 1 — 6 — 9 — 8 — 17 r2	
2 — 5 — 7 — 6 — 8 — 14 r5	8: a
0 — 9 — 4 acc	
2 — 5 — 7 — 6 — 8 — 14 r5	9: S(1,6,8)
0 — 9 — 4 acc	
2 — 5 — 7 — 6 — 10 — 7 r1	10: A(8)
0 — 9 — 4 acc	
11	11: S(2,7,10)
0 — 12 — 4 acc	12: [11,9]

### Question 22 (PCFG)

Consider the PCFG  $G$  with non-terminals  $\{S, A, B\}$ , terminals  $\{a, b\}$ , start symbol  $S$  and productions

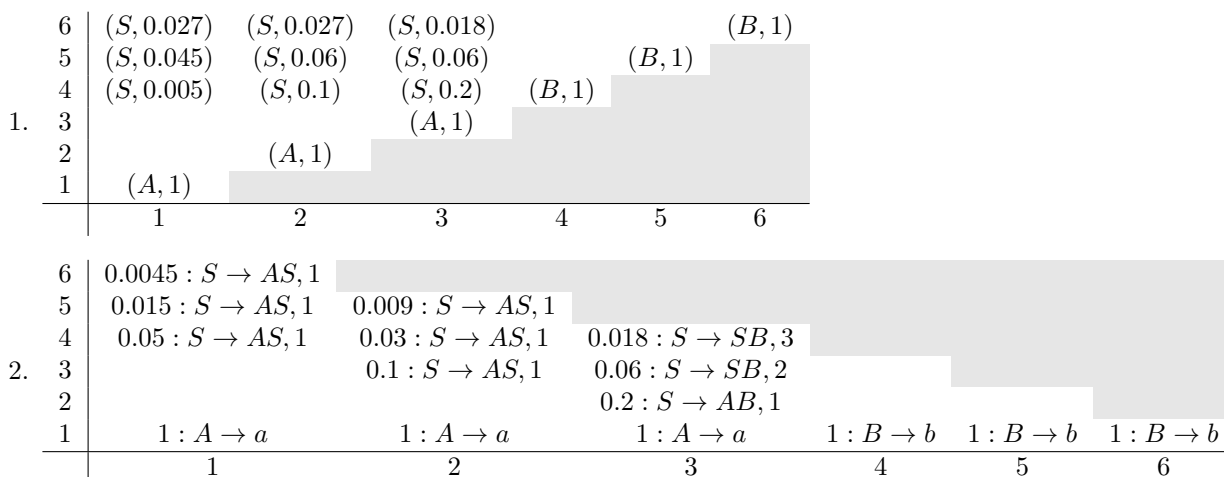
$$\left\{ \begin{array}{l} 0,5 \quad S \rightarrow AS, \\ 0,3 \quad S \rightarrow SB, \\ 0,2 \quad S \rightarrow AB, \\ 1 \quad A \rightarrow a, \\ 1 \quad B \rightarrow b \end{array} \right\}$$

(The numbers preceding the productions are the corresponding probabilities.)

1. Give the inside chart for the input  $w = aaabbb$ .

2. Give the viterbi chart of a probabilistic CYK parsing of  $w = aaabbb$ .

Solution:



(For some fields of this chart, there are actually several possibilities leading to the same probability.)

### Question 23 (PCFG parameter estimation with EM)

Consider the PCFG  $G = \langle \{S, A, X\}, \{a\}, P, S, p \rangle$  (see course slides) with  $P$  and  $p$  as follows:

0.3:  $S \rightarrow AS$    0.6:  $S \rightarrow AX$    0.1:  $S \rightarrow a$    1:  $X \rightarrow SA$    1:  $A \rightarrow a$

Assume that these probabilities are our starting probabilities for a parameter estimation using EM.

Assume that we have a training corpus consisting of 5 sentences, namely 3 sentences  $aa$  and 2 sentences  $aaa$ .

1. Give inside and outside values for the two sentences  $aa$  and  $aaa$ .
2. E-step: Compute the new counts  $C_{aa}(A \rightarrow \alpha)$  and  $C_{aaa}(A \rightarrow \alpha)$  and, based on these, the new frequency  $f(A \rightarrow \alpha)$  for all  $A \rightarrow \alpha \in P$ .
3. M-step: Compute the new probabilities  $\hat{p}(A \rightarrow \alpha)$  for all  $A \rightarrow \alpha \in P$ , based on the previous frequencies.

Solution:

1. Inside values  $\alpha$ :

$aa$ :		$aaa$ :			
$j$		$j$			
2	$(3 \cdot 10^{-2}, S),$ $(0.1, X)$	3	$(6.9 \cdot 10^{-2}, S),$ $(0.03, X)$	$(3 \cdot 10^{-2}, S),$ $(0.1, X)$	$(1, A),$ $(0.1, S)$
1	$(1, A),$ $(0.1, S)$	2	$(3 \cdot 10^{-2}, S),$ $(0.1, X)$	$(1, A),$ $(0.1, S)$	
	1		1		
			2		
				3	
					$i$

Outside values  $\beta$  (only values  $\neq 0$  are given):



				<i>aaa</i>			
				<i>j</i>			
				3			
<i>aa</i>	<i>j</i>			(1,S)	(0.3,S), (0.6,X)	(9 · 10 <sup>-2</sup> ,S), (0.18,X), (3 · 10 <sup>-2</sup> ,A)	
2	(1,S)	(0.3,S), (0.6,X)					
				2			
1	(0.03,A)			(0.6,S), (8.99 · 10 <sup>-3</sup> ,A)			
				1			
	1	2	<i>i</i>	(6.9 · 10 <sup>-2</sup> ,A)			
				1	2	3	<i>i</i>

$$2. C_{aa}(S \rightarrow AS) = \frac{\beta_{S,1,2}\alpha_{A,1,1}\alpha_{S,2,2}p(S \rightarrow AS)}{\alpha_{S,1,2}} = \frac{1 \cdot 1 \cdot 0.1 \cdot 0.3}{0.03} = 1$$

$$C_{aa}(S \rightarrow AX) = \frac{\beta_{S,1,2}\alpha_{A,1,1}\alpha_{X,2,2}p(S \rightarrow AX)}{\alpha_{S,1,2}} = 0$$

$$C_{aa}(X \rightarrow SA) = 0$$

$$C_{aaa}(S \rightarrow AS) = \frac{\beta_{S,1,3}\alpha_{A,1,1}\alpha_{S,2,3}p(S \rightarrow AS)}{\alpha_{S,1,3}} + \frac{\beta_{S,1,2}\alpha_{A,1,1}\alpha_{S,2,2}p(S \rightarrow AS)}{\alpha_{S,1,3}} + \frac{\beta_{S,2,3}\alpha_{A,2,2}\alpha_{S,3,3}p(S \rightarrow AS)}{\alpha_{S,1,3}} = \frac{1 \cdot 1 \cdot 0.03 \cdot 0.3 + 0 + 0.3 \cdot 1 \cdot 0.1 \cdot 0.3}{0.069} = 0.26$$

$$C_{aaa}(S \rightarrow AX) = \frac{\beta_{S,1,3}\alpha_{A,1,1}\alpha_{X,2,3}p(S \rightarrow AX)}{\alpha_{S,1,3}} + \frac{\beta_{S,1,2}\alpha_{A,1,1}\alpha_{X,2,2}p(S \rightarrow AX)}{\alpha_{S,1,3}} + \frac{\beta_{S,2,3}\alpha_{A,2,2}\alpha_{X,3,3}p(S \rightarrow AX)}{\alpha_{S,1,3}} = \frac{1 \cdot 1 \cdot 0.1 \cdot 0.6 + 0 + 0}{0.069} = 0.87$$

$$C_{aaa}(X \rightarrow SA) = \frac{\beta_{X,2,3}\alpha_{S,2,2}\alpha_{A,3,3}p(X \rightarrow SA)}{\alpha_{S,1,3}} = \frac{0.6 \cdot 0.1 \cdot 1}{0.069} = 0.87$$

$$C_{aa}(S \rightarrow a) = \frac{(\beta_{S,1,1} + \beta_{S,2,2})p(S \rightarrow a)}{\alpha_{S,1,2}} = \frac{0.3 \cdot 0.1}{0.03} = 1$$

$$C_{aa}(A \rightarrow a) = \frac{(\beta_{A,1,1} + \beta_{A,2,2})p(A \rightarrow a)}{\alpha_{S,1,2}} = \frac{0.03}{0.03} = 1$$

$$C_{aaa}(S \rightarrow a) = \frac{(\beta_{S,1,1} + \beta_{S,2,2} + \beta_{S,3,3})p(S \rightarrow a)}{\alpha_{S,1,3}} = \frac{0.69 \cdot 0.1}{0.069} = 1$$

$$C_{aaa}(A \rightarrow a) = \frac{(\beta_{A,1,1} + \beta_{A,2,2} + \beta_{A,3,3})p(A \rightarrow a)}{\alpha_{S,1,3}} = \frac{0.069 + 0.00899 + 0.003}{0.069} = 1.17$$

$$f(S \rightarrow AS) = 3 \cdot 1 + 2 \cdot 0.26 = 3.52$$

$$f(S \rightarrow AX) = 3 \cdot 0 + 2 \cdot 0.87 = 1.74$$

$$f(X \rightarrow SA) = 3 \cdot 0 + 2 \cdot 0.87 = 1.74$$

$$f(S \rightarrow a) = 3 \cdot 1 + 2 \cdot 1 = 5$$

$$f(A \rightarrow a) = 3 \cdot 1 + 2 \cdot 1.17 = 5.34$$

$$3. \hat{p}(S \rightarrow AS) = \frac{3.52}{3.52 + 1.74 + 5} = 0.34$$

$$\hat{p}(S \rightarrow AX) = \frac{1.74}{3.52 + 1.74 + 5} = 0.17$$

$$\hat{p}(S \rightarrow a) = \frac{2.99}{3.52 + 1.74 + 5} = 0.29$$

$$\hat{p}(X \rightarrow SA) = \hat{p}(A \rightarrow a) = 1$$

### Question 24 (A\* parsing)

Consider the PCFG given in the example on slides 14 (A\* slides) and the outside scores computed on the subsequent slides.

As input consider “red ugly camping car”.

1. Show the weighted deductive CYK-Parsing with chart and agenda using this grammar and input with weights as described on slide 18 (incorporating the viterbi inside score and the SX outside estimate).

Write each weight as a pair (in, out) where in is the inside viterbi score and out the outside estimate (using  $|\log(p)|$  instead of  $p$ ).

Concerning the chart column, it is enough to list only new items in each row. (This is different from the agenda where items are not only added but also removed and reordering depending on weights takes place.)

2. The log used here is  $\log_{10}$ . Compute the probability of the best parse tree from the weight of the goal item.

Solution:

Chart	Agenda
	(0.6,3.8):[A, 1, 2], (0.7,3.8):[A, 0, 1], (0.7,4.1):[N, 2, 3], (1,3.8):[N, 0, 1], (1,4.1):[N, 3, 4]
(0.6,3.8):[A, 1, 2]	(0.7,3.8):[A, 0, 1], (0.7,4.1):[N, 2, 3], (1,3.8):[N, 0, 1], (1,4.1):[N, 3, 4]
(0.7,3.8):[A, 0, 1]	(0.7,4.1):[N, 2, 3], (1,3.8):[N, 0, 1], (1,4.1):[N, 3, 4]
1. (0.7,4.1):[N, 2, 3]	(1,3.8):[N, 0, 1], (0.6+0.7+0.7,2.9):[N, 1, 3], (1,4.1):[N, 3, 4]
(1,3.8):[N, 0, 1]	(2,2.9):[N, 1, 3], (1,4.1):[N, 3, 4]
(2,2.9):[N, 1, 3]	(1,4.1):[N, 3, 4], ( $\min\{0.7 + 2 + 0.7, 1 + 2 + 1\}$ ,1.7):[N, 0, 3]
(1,4.1):[N, 3, 4]	(3,4,1.7):[N, 0, 3], (2+1+1,1.2):[N, 1, 4], (0.7+1+1,2.9):[N, 2, 4]
(3,4,1.7):[N, 0, 3]	(4,1.2):[N, 1, 4], (3.4+1+1,0):[N, 0, 4], (2.7,2.9):[N, 2, 4]
(4,1.2):[N, 1, 4]	(5.4,0):[N, 0, 4], (2.7,2.9):[N, 2, 4]

The last operation does not add to the agenda because all the new items one could possibly build (combining [N, 1, 4] with [A, 0, 1] or [N, 0, 1]) already exist in the agenda and the weights of the new items are higher or equal to the one of the already existing.

Algorithm stops because goal item [N, 0, 4] has been reached as top agenda item.

2. The inside score in the weight of the goal item [N, 0, 4] is 5.4. The probability of the best parse tree is therefore  $10^{-5.4} = \frac{1}{10^{5.4}} = 3.98 \cdot 10^{-6} \approx 4 \cdot 10^{-6}$ .

**Question 25 (A\* parsing)** Consider the PCFG  $G = \langle N, T, P, S, p \rangle$  with  $N = \{S, A, B\}$ ,  $T = \{a, b\}$  and

$$\begin{aligned}
 P = \{ & 0,3 \quad S \rightarrow AB \\
 & 0,7 \quad S \rightarrow BA \\
 & 0,1 \quad A \rightarrow AS \\
 & 0,9 \quad A \rightarrow a \\
 & 0,6 \quad B \rightarrow BS \\
 & 0,4 \quad B \rightarrow b\}.
 \end{aligned}$$

(The numbers preceding the rules are the corresponding probabilities.)

Compute the estimates of the inside viterbi scores  $\text{in}(X, l)$  for non-terminals  $X \in N$  and lengths  $1 \leq l \leq 4$ .

Use the following values for the weights:

$$\begin{aligned}
 |\log(0, 1)| &= 1,00 & |\log(0, 3)| &= 0,52 & |\log(0, 4)| &= 0,40 \\
 |\log(0, 6)| &= 0,22 & |\log(0, 7)| &= 0,15 & |\log(0, 9)| &= 0,05
 \end{aligned}$$

Solution:

S	$\infty$	0,6	$\infty$	1,42	
A	0,05	$\infty$	1,65	$\infty$	
B	0,40	$\infty$	1,22	$\infty$	
	1	2	3	4	l

**Question 26 (A\* parsing)**

Consider the PCFG  $G$  with  $N = \{S, A\}$ ,  $T = \{a\}$ , start symbol  $S$  and productions

$$\begin{aligned}
 0.5 \quad S &\rightarrow SS & 0.125 \quad S &\rightarrow AS & 0.25 \quad S &\rightarrow SA \\
 0.125 \quad S &\rightarrow a & 1 \quad A &\rightarrow a
 \end{aligned}$$

For weights, use  $|\log_2(p)|$ .

1. Compute the inside viterbi estimates for lengths  $1 \leq l \leq 4$  and the outside SX estimates for length  $n = 4$ .
2. Use these values for an  $A^*$  parsing of  $aaaa$ .

Solution:

1. Inside estimates:

$S$	3	5	7	9
$A$	0	$\infty$	$\infty$	$\infty$
	1	2	3	4
				$l$

Outside SX estimates:

- $l = 4$ :  
 $out(A, 0, 4, 0) = \infty$ ,  $out(N, 0, 4, 0) = 0$
- $l = 3$ :  
 $out(A, 0, 3, 1) = 3 + 3 = 6$   
 $out(A, 1, 3, 0) = 3 + 2 = 5$   
 $out(S, 0, 3, 1) = \min\{4, 2\} = 2$   
 $out(S, 1, 3, 0) = \min\{4, 3\} = 3$
- $l = 2$ :  
 $out(A, 0, 2, 2) = \min\{3 + 3 + 2, 3 + 5 + 0\} = 8$   
 $out(A, 1, 2, 1) = \min\{2 + 3 + 2, 3 + 3 + 3\} = 7$   
 $out(A, 2, 2, 0) = \min\{2 + 3 + 3, 2 + 5 + 0\} = 7$   
 $out(S, 0, 2, 2) = \min\{1 + 3 + 2, 1 + 5 + 0, 2 + 0 + 2\} = 4$   
 $out(S, 1, 2, 1) = \min\{2 + 0 + 3, 3 + 0 + 2, 1 + 3 + 2, 1 + 3 + 3\} = 5$   
 $out(S, 2, 2, 0) = \min\{1 + 3 + 3, 1 + 5 + 0, 3 + 0 + 3\} = 6$
- $l = 1$ :  
 $out(A, 0, 1, 3) = \min\{3 + 3 + 4, 3 + 5 + 2, 3 + 7 + 0\} = 10$   
 $out(A, 1, 1, 2) = \min\{3 + 3 + 5, 3 + 5 + 3, 2 + 3 + 4\} = 9$   
 $out(A, 2, 1, 1) = \min\{3 + 3 + 4, 2 + 3 + 5, 2 + 5 + 2\} = 9$   
 $out(A, 3, 1, 0) = \min\{2 + 3 + 6, 2 + 5 + 3, 2 + 7 + 0\} = 9$   
 $out(S, 0, 1, 3) = \min\{1 + 3 + 4, 1 + 5 + 2, 1 + 7 + 0, 2 + 0 + 4\} = 6$   
 $out(S, 1, 1, 2) = \min\{1 + 3 + 5, 1 + 5 + 3, 1 + 3 + 4, 2 + 0 + 5, 3 + 0 + 4\} = 7$   
 $out(S, 2, 1, 1) = \min\{1 + 3 + 5, 1 + 3 + 6, 1 + 5 + 2, 2 + 0 + 6, 3 + 0 + 5\} = 8$   
 $out(S, 3, 1, 0) = \min\{1 + 3 + 6, 1 + 5 + 3, 1 + 7 + 0, 3 + 0 + 6\} = 8$

2. Parsing of  $aaaa$ :

Chart	Agenda
	(0,9):[A, 1, 2], (0,9):[A, 2, 3], (0,9):[A, 3, 4], (3,6):[S, 0, 1], (0,10):[A, 0, 1], (3,7):[S, 1, 2], (3,8):[S, 2, 3], (3,8):[S, 3, 4]
(0,9):[A, 1, 2]	(0,9):[A, 2, 3], (0,9):[A, 3, 4], (3,6):[S, 0, 1], (0,10):[A, 0, 1], (3,7):[S, 1, 2], (3,8):[S, 2, 3], (3,8):[S, 3, 4]
(0,9):[A, 2, 3]	(0,9):[A, 3, 4], (3,6):[S, 0, 1], (0,10):[A, 0, 1], (3,7):[S, 1, 2], (3,8):[S, 2, 3], (3,8):[S, 3, 4]
(0,9):[A, 3, 4]	(3,6):[S, 0, 1], (0,10):[A, 0, 1], (3,7):[S, 1, 2], (3,8):[S, 2, 3], (3,8):[S, 3, 4]
(3,6):[S, 0, 1]	(3+0+2,4):[S, 0, 2], (0,10):[A, 0, 1], (3,7):[S, 1, 2], (3,8):[S, 2, 3], (3,8):[S, 3, 4]
(5,4):[S, 0, 2]	(5+0+2,2):[S, 0, 3], (0,10):[A, 0, 1], (3,7):[S, 1, 2], (3,8):[S, 2, 3], (3,8):[S, 3, 4],
(7,2):[S, 0, 3]	(7+0+2,0):[S, 0, 4], (0,10):[A, 0, 1], (3,7):[S, 1, 2], (3,8):[S, 2, 3], (3,8):[S, 3, 4],

Parser stops since top agenda item is a goal item.

**Question 27 (A\* parsing)**

Consider the same PCFG as in the preceding example, use  $|\log_2(p)|$  as weights. The inside estimates up to length 2 are

$S$	3	5	
$A$	0	$\infty$	
	1	2	$l$

As outside estimates for length 2, we use

- $l = 2$ :  
 $out(A, 0, 2, 0) = \infty$ ,  $out(S, 0, 2, 0) = 0$
- $l = 1$ :  
 $out(A, 0, 1, 1) = 6$   
 $out(A, 1, 1, 0) = 5$   
 $out(S, 0, 1, 1) = 2$   
 $out(S, 1, 1, 0) = 3$

Perform a 2-best weighted deductive parsing of the input  $aa$  with  $k = 2$ , following the Pauls & Klein algorithm.

It is enough to give the subsequent agenda contents, marking the best item each time. The chart is then understood as containing everything that has been marked in the previous agenda contexts.

The sequence of agenda contents starts as follows:

(Best item is in bold, newly added items are in red.)

Agenda (best item is bold)

---

$(0, 6):I[A, 0, 1]$     **$(0, 5):I[A, 1, 2]$**     $(3, 2):I[S, 0, 1]$     $(3, 3):I[S, 1, 2]$

---

$(0, 6):[A(a), 0, 1]$     $(0, 5):[A(a), 1, 2]$     $(3, 2):[S(a), 0, 1]$     $(3, 3):[S(a), 1, 2]$

---

$(0, 6):I[A, 0, 1]$     **$(3, 2):I[S, 0, 1]$**     $(3, 3):I[S, 1, 2]$

---

$(0, 6):[A(a), 0, 1]$     $(0, 5):[A(a), 1, 2]$     $(3, 2):[S(a), 0, 1]$     $(3, 3):[S(a), 1, 2]$

---

$(0, 6):I[A, 0, 1]$     $(3, 3):I[S, 1, 2]$     **$(5, 0):I[S, 0, 2]$**

---

$(0, 6):[A(a), 0, 1]$     $(0, 5):[A(a), 1, 2]$     $(3, 2):[S(a), 0, 1]$     $(3, 3):[S(a), 1, 2]$

Solution:

(Best item is in bold, newly added items are in red.)

Agenda (best item is bold)

---

$(0, 6):I[A, 0, 1]$     **$(0, 5):I[A, 1, 2]$**     $(3, 2):I[S, 0, 1]$     $(3, 3):I[S, 1, 2]$

---

$(0, 6):[A(a), 0, 1]$     $(0, 5):[A(a), 1, 2]$     $(3, 2):[S(a), 0, 1]$     $(3, 3):[S(a), 1, 2]$

---

$(0, 6):I[A, 0, 1]$     **$(3, 2):I[S, 0, 1]$**     $(3, 3):I[S, 1, 2]$

---

$(0, 6):[A(a), 0, 1]$     $(0, 5):[A(a), 1, 2]$     $(3, 2):[S(a), 0, 1]$     $(3, 3):[S(a), 1, 2]$

---

$(0, 6):I[A, 0, 1]$     $(3, 3):I[S, 1, 2]$     **$(5, 0):I[S, 0, 2]$**

---

$(0, 6):[A(a), 0, 1]$     $(0, 5):[A(a), 1, 2]$     $(3, 2):[S(a), 0, 1]$     $(3, 3):[S(a), 1, 2]$

---

$(0, 6):I[A, 0, 1]$     $(3, 3):I[S, 1, 2]$

---

**$(3, 2):O[S, 0, 1]$**     **$(0, 5):O[A, 1, 2]$**

---

$(0, 6):[A(a), 0, 1]$     $(0, 5):[A(a), 1, 2]$     $(3, 2):[S(a), 0, 1]$     $(3, 3):[S(a), 1, 2]$

(0,6):I[A, 0, 1]	(3,3):I[S, 1, 2]
<b>(0,5):O[A, 1, 2]</b>	
(0,6):[A(a), 0, 1]	(0,5):[A(a), 1, 2] (3,2):[S(a), 0, 1] (3,3):[S(a), 1, 2]
(0,6):I[A, 0, 1]	(3,3):I[S, 1, 2]
(0,6):[A(a), 0, 1]	<b>(0,5):[A(a), 1, 2]</b> (3,2):[S(a), 0, 1] (3,3):[S(a), 1, 2]
(0,6):I[A, 0, 1]	(3,3):I[S, 1, 2]
(0,6):[A(a), 0, 1]	<b>(3,2):[S(a), 0, 1]</b> (3,3):[S(a), 1, 2]
(0,6):I[A, 0, 1]	(3,3):I[S, 1, 2]
(0,6):[A(a), 0, 1]	(3,3):[S(a), 1, 2] <b>(5,0):[S(S(a),A(a)), 0, 2]</b>
(first goal item found)	
<b>(0,6):I[A, 0, 1]</b>	(3,3):I[S, 1, 2]
(0,6):[A(a), 0, 1]	(3,3):[S(a), 1, 2]
<b>(3,3):I[S, 1, 2]</b>	
(0,6):[A(a), 0, 1]	(3,3):[S(a), 1, 2]
<b>(0,6):[A(a), 0, 1]</b>	(3,3):[S(a), 1, 2]
<b>(3,3):[S(a), 1, 2]</b>	
<b>(6,0):[S(A(a),S(a)), 0, 2]</b>	<b>(7,0):[S(S(a),S(a)), 0, 2]</b>
(second goal item found)	